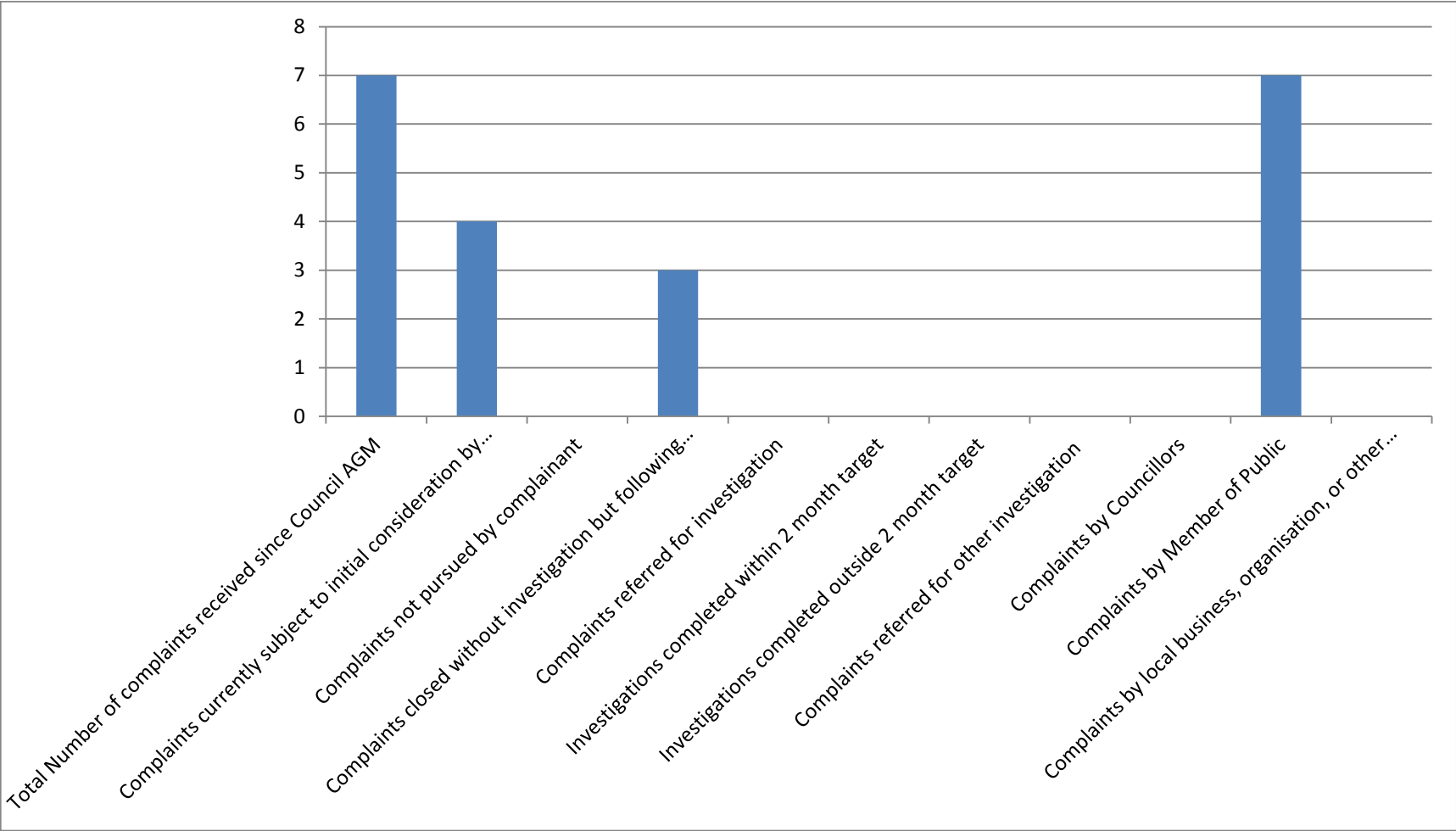


## APPENDIX 1

### Code of Conduct for Members - complaints and investigation monitoring information – municipal year 2021

Complaints since May 2021:	07
Complaints currently subject to initial consideration by MO and IP:	04
Complaints not pursued by complainant:	00
Complaints closed without investigation but following consultation with IP:	03
Complaints referred for investigation as potential breach of the Code:	00
Investigations completed within 2 month target:	00
Investigations completed outside 2 month target:	00
Complaints referred for other investigation (police, audit etc.)	00
<u>Complainants</u>	
Councillors:	00
Member of Public:	07
Local business, organisation, or other body:	00

