




Planning Obligations: Supplementary Planning Document

Equality Analysis Quality Assurance Checklist

January 2021



EQUALITY ANALYSIS QUALITY ASSURANCE CHECKLIST

<p>Name of 'proposal' and how has it been implemented (proposal can be a policy, service, function, strategy, project, procedure, restructure/savings proposal)</p>	<p>Planning Obligations Supplementary Planning Document (SPD)</p>
<p>Directorate / Service</p>	<p>Planning & Building Control (Infrastructure Planning)</p>
<p>Lead Officer</p>	<p>Melissa Spearman, Growth and Infrastructure Planner</p>
<p>Signed Off By (inc date)</p>	<p>Matthew Pullen, 21/01/21</p>
<p>Summary – to be completed at the end of completing the QA (using Appendix A) (Please provide a summary of the findings of the Quality Assurance checklist. What has happened as a result of the QA? For example, based on the QA a Full EA will be undertaken or, based on the QA a Full EA will not be undertaken as due regard to the nine protected groups is embedded in the proposal and the proposal has low relevance to equalities)</p>	<p> Proceed with implementation</p> <p>The Planning Obligations SPD will provide guidance on how planning obligations are to be secured from new development, including obligations relating to affordable housing, adaptable and accessible housing and the employment and upskilling of local people.</p> <p>Following two rounds of public consultation on the draft Planning Obligations SPD this Equality Analysis Quality Assurance checklist has been reviewed and updated. No additional equalities concerns were raised during this process.</p>

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	<p>The Planning Obligations SPD provides further detail and guidance on the implementation of Local Plan policies and is therefore considered to be in accordance with the Local Plan Integrated Impact Assessment.</p> <p>As such, due regard to the nine protected groups is embedded in the Planning Obligations SPD and the proposal is considered to have low relevance to equalities. Based on this Quality Assurance Checklist a full Equalities Assessment will not be undertaken.</p>
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Stage	Checklist Area / Question	Yes / No / Unsure	Comment (If the answer is no/unsure, please ask the question to the SPP Service Manager or nominated equality lead to clarify)
1	Overview of Proposal		
a	Are the outcomes of the proposals clear?	Y	The SPD will provide guidance on how planning obligations will be secured from new development in the Borough in accordance with Local Plan policies.
b	Is it clear who will be or is likely to be affected by what is being proposed (inc service users and staff)? Is there information about the equality profile of those affected?	Y	<p>The Planning Obligations SPD will provide council staff, residents, developers and planning applicants with a clearer understanding of the type of planning obligations to be secured and the circumstances under which they will be sought.</p> <p>The equality profile of residents is available from the Census or GLA population data/projects, including the Equality, Diversity and Inclusion Evidence Base for London.</p> <p>The SPD has been subject to two rounds of public consultation. This has not resulted in any further findings relevant to the Quality Assurance checklist.</p>
2	Monitoring / Collecting Evidence / Data and Consultation		

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a	Is there reliable qualitative and quantitative data to support claims made about impacts?	Y	<p>It is not envisaged that the SPD will have any unequal impacts on the nine protected groups.</p> <p>The SPD was subject to two public consultations to provide the public an opportunity to comment prior to adoption of the document. No additional equalities concerns were raised during this process.</p>
	Is there sufficient evidence of local/regional/national research that can inform the analysis?	Y	<p>The equality profile of residents is available from the Census or GLA population data/projects, including the Equality, Diversity and Inclusion Evidence Base for London. It is considered that this is sufficient to inform the analysis.</p> <p>The Planning Obligations SPD is being updated to align with the new Local Plan and to provide guidance on how Local Plan policies related to planning obligations will be implemented.</p>
b	Has a reasonable attempt been made to ensure relevant knowledge and expertise (people, teams and partners) have been involved in the analysis?	Y	<p>The SPD was prepared with the input and review of a number of different service areas across Council and was subject to two rounds of public consultation.</p> <p>This checklist has also been informed by previous equalities analyses undertaken for planning policy documents, our evidence base documents and our local knowledge and expertise. This includes the Integrated Impact Assessment (IIA) prepared for the Local Plan.</p>
c	Is there clear evidence of consultation with stakeholders and users from groups affected by the proposal?	Y	<p>The local community and key stakeholders were consulted as required by the Council's Statement of Community Involvement.</p>
3	Assessing Impact and Analysis		
a	Are there clear links between the sources of evidence (information, data etc) and the interpretation of impact amongst the nine protected characteristics?	Y	<p>It is not envisaged that the SPD will have any unequal impacts on the nine protected groups.</p> <p>The Local Plan IIA noted that the review of policies did not identify the potential for any adverse effects on local people. Furthermore, certain policies were noted as having</p>


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			<p>significant positive effects, such as the provision of adaptable and accessible housing.</p> <p>The Planning Obligations SPD provides further detail and guidance on the implementation of such policies and as such is considered to be in accordance with the assessment.</p>
b	Is there a clear understanding of the way in which proposals applied in the same way can have unequal impact on different groups?	Y	<p>It is not envisaged that the SPD will have any unequal impacts on the nine protected groups. No issues or concerns about the nine protected groups were raised during the public consultation on the draft document.</p> <p>The Council, as the Local Planning Authority, will need to consider potential impacts when it is determining future planning applications and any associated s106 legal agreement.</p>
4	Mitigation and Improvement Action Plan		
a	Is there an agreed action plan?	N/A	Not required.
b	Have alternative options been explored	N/A	<p>Not required, the alternative would be to either:</p> <ol style="list-style-type: none"> 1. Continue with the existing Planning Obligations SPD 2. No longer have a Planning Obligations SPD <p>Neither of these options is considered the best way forward, as the current PO SPD is not aligned to the new Local Plan policies and not having a PO SPD would reduce certainty for developers and lessen Council's position for negotiations of s106 legal agreements.</p> <p>The SPD will provide updates to align with legislation and the new Local Plan as well as ensuring planning applicants and the Council have a clear understanding of what Planning Obligations are likely to be required.</p>

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5	Quality Assurance and Monitoring		
a	Are there arrangements in place to review or audit the implementation of the proposal?	Y	This Quality Assurance checklist has been reviewed following the two rounds of public consultation on the draft document. No issues or concerns about the nine protected groups were raised during these consultations. The SPD is now at the stage of being referred to Cabinet for approval to adopt.
b	Is it clear how the progress will be monitored to track impact across the protected characteristics?	Y	Equalities matters will be considered in any Cabinet report for adoption. If appropriate, a plan will be formed to track any impacts across protected characteristics at this time.
6	Reporting Outcomes and Action Plan		
a	Does the executive summary contain sufficient information on the key findings arising from the assessment?	Y	Yes

Appendix A - Equality Assessment Criteria

Decision	Action	Risk
As a result of performing the QA checklist, it is evident that due regard is not evidenced in the proposal and / or a risk of discrimination exists (direct, indirect, unintentional or otherwise) to one or more of the nine groups of people who share <i>Protected Characteristics</i> . It is recommended that the proposal be suspended until further work or analysis is performed – via the Full Equality Analysis template	Suspend – Further Work Required	Red: 
As a result of performing the QA checklist, the policy, project or function does not appear to have any adverse effects on people who share <i>Protected Characteristics</i> and no further actions are recommended at this stage.	Proceed with implementation	Green: 