

Detailed Risk Report (incl Control Measure Target Date)

| Risk Ref | Risks | Triggers | Consequences | Existing Control Measures | Current Risk | | | Target Risk | | | Responsibility | CPT |
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| | | | | | L | I | Total | L | I | Total | | |
| CSD0016 | Death or serious harm to a child that was or should have been in receipt of services, either from the council or a partner agency. There is an on-going need to ensure that services to all vulnerable children and young people have a focus on safeguarding and prevention of harm. | Our most recent Ofsted report (June 2019) rates Children's Social Care and Early Help service's as "Good". However, there will be a need to regularly review and scrutinise the quality of services for vulnerable young people. This scrutiny and challenge will need to have a focus on; <ul style="list-style-type: none"> • Overall management oversight and quality of supervision. • Compliance with core statutory and local requirements. • Adherence to key safeguarding thresholds. • Regular assessments of cases, and emerging /changing risks. • Strong planning for children, with regular reviews to avoid drift and delay. • Maintaining strong quality assurance and auditing mechanisms. | <ul style="list-style-type: none"> • Harm to individual Children and young people being left in situations of risk and or unassisted harm. • Poorer than expected outcomes for a child. • Poor audit/review findings • Reputational damage to the council. • Poor Staff development and competence. • Poor Quality assurance and Performance Management • Loss of experienced professional staff. • Potential for legal proceedings against the council leading to financial loss | <p>Monthly meeting of the Continuous Improvement Board, chaired by the DCS, and involving the Lead member.</p> <p>The Tower Hamlets Children's Safeguarding Partnership, delivering the statutory multi-agency oversight of safeguarding.</p> <p>The Tower Hamlets Safeguarding Children's Partnership is jointly led by the Council, Police and CCG, and benefits from the support and challenge of an Independent Scrutineer. The Partnership provides routine oversight of multi-agency data and quality assurance findings.</p> <p>Monthly service level performance meetings held by the Divisional Director.</p> <p>Underpinned by monthly Performance Surgeries held by each Head of Service.</p> <p>Practice Week which is held twice a year (May and November) which involves all Corporate Directors and members.</p> | 5 | 5 | 25 | 4 | 4 | 16 | James Thomas | People Are Aspirational, Independent And Have Equal Access To Opportunities. |
| | | | | Increased level of Quality Auditing. <p>From May 2021 the quality assurance programme will move to monthly quality audits and be positioned as a core activity for all managers to ensure consistent and improving standards of casework across Children's Social Care. All audits are moderated.</p> <p>Susannah Beasley-Murray</p> <p>Required Control Measure</p> <p>Target Date: 31/03/2023</p> <p>External peer scrutiny of our safeguarding practice.</p> <p>Three current initiatives: (1) Peer contribution to the Continuing Improvement Board; (2) East London Quality Assurance Peer Review - external moderation of our case auditing; (3) Full LGA Peer Challenge end of March 2022 focused upon our front door, assessment, Child in Need and Child Protection work.</p> <p>James Thomas</p> <p>Required Control Measure</p> <p>Target Date: 31/03/2023</p> <p>Inspection by the regulator, Ofsted</p> <p>Tower Hamlets is due a Focused Visit as part of the ILACS inspection framework expected by summer 2022, which will</p> | | | | | | | | |

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| | | | | | L | I | Total | L | I | Total | L | I | Total | | |
| | | | | | | | | provide external scrutiny of our safeguarding practice against the national inspection benchmarks. Annual Conversation with Ofsted indicated this will be within 12 months from July 2021. James Thomas <u>Required Control Measure</u> Target Date: 31/03/2023 Tower Hamlets Safeguarding Children's Partnership and increased quality assurance. The THSCP has planned for 21/22 and 22/23 an increased level of quality assurance, including multi-agency case audits and Safeguarding Child Practice Reviews. James Thomas <u>Required Control Measure</u> Target Date: 31/03/2023 | | | | | | | |
| ICT0080 | Supply-chain issues (for example with computer chips) could lead to delays in receiving goods ordered Examples being network hardware in the NTH, monitors, laptops etc. | Delivery dates beyond the project plans. Step change in demand that pressures the need for additional equipment i.e. back to business initiative | Non-availability of network at NTH, non-availability of AV kit for NTH Lack of suitable equipment for new starters Not the full complement of equipment on desks in readiness for the return to offices | | 5 | 5 | 25 | Alternative approach to established supply chain Review the expected delivery dates, formulate alternative plans. Identify alternative suppliers Melanie Rose <u>Required Control Measure</u> Target Date: 31/05/2022 Forward planning for demand Work with relevant areas to identify a forward plan of requirements. Understand attrition rates for equipment; losses, breakages, non-returns for example with laptops Maintain requisite stock levels | 2 | 2 | 4 | Adrian Gorst | | | |