### Code of Conduct Complaints 2020/2021



Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
001/2021	20/05/2021	Member of Public	Elected Member	<p>Alleged complaint – Cllr’s failure to respond to residents emails and calls.</p> <p>All emails are being ignored by the Cllr.</p>	<p>Target Date: 03/06/2021</p> <p>21/05/2021 – Acknowledgment email sent to complainant.</p> <p>16/06/2021 – Email sent to Cllr to provide Initial response to MO.</p> <p>22/06/2021 – Chaser email sent to Cllr.</p> <p>01/07/2021 – SMSO spoke to Cllr on the phone requesting a</p>			Closed	

					<p>response of emails sent on 16/06/2021.</p> <p>01/07/2021 Initial response received from Cllr.</p> <p>05/08/2021 Further clarification requested from the Cllr in regard to emails sent from the complainant.</p> <p>23/09/2021 After many chasers Interim Monitoring Officer managed to discuss matter with Cllr over the phone.</p>				
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					<p>29/09/2021 Consulted with I.P</p> <p>01/10/2021 Outcome sent via email to complainant.</p> <p>Cllr apologised for not responding directly to the emails as the correspon- den- ce had other members including the late Ward member who would usually respond to these queries.</p> <p>IMO has reminded Cllr to check and acknowledge correspon- den- ce that is sent</p>				
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					to them directly and/or check that others are dealing with it.				
Reference	Date received	Complainant	Elected/Co-	Nature of Complaint	Date and	Date	Hearing	Current	Follow

number	by Monitoring Officer		opted Member(s)	and potential breach(es) of the Code of Conduct	outcome of consultation with IP	investigation commenced and investigation status	and outcome	status	up
002/2021	12/06/2021	Member of Public	Elected Member	<p>Alleged complaint – Not responding professionally to a query sent by the complainant's solicitor.</p> <p>Complainant alleges that it is due to the Cllr sharing a personal relationship with the party in question.</p>	<p>Target Date: 25/06/2021</p> <p>22/06/2021 – Email sent to complainant to confirm the details of the 'incident' and provide details of the party in question.</p> <p>23/06/2021 – response from complainant received with details relating to an incident with neighbour.</p> <p>01/07/2021 – Official acknowledge ment email</p>			Closed	

					<p>sent to complainant.</p> <p>01/07/2021 – Initial response received from Cllr.</p> <p>03/08/2021 – Outcome letter sent to both complainant and Cllr.</p> <p>Cllr acted appropriately in declining to look into the incident because of conflict of interest. In reaching this conclusion, The IMO has also taken into account the fact that alternative sources of</p>				
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					assistance were offered.				
Reference	Date received	Complainant	Elected/Co-	Nature of Complaint	Date and	Date	Hearing	Current	Follow

number	by Monitoring Officer		opted Member(s)	and potential breach(es) of the Code of Conduct	outcome of consultation with IP	investigation commenced and investigation status	and outcome	status	up
003/2021	16/09/2021	Member of the Public	Elected Member	<p>Alleged complaint – Queries raised during a meeting held on 14 Sep 2021 for residents.</p> <p>Complainant found the meeting hostile and raised concerns via email with Cllr who was present at the meeting.</p> <p>Complainant has alleged to have found some of the response inappropriate and therefore in their opinion the Cllr has breached Code of Conduct in regard to duty when representing constituents.</p>	<p>Target Date: 30/09/2021</p> <p>22/09/2021 Request sent to Cllr for an Initial response.</p> <p>23/09/2021 Initial response received from Cllr</p> <p>01/10/2021 Consultation with IP</p> <p>21/10/2021 – Further details of the event requested from both complainant and Cllr.</p>			Open	

					<p>21/10/2021 – Details received from Cllr.</p> <p>24/10/2021 – Details received from Complainant</p> <p>27/10/2021 – Consultation with IP</p> <p>28/10/2021 – Additional Information requested from Complainant &amp; Cllr</p> <p>17/11/2021 – Request for further details from complainant &amp; Cllr.</p> <p>17/11/2021 – Responses</p>				
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					<p>from both Complainant &amp; Cllr received.</p> <p>18/11/2021 – Correspondences received on 17/11 forwarded to I.P to review and request made to arrange a time to meet MO for consultation.</p>				
Reference number	Date received by Monitoring	Complainant	Elected/Co-opted	Nature of Complaint and potential	Date and outcome of	Date investigation	Hearing and out-	Current status	Follow up

