



# AUDIT COMMITTEE WORK PLAN 2022/23

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Last updated:	15 <sup>th</sup> November 2022

**AUDIT COMMITTEE WORK PLAN 2022/23**

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
<b>17<sup>TH</sup> NOVEMBER 2022</b>	<b>AUDIT TRAINING DAY</b>	Kevin Bartle/ Nisar Visram/ Miriam Adams / Aaron Winter	<b>CANCELLED</b>
<b>24<sup>TH</sup> NOVEMBER 2022</b>			
1. Deloitte	Standing item	Jonathan Gooding / Angus Fish	
2. Worth Technical Accounting Solutions Follow Up Review		Kevin Bartle/Nisar Visram	
3. Risk Management Report 2022-23 – Q2 Progress update & HAC Directorate Risk Register	An update on risks in the Corporate Risk Register.	Aaron Winter/Denise Radley	
4. Annual Risk Management Report 2021/22	Report assessing the key risks facing the Council.	Aaron Winter	
5. Anti-Money Laundering Policy Report	Annual Report updating the Anti-Money Laundering Policy.	Aaron Winter/ Agnes Adrien	
6. Annual Insurance Report 2021-22	Presents a summary of insurance activity for 2021/22.	Aaron Winter	
7. Review of Code of Corporate Governance 2022/23	To report on an annual basis. Monitoring Officer.	Matthew Mannion / Frances Winter/ Thorsten Dreyer – <b>NOV 22</b>	Deferred to new municipal year 2022-23 – June Mtg

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
<b>19<sup>TH</sup> JANUARY 2023</b>	<b>AUDIT TRAINING DAY</b>	Kevin Bartle/ Nisar Visram/ Miriam Adams	
	<b>Internal Audit (to include mention of External Audit)/ Anti- Fraud</b>		
<b>26<sup>TH</sup> JANUARY 2023</b>			
1. Deloitte	Standing item	Jonathan Gooding/ Angus Fish	
2. Internal Audit Charter & Annual Review of Anti-Bribery Policy	Annual review and approval of the Internal Audit Charter. Annual review and approval of the Council's Anti-Bribery policy.	Aaron Winter	
3. Treasury Management Report and Mid-Year Review	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators	Kevin Bartle/ Nisar Visram & Miriam Adams	
4. Treasury Management Strategy Statement, Investment Strategy and Capital Strategy Report for 2022-23		Miriam Adams	
5. Internal Audit and Anti-Fraud update 2022/23 Q3 Progress update	An update on the progress against the delivery of the 2022/23 Annual Internal Plan. Highlights any significant issues since the last report to the Audit Committee.	Aaron Winter	
6. Risk Management Report 2022-23 – Q3 Progress update & Directorate Risk Register	An update on risks in the Corporate Risk Register.	Aaron Winter	
7. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
<b>6<sup>TH</sup> APRIL 2023</b>	<b>AUDIT TRAINING DAY</b>	Kevin Bartle/ Nisar Visram/ Miriam Adams/Aaron Winter	
	Statement of Accounts; Accounting Policies; Treasury Management		
<b>13<sup>TH</sup> APRIL 2023</b>			
1. Deloitte	Standing item	Jonathan Gooding/ Angus Fish	
2. Annual Internal Audit and Counter-Fraud Strategy & Plan 2023/24	Draft Internal Audit Plan for 2023/24. For review and approval by the Committee.	Aaron Winter	
3. Draft Annual Governance Statement 2023/24	For Review. Approval in July 2023 with Annual Accounts.	Aaron Winter	
4. Review of Code of Corporate Governance 2023/24	To report on an annual basis. Monitoring Office. Approval in July 2023 with Annual Accounts.	Matthew Mannion	
5. RIPA Policy 2023/24	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice. Reviewed Annually.	Agnes Adrien	
6. Draft Terms of reference for 2023/24	Review. To be approved in July 2023.	Aaron Winter	
7. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – March 2022 Report by the Audit Chair to go to Full Council in July 2022	Cllr Whitehead/ Aaron Winter/ Charlotte Webster	Deferred to new municipal year 2022-23 – June Mtg

**AUDIT COMMITTEE WORK PLAN 2022/23**

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
8. Risk Management Report 2022-23 – Q4 Progress update & Directorate Risk Register	An update on risks in the Corporate Risk Register.	Aaron Winter	
9. RIPA Policy 2021/22	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice. Reviewed Annually.	Agnes Adrien	Deferred to new municipal year 2022-23 – June Mtg Deferred to 24/11/22 Deferred again to 13/4/2023
<b>CARRY FORWARD ITEMS – 2023/24</b>			
1.			
2.			
3.			