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| | | | | | | <p>Adam Evans</p> <p><u>Required Control Measure</u> Target Date: 31/05/2022</p> <p>Agile procurement</p> <p>Work with procurement to apply the most appropriate procurement approach based on availability</p> <p>Melanie Rose</p> <p><u>Required Control Measure</u> Target Date: 31/05/2022</p> | | | |
| ORG0027 | There is an ongoing risk of a cyber attack and/or major loss of IT. | Cyber attacks could include ransomware, denial of service, social engineering, phishing, malware and/or an active attack exploiting network security vulnerabilities. Attacks could be enabled through miss-sent emails, inappropriate sharing, insecure design, inappropriate access, introduction of unauthorized software to the network, users clicking on phishing scam email links, and/or divulging sensitive information | Significant and prolonged loss of IT services. Inability to deliver critical and essential services. Failure to comply with statutory duties or other legal responsibilities. Breach of data protection legislation Financial loss Reputational damage | Current activity Internal internal and external reviews. Internal vulnerability scanning is on-going, occurring every week and the critical / high vulnerabilities discovered continue to be escalated for urgent remediation. Annual independent penetration tests. Implementation of a SIEM solution Recruiting additional specialist resources to support the SIEM. Take a risk-based approach to data security. Embed the risk assessment culture within service delivery. Ensure architectural decisions taken are supported by adequate risk assessments. Ensure Policies are aligned with identified risks and communicated effectively. Ensure policy exceptions are supported with fully documented and signed off risk assessments and controls are continually monitored Cyber Security/Attack Exercise The Council has run a table top cyber security/attack scenario with both CLT and the CCB. Lessons learned have been identified and | 4 5 20 | <p>Zero 12 Tolerance to unsupported software in the council live environment</p> <p>Recent events with a number of local authorities have led to severe disruptions and impacted their ability to deliver key services. In an attempt safeguard LBTH from such an event a Zero Tolerance approach to "unsupported software" will be adopted. This will include:</p> <ul style="list-style-type: none"> - Run weekly vulnerability scan (NESSUS) - Identify and publicise any systems that have unsupported software installed - For those systems identified: <ul style="list-style-type: none"> - If non-production, disable immediately - if production, Applications Team to work with the Service and Information Security to identify the most appropriate course of action. <p>Adrian Gorst</p> <p><u>Required Control Measure</u> Target Date: 30/06/2022</p> <p>Review of BCP</p> <p>At the request of the Corporate Directors of Health, Adults and</p> | 4 3 12 | Adrian Gorst | |

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| | | | | actions will be assigned to responsible officers and monitored by the CCB. | | | | | | | | |
| | | | | | | | | Community, Internal Audit will review a sample of BCP's in quarter 4 of 2021/22 to form a view on whether the BCP's adequately address a complete loss of IT infrastructure for a prolonged period. The outcome will be reported to CLT members and the CCB. Paul Rock <u>Required Control Measure</u> Target Date: 31/05/2022 Governance The terms of reference for the Strategic Information Governance Board need to be reviewed and agreed by CLT. Consideration to include oversight of cyber security matters. Raj Chand <u>Required Control Measure</u> Target Date: 31/08/2022 Information/Cyber Security Incident Response Procedures The Council's Information/Cyber Security Incident Response Procedures need to be reviewed and updated with key details Mary Umoh <u>Required Control Measure</u> Target Date: 31/08/2022 | | | | |