	<b>Officer</b>		<b>Member(s)</b>	<b>breach(es) of the Code of Conduct</b>	<b>consultation with IP</b>	<b>commenced and investigation status</b>	<b>come</b>		
004/2021	22/09/2021	Member of the Public	Elected Member	<p>Alleged Complaint – Cllr not acted with integrity and honesty.</p> <p>Has breached data protection by going to a private company engaged by the council to consult and implement road closures and has encouraged them to ignore my enquiries in regard to the 'consultation'</p>	<p>Target Date: 06/10/2021</p> <p>24/09/2021 Acknowledgment email sent to complainant. IMO also requested additional information mentioned in the original complaint.</p> <p>08/10/2021 – Chaser email sent to complainant.</p> <p>08/10/2021 – A response was received by complainant, however it was in relation</p>			Open	

					<p>to another matter</p> <p>14/10/2021 – SMSO responded to email received on 8/10 and clarified that DMO is awaiting additional information and the email received on 8/10 relates to another matter.</p> <p>25/10/2021 – Chaser email sent to complainant.</p> <p>25/10/2021 – Further details received from complainant as requested.</p> <p>05/11/2021 –</p>				
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					<p>Request of an update received from complainant.</p> <p>18/11/2021 – Initial response from Cllr requested. Cllr provided with extract of complaint form and additional documents received from complainant.</p> <p>18/11/2021 – Response to complainant sent advising matter under investigation and MO will be in contact with next steps.</p>				
<b>Reference number</b>	<b>Date received by Monitoring</b>	<b>Complainant</b>	<b>Elected/Co-opted</b>	<b>Nature of Complaint and potential</b>	<b>Date and outcome of</b>	<b>Date investigation</b>	<b>Hearing and out-</b>	<b>Current status</b>	<b>Follow up</b>

	<b>Officer</b>		<b>Member(s)</b>	<b>breach(es) of the Code of Conduct</b>	<b>consultation with IP</b>	<b>commenced and investigation status</b>	<b>come</b>		
005/2021 (Potentially related to an old case – SMSO to check archive)	28/09/2021	Member of the Public	Elected Member	Alleged complaint – Cllr’s behaviour against disability.  Not following the equality act 2010 legislation regarding disabled people including the care act 2014 Legislation.	Target Date: 12/10/2021  30/09/2021 – Acknowledgment email sent.  30/09/2021 – Request for initial response sent to Cllr  26/10/2021 – Consultation with I.P  28/10/2021 – Outcome letter sent to complainant  Complaint does not demonstrate a breach of the code.			Closed	



					01/11/2021 – Outcome Letter sent to Cllr.				
<b>Reference number</b>	<b>Date received by Monitoring Officer</b>	<b>Complainant</b>	<b>Elected/Co- opted Member(s)</b>	<b>Nature of Complaint and potential breach(es) of the Code of Conduct</b>	<b>Date and outcome of consultation with IP</b>	<b>Date investigation commenced and</b>	<b>Hearing and out- come</b>	<b>Current status</b>	<b>Follow up</b>

						<b>investigation status</b>			
006/2021	27/09/2021	Member of Public	Elected Member	<p>Alleged complaint – Breach of GDPR by Cllr with a social media feed.</p> <p>Cllr refusing to remove analytics that was posted.</p>	<p>Target date: 11/10/2021</p> <p>30/09/2021 – Acknowledgment email sent.</p> <p>30/09/2021 – Request for initial response sent to Cllr.</p> <p>13/10/2021 – Initial response received from Cllr.</p> <p>15/11/2021 – Correspondences sent to I.P to review and then set-up a consultation meeting.</p>			Open	

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
007/2021	9 Nov 2021	Member of	Elected	Alleged complaint –	Target Date:			Open	

		Public	Member	Cllr failing to register that they own an HMO in the borough.	23/11/2021 15/11/2021 – Acknowledgment email sent. 15/11/2021 – Request for Initial response sent to Cllr 16/11/2021 – Telephone meeting between Director of Legal & MO and Cllr. 19/11/2021 – Consultation meeting with IP.				
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