



AUDIT COMMITTEE WORK PLAN 2020/21

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AUDIT COMMITTEE WORK PLAN 2020/21

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
12TH NOVEMBER 2020			
1. Terms of reference 2019-20	Approval of terms of reference for the Committee	Farhana Zia	
2. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	
3. Audit of the Council's Accounts 2018/19 & 2019/20 – progress update	A report of the progress being made toward the completion of the audits of both the 2018/19 and 2019/20 accounts	Kevin Bartle / Neville Murton	
4. Independent Review - 2018/19 Audit of Accounts	The Audit Committee requested an independent review of the circumstances surrounding the delayed production of the 2018/19 accounts and the consequent delayed external audit and audit opinion. This item presents the report of the outcomes of that independent review.	Kevin Bartle / Neville Murton Peter Worth from Worth Technical Accounting Solutions	
5. Draft Annual Governance Statement 2019/20	For review and approval with Annual Accounts 2019/20.	Paul Rock	Item deferred
6. Annual Review of Anti-Bribery Policy	Annual review and approval of the Council's Anti-Bribery policy.	Paul Rock	Incorporated in Internal Audit/ Anti-Fraud report
7. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
8. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Paul Rock	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
9. Anti-Money Laundering Policy Report 2019 -2020	Annual Report updating the Anti-Money Laundering Policy	Agnes Adrien	Report deferred Jan 2021
10. Treasury Management Outturn Report 2019 -20	Report on the treasury management activities and performance against targets for the year ending 31 March 2020.	Kevin Bartle/ Allister Bannin	
11. Treasury Management Mid-Year Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
12. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
28TH JANUARY 2021			
1. Deloitte	Standing Item •	Jonathan Gooding/Angus Fish	
2. Internal Audit Charter	Annual review and approval of the Internal Audit Charter	Paul Rock	
3. Draft Accounting Policies 2021/22	To review and approve the accounting policies that will be implemented during the financial year and reflected in the published Statement of Accounts for that period.	Allister Bannin/ Brian Snary	
4. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
5. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Anthony Sotande-Peters	
6. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
7. RIPA Policy		Agnes Adrien	

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8. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
7TH APRIL 2021			
1. Deloitte	Standing Item •	Jonathan Gooding/Angus Fish	
2. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
3. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Anthony Sotande-Peters	
4. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
5. Annual Internal Audit and Counter-Fraud Strategy & Plan	Draft Internal Audit Plan for 2021/22. For review and approval by the Committee.	Paul Rock	
6. Draft Annual Governance Statement 2020/21	For Review. Approval in July 2021 with Annual Accounts.	Paul Rock	
7. Review of Code of Corporate Governance 2020/21	To report on an annual basis. Monitoring Officer. Approval in July 2021 with Annual Accounts.	Asmat Hussain/ Mark Norman/ Matthew Mannion	
8. Anti-Money Laundering Policy 2020 -21	Annual Report updating the Anti-Money Laundering Policy	Agnes Adrien	
9. Whistleblowing Report 2020-21	To report on an annual basis. Monitoring Officer	Asmat Hussain / Mark Norman	
10. Draft Terms of reference for 2021-22	Review. To be approved in July 2021	Farhana Zia/Paul Rock	
11. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – April 2021 Report by the Audit Chair to go to Full Council in July 2021	Cllr Whitehead/ Paul Rock/ Charlotte Webster/ Farhana Zia	

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