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| RS0056 | The Council may significantly overspend its budget, fail to deliver savings and continue to rely on dwindling reserves. As of January 2021 we have a balanced position forecast for 2021/22, albeit utilising significant one off COVID funding to achieve this. A balanced budget has been set for 2022/23, with a single year budget set in March 2022, and the Council will review its medium-term funding position in the 22/23 financial year. | COVID-19 Virus outbreak and associated increase in costs. Loss of income in particular council tax, business rates and leisure events. Poor budget management Failure to deliver savings | Significant financial losses, overspent budgets, further drawn down on reserves. | Financial Measures CLT and SLT have prepared savings proposals to deliver savings across 9 themes which were presented Members for their agreement: 1. New ways of working from the pandemic 2. Managing demand by enabling people to help themselves 3. Streamlining our back office 4. Greater use of community assets 5. Digital 6. Buildings 7. Non- statutory services 8. Joining up services 9. Headcount reductions Financial Actions Increased focus on budget management. Budget Managers Handbook Issued. All budget managers directed by CLT to remain in budget. High risk budgets reviewed by the Corporate Director Resources or the Divisional Director of Finance, Procurement and Audit. Redoubled efforts to deliver previously agreed savings proposals. | 5 | 4 | 20 | Monitoring and Control Continual focus on budget management. Closely tracking delivery of savings and identifying alternatives if proposals become undeliverable. Regular budget reporting to CLT, Portfolio Leads, MAB and Cabinet. Allister Bannin <u>Required Control Measure</u> Target Date: 31/03/2023 | 4 | 3 | 12 | Nisar Visram | | | |
| RSB0023 | There is a risk that the statement of accounts will received a qualified opinion for 2020-21. | External audit of the statement of accounts and the subsequent findings/outcome. | Qualified opinion on statement of accounts. Reputational damage to the Council. | | 4 | 4 | 16 | Improvement Plan An extensive improvement plan is in place and being actively delivered and monitored. Progress will be reported to CLT and the Audit Committee. Ahsan Khan <u>Required Control Measure</u> Target Date: 30/09/2022 | 2 | 2 | 4 | Nisar Visram | A Dynamic Outcomes-Based Council Using Digital Innovation And Partnership Working To | | |

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| ASD0015 | Death or serious harm to a vulnerable adult who was or should have been, in receipt of services, either from the council or a partner agency. | There is a failure of one or more of the controls in place to identify the degree of risk to a vulnerable adult (multi-agency safeguarding procedures) Poor practice, insufficient information sharing and/or inadequate management oversight. Failure of quality control systems. Service user fails to work to agreed partnership / agency arrangements. Poor communication and partnership work. Poor resourcing of service areas against increased demand. Local authority contracted out service do not have sufficiently robust safeguarding arrangements. | Harm to an individual. Reputational damage to the Council. Potential for legal proceedings against the council leading to financial loss. Loss of confidence in safeguarding capability. | CQC care commission embargo list used. This list is available from the CQC highlighting all providers where the CQC has raised concerns. London ADASS branch circulate any service suspensions or restarts due to safeguarding concerns and these are passed to the Brokerage service. Paul Swindells, Service Manager has oversight of Brokerage Team. Provider Concerns and interface with Adult Safeguarding is a standard agenda item at Joint ASC/IC SMT. | 3 | 5 | 15 | 2 | 5 | 10 | Denise Radley | People Are Aspirational, Independent And Have Equal Access To Opportunities. |

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These are linked to the principles of Safeguarding with is Empowerment, Prevention, Proportionality, Partnership, Protection and Accountability. They are also linked to the principles of Making Safeguarding Personal. The SAB will be focusing on 3 key priorities relating to transitions, self neglect and homelessness.

Katie O'Driscoll

Required Control Measure
Target Date:
28/08/2022

Safeguarding issues as part of contract management procedures

Status changed to allow review by Joint Director for Integrated Commissioning (last reviewed 2016) - contract management procedures continue to focus on safeguarding.

Warwick Tomsett

Required Control Measure
Target Date:
01/12/2021

Failed visit policy and procedures in place.

Responsibility for this policy sits within Commissioning and applies to all commissioned services as well as the Council's own re-ablement service.

