



AUDIT COMMITTEE WORK PLAN 2020/21

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AUDIT COMMITTEE WORK PLAN 2020/21

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
21ST JULY 2020			
1. Annual Governance Statement 2018/19	For review and Approval.	Paul Rock	
2. Head of Internal Audit Annual Opinion and Report 2019/20	Report providing the annual audit opinion in accordance with the requirements of Public Sector Internal Audit Standard.	Paul Rock	
3. Annual Internal Audit and Anti-Fraud Plan	Annual work plan of Internal Audit and Anti-Fraud teams.	Pau Rock	
4. Draft Annual Governance Statement 2019/20	For review and approval with Annual Accounts 2019/20.	Paul Rock.	Deferred to next mtg
5. Annual Insurance Report	Presents a summary of insurance activity for 2019/20.	Paul Rock	
6. Review of Code of Corporate Governance 2019/20	Annually – Monitoring Officer. For review and approval.	Asmat Hussain/Mark Norman/Matthew Mannion	
7. Pensions Fund Audit Plan 2019/20	Deloitte’s audit plan for the Pensions Fund.	Kevin Bartle / Miriam Adams	
8. Internal Audit and Anti-Fraud quarterly update.	An update on the progress against the delivery of the Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
9. Risk Management Report 2020-21	Presents the Risk Management Strategy and provides an update on the outcome of the Risk Management audit and associated action plan,	Paul Rock	
10. Whistleblowing Annual Report 2019-20	To report on an annual basis. Monitoring Officer	Asmat Hussain/Mark Norman	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
11. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	Deferred to next mtg
12. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
12TH NOVEMBER 2020			
1. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	
2. Annual Risk Management Report 2019/20	A summary of the Risk Management Activity for 2019/20.	Paul Rock	
3. Annual Review of Anti-Bribery Policy	Annual review and approval of the Council's Anti-Bribery policy.	Paul Rock	
4. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/Tony Qayum	
5. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Paul Rock	
6. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
7. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
28TH JANUARY 2021			
1. Deloitte	Standing Item •	Jonathan Gooding/Angus Fish	
2. Internal Audit Charter	Annual review and approval of the Internal Audit Charter	Paul Rock	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
3. Draft Accounting Policies 2021/22	To review and approve the accounting policies that will be implemented during the financial year and reflected in the published Statement of Accounts for that period.	Allister Bannin/ Brian Snary	
4. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
5. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Anthony Sotande-Peters	
6. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
7. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
7TH APRIL 2021			
1. Deloitte	Standing Item •	Jonathan Gooding/Angus Fish	
2. Internal Audit and Anti-Fraud update 2020/21	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta/ Tony Qayum	
3. Risk Management Report 2020-21	An update of risks on the Corporate Risk Register.	Anthony Sotande-Peters	
4. Treasury Management Report 2020-21	Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.	Kevin Bartle / Allister Bannin	
5. Annual Internal Audit and Counter-Fraud Strategy & Plan	Draft Internal Audit Plan for 2021/22. For review and approval by the Committee.	Paul Rock	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
6. Draft Annual Governance Statement 2020/21	For Review. Approval in July 2021 with Annual Accounts.	Paul Rock	
7. Review of Code of Corporate Governance 2020/21	To report on an annual basis. Monitoring Officer. Approval in July 2021 with Annual Accounts.	Asmat Hussain/ Mark Norman/ Matthew Mannion	
8. Anti-Money Laundering Policy 2020 -21	Annual Report updating the Anti-Money Laundering Policy	Agnes Adrien	
9. Whistleblowing Report 2020-21	To report on an annual basis. Monitoring Officer	Asmat Hussain / Mark Norman	
10. Draft Terms of reference for 2021-22	Review. To be approved in July 2021	Farhana Zia/Paul Rock	
11. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – April 2021 Report by the Audit Chair to go to Full Council in July 2021	Cllr XXX/ Paul Rock/ Charlotte Webster/ Farhana Zia	
12. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	