

Detailed Risk Report (incl Control Measure Target Date)

Risk Ref	Risks	Triggers	Consequences	Existing Control Measures	Current Risk			Target Risk			Responsibility	CPT
					L	I	Total	L	I	Total		
ASD0028	Overspend in adult social care services.	National proposal on long-term funding solution for adult social care from October 2023 onwards likely insufficient to meet demographic changes and increased demand. Short-term funding mechanisms which do not provide stability Increasingly complex needs of adults in need of care and support Growing demand of those in need of care and support	Pressures on care package budgets across client groups. Pressures on staffing budget. Cost pressures on other areas of the Directorate. Non-delivery of savings targets	Robust budget management Regular budget monitoring meetings held across the service. Staffing within costed establishment budget. Budget realignment exercise undertaken. Budget training with all budget managers. SLT ASC and Financial Assessment Team. Budget Call over in place that includes oversight of saving projects. Care and Support Plan Assurance Meetings (CSPAM) in place to manage spend and assurance over care recommendations. Invoicing for income from ICB for s117 and CHC. Debt recovery panel in place for user charges. Vacancy factor in place for 24/25 at 3% will be monitored via Budget call over meeting. Applying for short term grants, i.e. Hospital Discharge. Implementing strategies and commissioning services which are focused on community support Commissioning effective preventative services including advice and information Ensuring sufficient community support services and accommodation options which minimise the need to use expensive residential care	4	4	16	3	4	12	Katie O'Driscoll	A Dynamic Outcomes-Based Council Using Digital Innovation And Partnership Working To

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ASD0015	Death or serious harm to a Vulnerable Adult who was or should have been, in receipt of services, either from the Council or a Partner Agency.	There is a failure of one or more of the controls in place to identify the degree of risk to a vulnerable adult (multi-agency safeguarding procedures) Poor practice, insufficient information sharing and/or inadequate management oversight. Failure of quality control systems. Service user fails to work to agreed partnership / agency arrangements. Poor communication and partnership work. Poor resourcing of service areas against increased demand. Local authority contracted out service do not have sufficiently robust safeguarding arrangements.	Harm to an individual. Reputational damage to the Council. Potential for legal proceedings against the council leading to financial loss. Loss of confidence in safeguarding capability.	Oversight through management reporting Social workers have 1:1 supervision monthly on their casework includes safeguarding cases. Safeguarding case work is managed via s.42 Safeguarding Procedures in line with the Care Act 2014 High risk cases are present to the High-Risk panel The Senior Management Team managers are responsible for the review and monitor Adult Safeguarding cases in their services in supervision with their Team Managers. The Principal Social Worker leads on implementing learning from Safeguarding Adult Reviews in the Council alongside the Safeguarding Adults Board Hoarding Panel. Evictions Panel - MH Cases - supported accommodation in borough. Safety Huddles / MDTs with GP's Interface documents - pending. Waiting List - RAG rated Safeguarding Adults Board Strategy The actions within the SAB strategy aim to mitigate the risks associated with safeguarding. These are linked to the principles of Safeguarding with is Empowerment, Prevention, Proportionality, Partnership, Protection and Accountability. They are also linked to the principles of Making Safeguarding Personal. The SAB will be focusing on 3 key priorities relating to Adults with Learning Disability, Homelessness	3	5	15	2	5	10	Denise Radley	People Are Aspirational, Independent And Have Equal Access To Opportunities.

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				<p>and Substance Misuse and Self Neglect.</p> <p>Safeguarding issues as part of contract management procedures</p> <p>Procedures overseen by Joint Director for Integrated Commissioning - contract management procedures continue to focus on safeguarding.</p> <p>Care Quality Commission embargo list used.</p> <p>This list is available from the Care Quality Commission highlighting all providers where the CQC has raised concerns.</p> <p>London ADASS branch circulate any service suspensions or restarts due to safeguarding concerns and these are passed to the Brokerage service.</p> <p>Provider Concerns and interface with Adult Safeguarding is a standard agenda item at Joint Adult Social Care and Integrated Commissioning Senior Management Team meeting.</p> <p>Failed visit policy and procedures in place.</p> <p>The Failed visits policy and procedures were originally agreed in 2018 and have been reviewed over the last year. They are currently in use and should be reviewed and amended if necessary annually.</p> <p>Safeguarding Adult Reviews Action Plan - implementation of recommendations of all SARs</p> <p>We have a Safeguarding Adults Review Tracker in place to monitor and oversee the implementation of actions arising out of Safeguarding Adult Reviews.</p> <p>This is monitored for the Safeguarding Adult Review sub</p>								

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				group and Board.								
ASDASC0018	Regulatory censure and Safeguarding failure arising from deficient process for new and ongoing employee vetting (i.e. pre-employment checks, ongoing vetting of DBS status, verification of qualifications and other suitability/ screening checks).	Non-adherence to procedures in relation to recruitment and employee vetting Failure of reporting mechanisms to provide assurance on vetting Vetting not renewed/updated where required	<ul style="list-style-type: none"> • Harm to individual children, young person or vulnerable adult • Poor audit/review findings • Reputational damage to the council including poor inspection outcomes • Poor quality assurance • Potential for legal proceedings against the council leading to financial loss 	Existing protocols and procedures relating to DBS checks and recruitment more generally Existing procedures and guidance on DBS checks and recruitment are available to staff on the Bridge.	3	4	12	1	4	4	Denise Radley	Risk Corporate Plans

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ASDCH00 16	Home care recommissioning - electronic home care monitoring has been excluded from the specification in line with political preference. Procurement process has taken much longer than expected.	New adult homecare tender process , new contracts awarded	This decision could lead to issues around safeguarding and service delivery being more difficult to monitor, discover and prove. Also there may be issues around confirming hours worked/ delivered against hours paid for by the Council. Delay to moving to new model of home care and extensions to existing contracts required.		3	4	12	3	3	9	Warwick Tomsett	Risk Corporate Plans

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ASDCH0017	The failure of NRS to deliver the Community Equipment contract effectively.	NRS hands back the community equipment contract. NRS goes into administration. Hospital discharges are significantly impacted. Performance drops below a level that can be tolerated by the consortium.	Residents are left without the community equipment needed to safely live independently in the community. Residents are unable to be discharged from hospital in a timely way. Patient safety is impacted by the performance of the contract.	Contract management by Consortium lead Local Authority This service is commissioned through a Consortium that is led by the London Borough of Westminster Contract & performance management within Integrated Commissioning Within the Consortium arrangements, oversight for Tower Hamlets is maintained through a lead commissioner within Integrated Commissioning including monitoring local feedback from all prescribers/agencies	3	4	12	3	3	9	Darren Ingram	Health and Adult Social Care

Risk Ref	Risks	Triggers	Consequences	Existing Control Measures	Current Risk			Required Control Measures			Target Risk			Responsibility	CPT
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Darren Ingram
Required Control Measure
Target Date:
31/05/2024