Safeguarding Adults Reviews have identified problems with compliance to this policy and it is crucial that it is regularly reviewed and forms part of contract monitoring. Status changed back to in progress so that a review can be provided by Joint Director of Integrated Commissioning - policy review to be carried out (policy last

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| | | | | | | | | updated c18months ago) alongside a review of intelligence from contract monitoring reports. Warwick Tomsett <u>Required Control Measure</u> Target Date: 01/12/2021 Safeguarding Adult Reviews Action Plan - implementation of recommendations of all SARs We have recently overhauled our approach to tracking the implementation of recommendations from Safeguarding Adult Reviews. We now have a single log providing a comprehensive overview of recommendations and the status of action/implementation. This is reported regularly to the Safeguarding Adult Board. Katie O'Driscoll <u>Required Control Measure</u> Target Date: 31/03/2022 | | | | | |
| PLC0013 | Following the Grenfell Fire tragedy residents of tower blocks in the borough are not safe or do not feel safe from fire following reassurance, advice, interim measures and completed, in progress or scheduled remedial actions to improve fire safety. | Accountability for fire safety is not correctly designated, communicated and understood Fire Risk Assessments: * are incomplete, inadequate or not carried out in accordance with the latest advice from DCLG and fire and rescue services * are not published in accordance with the Mayor's commitment * do not include the time limits on recommendations Fire Risk Assessment | Loss of life Loss of housing stock Lobbying and/or protesting The council and local housing management organisations lose the trust of residents Individual prosecution under a number of Acts of Parliament and common law offences with potential penalties including unlimited fines and a maximum of life imprisonment Corporate prosecution with potential penalties of unlimited fines, remedial | Work with MHCLG to ensure owners of private residential tower blocks are taking measures to ensure their residents safety Officers within the Council, representing Environmental Health, Planning and Building Control and Housing and Regeneration meet weekly at Fire Safety meeting, to discuss progress with the remediation of ACM from tall buildings, this also includes progress on responses to EWS survey. Information from the EWS survey are inputted onto the the Department for Levelling Up's (DfLU) DELTA system and details of information received are sent to a Technical Officer in the Building Control Team for analyses. | 3 | 5 | 15 | 1 | 5 | 5 | Ann Sutcliffe | A Borough That Our Residents Are Proud Of And Love To Live In. | |

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| | | <p>Action Plans: are not produced and/or delivered within appropriate timescales</p> <p>Limited current contractor supplier chain for scale of identified fire safety works</p> <p>Constrained and limited ability for Tower Hamlets Homes to complete all the Fire Risk Assessment work identified in the new round of comprehensive Fire Risk Assessments</p> <p>Unable to justify block prioritisation policy for programmes of Fire Risk Assessment works</p> <p>Leaseholders do not fit fire rated flat entry doors (ALL flat entrance doors in a block will need to be compliant to achieve good fire compartmentalisation and a 'Tolerable' fire safety standard)</p> <p>Fire safety measures are uncoordinated</p> | <p>orders and publicity orders</p> <p>Adverse national media coverage</p> <p>Uninsured financial loss</p> <p>Council perceived as not having fulfilled statutory duty to keep local housing conditions under review</p> | <p>Council Officers are in weekly contact with the GLA on the progress of each development's individual grant application to remediate ACM from particular buildings and this is reported back to the Fire Safety Meeting.</p> <p>This has now progressed to EWS work and applications to the Department for Levelling Up's (DfLU) BSF fund to remediate materials of concern other than ACM.</p> <p>Likewise Officers are in regular dialogue with DfLU on buildings which are of concern and also to discuss various freeholders and managing agents who are not communicating well or slow in responding for information requests.</p> <p>Monthly meetings are held with DfLU and Council officers to monitor progress on each building of concern.</p> <p>Tower Hamlets Housing Forum , Council Officers and the London Fire Brigade have a close working partnership on resident consultation.</p> <p>LBTH Client Management</p> <p>Fire risk assessments for all buildings are up to date and FRA as fire risk assessor UKAS credited.</p> <p>There are</p> <p>No building categorised as substantial or intolerable level of risk.</p> <p>All high-rise buildings surveyed in early 2020 and none has been</p> | | | | | | | | |

