



AUDIT COMMITTEE WORK PLAN 2020/21

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AUDIT COMMITTEE WORK PLAN 2021/22

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
20TH JULY 2021	TRAINING DAY		
	Internal Audit (to include mention of External Audit) / Anti- Fraud	Paul Rock	
29TH JULY 2021			
1. Terms of Reference	Terms of reference 2021/22 to be approved by the Committee.	Farhana Zia/ Paul Rock	
2. Progress update on the completion of the accounts and an update on the Finance Improvement Programme		Kevin Bartle/ Marion Kelly	
3. Annual Internal Audit and Counter-Fraud Strategy & Plan	Draft Internal Audit Plan for 2021/22. For review and approval by the Committee.	Paul Rock	Item deferred to 29/07/21 mtg from 07/04/21 mtg
4. Head of Internal Audit 2020/21 Opinion (Annual Report)	Report providing the annual audit opinion in accordance with the requirements of Public Sector Internal Audit Standard.	Paul Rock	
5. Annual Risk Management Report 2021/22	Report assessing the key risks facing the Council.	Paul Rock	
6. Risk Management Report 2021-22 – Q1 Progress update & Children & Culture Directorate's Risk Register	An update of risks on the Corporate Risk Register.	Paul Rock	
7. Anti-Money Laundering Policy Report	Annual Report updating the Anti-Money Laundering Policy.	Janet Fasan/Rachel Mckoy / Paul Rock	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
8. Corporate Code of Governance	To report on an annual basis. Monitoring Officer. Approval in July 2021 with Annual Accounts.	Matthew Mannion	From last mtg 07/04/21
9. 2020/21 Treasury Management Outturn Report		Hitesh Jolapara/Allister Bannin/ Miriam Adams	
10. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
21ST SEPTEMBER 2021	TRAINING DAY		
	Treasury Management	Kevin/Hitesh's Team	
28TH SEPTEMBER 2021			
1. Deloitte	Standing Item Update on the Audit of the Accounts 2018/19 2019/20	Jonathan Gooding/Angus Fish	
2. Statement of Accounts 2018/19, 2019/20 – final approval of audited accounts	The approval of the Annual Statement of Accounts by the Audit Committee.	Kevin Bartle	
3. Statement of Account 2020/21 – Approval of Accounts	The approval of the Annual Statement of Accounts by the Audit Committee.	Kevin Bartle	
4. Annual Governance Statement 2020/21	For review and approval with Annual Accounts 2020/21	Paul Rock	
5. Annual Review of Anti-Bribery Policy	Annual review and approval of the Council's Anti-Bribery policy.	Paul Rock	
6. Annual Insurance Report	Presents a summary of insurance activity for 2020/21.	Paul Rock	Deferred from 29 th July 2021 mtg
7. Internal Audit and Anti-Fraud update 2021/22 – Q2 Progress	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights	Paul Rock/Bharat Mehta/ Tony Qayum	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
Update	any significant issues since the last report to the Audit Committee.		
8. Risk Management Report 2021/22 – Q2 Progress Update & Health & Communities Directorate Risk Register	An update of risks on the Corporate Risk Register.	Paul Rock	
9. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
24TH NOVEMBER 2021	TRAINING DAY		
	Statement of Accounts/ Accounting Policies		
1ST DECEMBER 2021			
1. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	
2. Internal Audit Charter	Annual review and approval of the Internal Audit Charter	Paul Rock	
3. Internal Audit and Anti-Fraud update 2021/22 – Q3 Progress Update	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/Tony Qayum	
4. Risk Management Report 2021/22 – Q3 Progress Update & Directorate Risk Register	An update of risks on the Corporate Risk Register.	Paul Rock	
5. 2021/22 Treasury Management Mid-Year Review.	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Hitesh Jolapara/ Miriam Adams	
6. 2022/23 Treasury Management Strategy Statement,		Hitesh Jolapara /Miriam Adams	

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Investment Strategy Report and Capital Strategy Report for 2021-22			
7. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
17TH MARCH 2022	TRAINING DAY		
	Risk Management	Paul Rock	
24TH MARCH 2022			
1. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	
2. Internal Audit and Anti-Fraud update 2021/22 – Q4 Progress Update	An update on the progress against the delivery of the 2021/22 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/Tony Qayum	
3. Risk Management Report 2021-22 – Q4 Progress Update & Directorate Risk Register	An update of risks on the Corporate Risk Register.	Paul Rock	
4. Annual Internal Audit and Counter-Fraud Strategy & Plan	Draft Internal Audit Plan for 2021/22. For review and approval by the Committee.	Paul Rock	
5. Draft Annual Governance Statement 2021/22	For Review. Approval in July 2022 with Annual Accounts.	Paul Rock	
6. Review of Code of Corporate Governance 2020/21	To report on an annual basis. Monitoring Officer. Approval in July 2021 with Annual Accounts.	Matthew Mannion	
7. RIPA Policy 2021/22	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these	Agnes Adrien	

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	powers, in accordance with the code of practice. Reviewed Annually.		
8. Draft Terms of reference for 2022-23	Review. To be approved in July 2022	Farhana Zia/Paul Rock	
9. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – March 2022 Report by the Audit Chair to go to Full Council in July 2022	Cllr Whitehead/ Paul Rock/ Charlotte Webster/ Farhana Zia	
10. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	

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