



# AUDIT COMMITTEE WORK PLAN 2022/23

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Last updated:	17 <sup>th</sup> January 2023

**AUDIT COMMITTEE WORK PLAN 2022/23**

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
<b>26<sup>TH</sup> JANUARY 2023</b>			
1. KMPG Update		Andrew Cardoza	
2. Deloitte	Standing item	Jonathan Gooding/ Angus Fish	
3. Internal Audit Charter & Annual Review of Anti-Bribery Policy	Annual review and approval of the Internal Audit Charter. Annual review and approval of the Council's Anti-Bribery policy.	Aaron Winter	
4. Treasury Management Report and Mid-Year Review	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators	Kevin Bartle/ Nisar Visram & Miriam Adams	
5. Treasury Management Strategy Statement, Investment Strategy and Capital Strategy Report for 2022-23		Miriam Adams	
6. Internal Audit and Anti-Fraud update 2022/23 Q3 Progress update	An update on the progress against the delivery of the 2022/23 Annual Internal Plan. Highlights any significant issues since the last report to the Audit Committee.	Aaron Winter	
7. Risk Management Report 2022-23 – Q3 Progress update & Directorate Risk Register	An update on risks in the Corporate Risk Register.	Aaron Winter	
8. RIPA Policy 2021/22	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice.	Agnes Adrien	Deferred

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
	Reviewed Annually.		
9. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
<b>6<sup>TH</sup> APRIL 2023</b>	<b>AUDIT TRAINING DAY</b>	Kevin Bartle/ Nisar Visram/ Miriam Adams/Aaron Winter	
	Statement of Accounts; Accounting Policies; Treasury Management		
<b>13<sup>TH</sup> APRIL 2023</b>			
1. Deloitte	Standing item	Jonathan Gooding/ Angus Fish	
2. Annual Internal Audit and Counter-Fraud Strategy & Plan 2023/24	Draft Internal Audit Plan for 2023/24. For review and approval by the Committee.	Aaron Winter	
3. Draft Annual Governance Statement 2023/24	For Review. Approval in July 2023 with Annual Accounts.	Aaron Winter	
4. Review of Code of Corporate Governance 2023/24	To report on an annual basis. Monitoring Office. Approval in July 2023 with Annual Accounts.	Matthew Mannion	
5. RIPA Policy 2023/24	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice. Reviewed Annually.	Agnes Adrien	
6. Draft Terms of reference for 2023/24	Review. To be approved in July 2023.	Aaron Winter	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
7. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – March 2022 Report by the Audit Chair to go to Full Council in July 2022	Cllr Whitehead/ Aaron Winter/ Charlotte Webster	Deferred to new municipal year 2022-23 – June Mtg
8. Risk Management Report 2022-23 – Q4 Progress update & Directorate Risk Register	An update on risks in the Corporate Risk Register.	Aaron Winter	
9. RIPA Policy 2021/22	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice. Reviewed Annually.	Agnes Adrien	Deferred to new municipal year 2022-23 – June Mtg Deferred to 24/11/22 Deferred again to 13/4/2023
<b>CARRY FORWARD ITEMS – 2023/24</b>			
1.			
2.			
3.			