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| | | | | <p>identified with High Pressure Laminate (HPL) or Aluminium Composite Material ACM cladding. Cladding on 2 tower blocks (malting & Brewster), where there were concerns, has been removed already.</p> <p>Joint working with THH in preparation of the Building Safety Bill including, a pilot of a building safety case, ICT preparation, and a paper which will spell out the roles and responsibilities including those of the Accountable Person and the Building safety Manager is earmarked for November Cabinet.</p> <p>A leading fire engineering consultancy has reviewed all buildings over 6 storey and identified those that may present a greater level of risk. Subject to approval of LBTH, we will shortly carryout external wall surveys on these buildings (by April 2022). Procurement is underway to appoint more permanently a fire engineering consultant to complete fire strategies, means of escape reports and external wall surveys for all other buildings that require one.</p> <p>THH regularly communicate with residents through newsletters and resident association presentation in conjunction with the London Fire Brigade. We also meet with the LFB on a regular basis.</p> <p>THH/LBTH capital investment programme has been reviewed and refocussed the works programme to accelerate front door replacements and make provision for major works arising from EWS surveys and Type 4 fire risk assessments.</p> | | | | | | | | |

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| | | | | <p>THH report progress on major works projects as well as more broadly on compliance at regular liaison meetings with the LBTH client team.</p> <p>Fire Safety is also regularly discussed at QSM and the Mayor's Housing meeting</p> <p>A monthly compliance dashboard is in place that covers a wide range of compliance related KPIs including those relevant to fire.</p> | | | | | | | | |
| ASD0038 | <p>There is a risk that the Council will fail to comply with its obligations in relation to the Protection of Freedoms Act 2012 and therefore failing to meet the Code of Practice compiled under that Act by the Home Office Surveillance Camera Commissioner (SCC) for the operation of video surveillance systems.</p> <p>The Council may also fail to meet the requirements of the Data Protection Act 2018 related to the use and management of video surveillance systems.</p> | <p>A lack of appropriate governance, policy and standard operating procedures.</p> <p>No asset registers for surveillance systems.</p> <p>Out of date or missing Data Processing Impact Assessments</p> <p>No SLAs with major stakeholders such as the Police and Transport for London</p> <p>A lack of compliance with agreed governance, policy and procedures.</p> <p>An inspection by the Surveillance Camera Commissioner.</p> <p>An inspection by the Information Commissioner.</p> <p>A freedom of information request.</p> | Financial, legal and reputational. | <p>Service Actions</p> <p>Ensure an updated DPIA is in place for all departmental VSS systems.</p> <p>Ensure DPIAs are quality assured by Information & Governance Team and signed off</p> <p>Ensure robust information sharing agreements are in place where appropriate for all departmental VSS</p> <p>Ensure Asset management registers are maintained.</p> <p>Ensure DLTs and Directors are appraised of progress and risks.</p> | 3 | 4 | 12 | 2 | 2 | 4 | Denise Radley | A Dynamic Outcomes-Based Council Using Digital Innovation And Partnership Working To |

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Ensure an updated DPIA is in place for all departmental VSS systems.
 Ensure DPIAs are quality assured by Information & Governance Team and signed off
 Ensure robust information sharing agreements are in place where appropriate for all departmental VSS
 Ensure Asset management registers are maintained.
 Ensure DLTs and Directors are appraised of progress and risks.
Peter Allnutt

Required Control Measure
Target Date:
31/12/2021
 Service Actions

Ensure an updated DPIA is in place for all departmental VSS systems.
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 Ensure Asset management registers are maintained.
 Ensure DLTs and Directors are appraised of progress and risks.
Michael Darby

