

## Corporate Risk Register Summary – November 2024

#	Risk Reference	Risk Category	Risk Definition	Date Entered to Risk Registers	Original Risk Score	Risk Score @ July 2024	Risk Score @ Nov 2024
1.	CSD0016	Safety & Safeguarding	Death or serious harm to a child that was or should have been in receipt of services, either from the Council or a Partner agency. There is an on-going need to ensure that services to all Vulnerable Children and young people have a focus on Safeguarding and Prevention of harm.	15/05/2013	15	20	20
2.	RS0056	Finance	There is risk to Council's Financial Standing from overspending its revenue budget, failing to deliver savings and a reliance on reserves.	31/03/2020	16	20	20
3.	HRP0009	Finance	There is a risk that historical errors in Pension Scheme member data will lead to materially incorrect calculation of the Pension's liability figure and qualification of the Council's Statement of Accounts and Pension Fund Accounts.	29/11/2023	16	16	16
4.	ORG0027	Compliance/Regulatory	There is an ongoing risk of a Cyber Attack and a consequential Data Breach, Financial Loss and Business Interruption.	14/02/2022	20	16	16
4.	RSB0023	Finance	There is a risk that the Statement of Accounts will receive a qualified opinion for 2020-21 and onwards	19/05/2014	12	16	16
5.	ASD0015	Safety & Safeguarding	Death or serious harm to a Vulnerable Adult who was or should have been, in receipt of services, either from the Council or a Partner Agency.	10/08/2015	15	15	15
6.	CLSCCB0012	Compliance/Regulatory	Major Health and Safety Incident affecting Council employees, Buildings or related Infrastructure.	22/06/2023	20	20	15
7.	HRPSRC0001	Safety & Safeguarding	Following the Grenfell Fire tragedy residents of Private sector tower blocks in the borough are not safe or do not feel safe due to dangerous cladding that needs removing.	28/09/2017	15	15	15
8.	ASDASC0018	Safety & Safeguarding	Regulatory censure and Safeguarding failure arising from deficient process for new and ongoing employee vetting (i.e. pre-employment checks, ongoing vetting of DBS status, verification of qualifications and other suitability/ screening checks).	19/12/2023	16	12	12
9.	CS0014	Compliance/Regulatory	There is a risk that the Council will fail to comply with its obligations in relation to the Protection of Freedoms Act 2012	14/08/2020	12	12	12
10.	ORG0026	Safety & Safeguarding	There is a risk the Council will be unable to deliver critical and essential services owing to a Business Continuity Incident.	14/09/2020	15	12	12
11.	COM0002	Compliance/Regulatory	Failure to meet the Council's legal duties under the Civil Contingencies Act. This would become evident if a major incident occurred and the Council failed to implement an effective response and recovery	20/02/2018	20	8	8

12.	COM0004	Safety & Safeguarding	Community Cohesion: A loss of social capital and a fracturing of the community and local networks.	02/05/2024	8	N/A	8
13.	LPG0037	Innovation	People First Transformation: A failure to fully execute, implement and realise the benefits from the Council's core transformation programme	02/05/2024	N/A	N/A	8
14.	ICT0081	Contracts and Partnerships	Risk of exploitation of Supply Chain vulnerabilities or shocks impacting Council Services, Vendors and Partners.	25/03/2022	16	6	6
15.	RSF0002	Compliance/Regulatory	The risk of being unable to reclaim VAT from HMRC owing to weaknesses in accounting for VAT and underlying non-compliance with HMRC requirements	29/11/2023	12	12	6