Required Control Measure
Target Date:
31/12/2021
 Service Actions

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| | | | | | | | | <p>Ensure robust information sharing agreements are in place where appropriate for all departmental VSS</p> <p>Ensure Asset management registers are maintained.</p> <p>Ensure DLTs and Directors are appraised of progress and risks. Chris Smith</p> <p><u>Required Control Measure</u> Target Date: 31/12/2021</p> <p>Service Actions</p> <p>Ensure an updated DPIA is in place for all departmental VSS systems.</p> <p>Ensure DPIAs are quality assured by Information & Governance Team and signed off</p> <p>Ensure robust information sharing agreements are in place where appropriate for all departmental VSS</p> <p>Ensure Asset management registers are maintained.</p> <p>Ensure DLTs and Directors are appraised of progress and risks. Sam Brown</p> <p><u>Required Control Measure</u> Target Date: 31/03/2022</p> <p>Service Actions</p> <p>Ensure an updated DPIA is in place for all departmental VSS systems.</p> <p>Ensure DPIAs are quality assured by Information & Governance Team and signed off</p> <p>Ensure robust information sharing agreements are in place where appropriate for all departmental VSS</p> <p>Ensure Asset management registers are maintained.</p> <p>Ensure DLTs and Directors are</p> | | | | | | | |

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| | | | | | | | | | appraised of progress and risks. Raj Chand <u>Required Control Measure</u> Target Date: 31/05/2022 | | | | | | |
| COVID0001 | Risk to essential service delivery, the workforce and public safety as a result of the coronavirus and variants of concern. | Third wave of the virus and/or new variants. Vaccines are ineffective against new strains. Vaccine take up is low. Increase in infection rates. National or local restrictions on movement and/or social contract are re-introduced. | Death of members of the public, service users and staff. NHS and hospitals unable to cater for increase in population requiring hospitalisation and intensive care. Collapse or significant reduction in public services including local government, significant down turn in the economy. | Local Outbreak Management Plan The Council has prepared and successfully implemented a local outbreak management plan (surveillance, testing, vaccination, prevention & outbreak management, equalities, communication & engagement) as well as clear and robust governance made up of CLT Gold, Health Protection Board and Local Engagement Board and workstream groups, effective community mobilisation, visible professional and political leadership, data flow from national system, collaboration with London Coronavirus Response Cell and North East London Incident Management Team, and a communications plan. Separate Gold and Silver risk registers are in operation to ensure there is focus on risks and related to the pandemic and their successful mitigation. Stepped up Response In response to the Omicron variant and increased infection rates, the Council stepped up its local outbreak management plan to manage and mitigate the situation, including the GOLD meetings. This included staff working from home whenever possible/practical, requesting two mobile testing units to be added to capacity, providing lateral flow tests across the borough in addition to community pharmacy provision and home test kits that could be ordered through the national system. There was an | 3 | 4 | 12 | Ongoing Monitoring CLT and Public Health will continue to monitor the situation and closely and step up the Councils response as and when required. Denise Radley <u>Required Control Measure</u> Target Date: 30/09/2022 | 3 | 3 | 9 | Will Tuckley | A Borough That Our Residents Are Proud Of And Love To Live In. | | |

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| | | | | ongoing communications and engagement programme particularly working with groups that were disproportionately impacted by the pandemic and are at particularly high risk. | | | | | | | | |
| ORG0026 | There is a risk the Council will be unable to deliver critical and essential services. | Industrial dispute Pandemic Flu or similar widespread infections/diseases. Natural disasters (fire, flood etc) Failure of critical third party provided services. Loss of regional infrastructure e.g. utilities | Failure to deliver critical services Death of serious injury e.g. to staff, members of the public or service users Failure to comply with statutory duties or other legal responsibilities Financial loss | A fully functioning and embedded Business Continuity Framework The Corporate Leadership Team has adopted a business continuity policy and civil contingencies arrangements. The development & maintenance of these arrangements is managed through the Civil Contingencies Board which is chaired by Denise Radley. The CCB meeting quarterly and more frequently when required. In 2019/20, new business continuity software (Clearview) to support directorates and services to manage key risks was implemented. | 3 | 4 | 12 | 3 | 4 | 12 | Will Tuckley | A Dynamic Outcomes-Based Council Using Digital Innovation And Partnership Working To |
| PLC0023 | Failure to prepare and take action in relation to the proposed Building Safety Bill | Lack of leadership Insufficient resources Poor understanding of the requirements and consequences Passage of the bill is faster than anticipated | Potential Injury or death of residents Criminal and/or civil litigation for the Council and/or individual senior leadership Delays in construction Regulatory breaches Financial penalties Poor building safety Reputational damage | Research, Legal Advice and Monitoring Key officers are involved in researching the implications of the bill Legal advice has been sought LBTH/THH Building Safety Bill Group monthly meetings to monitor impact and progress Liaise with London wide forums and Federation of ALMOS on implementation Obtain Human Resources advice when required. | 3 | 4 | 12 | 2 | 3 | 6 | Ann Sutcliffe | A Borough That Our Residents Are Proud Of And Love To Live In. |

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| | | | | | L | I | Total | L | I | Total | L | I | Total | | |
| | | | | | | | | <p>b. Communicate the likely impact of the Bill to Members, CLT and DLT. Complete but ongoing: Presentations have been made to CLT, DLT and discussion with members have taken place. Conversations will continue as the Bill passes through Parliament with updates being shared with the relevant people/groups.</p> <p>c. Working with LBTH, Legal Services, CLT and Members to examine the responsibilities linked to the Bill. In progress: Presentations/discussion are taking place, taking into consideration the changes from the draft Bill to the Bill that was published on 5 July 2 and factsheets published in Oct 21.</p> <p>d. Calculate the additional resources required to comply with the Bill when enacted Complete: THH and LBTH have submitted separate growth proposals (a growth proposal for the Building Safety Manager roles and a proposal for LBTH Building Safety Lead and ICT support) which outlines the budget requirements to fund the new building safety posts.</p> <p>e. Consider appointing a building safety lead in the council to undertake the assurance work needed for the council to carry out its Accountable Body role. In Progress: The Building Safety Lead vacancy will be promoted on the Council vacancies</p> | | | | | | | |

| Risk Ref | Risks | Triggers | Consequences | Existing Control Measures | Current Risk | | | Target Risk | | | Responsibility | CPT |
|----------|-------|----------|--------------|---------------------------|--------------|---|-------|-------------|---|-------|----------------|-----|
| | | | | | L | I | Total | L | I | Total | | |
| | | | | | | | | | | | | |

webpage and in various publications by 24 January 22. The appointee will be responsible for the preparations for the building safety regime. Once the Bill has received Royal Assent the Building Safety Lead will oversee THH as Building Safety Manager, ensuring the Council is compliant with the new building safety regime and reviewing all statutory returns before submission to the Building Safety Regulator. They will be the named person FAO of the Building Safety Regulator on behalf of the Council, as the Accountable Person (as an entity).

f. Consider appointing a specialist advisor for support with the Building Safety Case reviews.
 In progress: The BS Lead will be able to assist with the development of the building safety cases. THH is also procuring Adelard to assist with developing the building safety case template, provide licences and training to use their IT system and support for a period.

g. The Council's Building Safety Bill guidance will be updated regularly by Counsel.
 In progress: Bevan Brittan has provided updated advice since the release of the Building Safety Bill on 5 July 21. A comparison to the draft bill has been provided. Bevan Brittan is also providing clarification since the Government factsheets related to

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|----------|-------|----------|--------------|---------------------------|--------------|---|-------|-------------|---|-------|----------------|-----|
| | | | | | L | I | Total | L | I | Total | | |
| | | | | | | | | | | | | |

the Bill were published in Oct 2021.

h. Potentially review existing contracts to ensure they comply with the Bill's requirements. In progress: LBTH Legal has been asked to carry out the review.

i. Investigating digital storage methods to maintain the "Golden Thread of information". In progress: Initial ICT requirements have been identified to the IT Project Board (ITPB). The ITPB will make recommendations on progressing the investigations.

j. Seek Cabinet approval. In progress: A Shadow Building Safety Framework report was approved by Cabinet on 27/11/21. Approval included progressing appointing to the Building Safety Lead role, appointing THH as interim Building Safety Manager and make necessary changes to the constitution where necessary. Once the Building Safety Act is fully implemented (potentially towards the end of 2023) a follow-up Cabinet report will be submitted outlining any changes required to the approved framework.

Karen Swift

Required Control Measure

Target Date:
03/04/2022