



# AUDIT COMMITTEE WORK PLAN 2020/21

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	19 <sup>th</sup> November 2021

**AUDIT COMMITTEE WORK PLAN 2021/22**

REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
<b>24<sup>TH</sup> NOVEMBER 2021</b>	<b>TRAINING DAY</b>		
	<b>Risk Session with Members</b>		PR email 7.9.21
<b>1<sup>ST</sup> DECEMBER 2021</b>			
1. Annual Governance Statement 2020 - 2021	For review and approval by the Audit Committee.	Mayor Biggs/ Will Tuckley	
2. Internal Audit Charter	Annual review and approval of the Internal Audit Charter	Paul Rock	
3. Internal Audit and Anti-Fraud update 2021/22 – Q3 Progress Update	An update on the progress against the delivery of the 2020/21 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta	
4. Risk Management Report 2021/22 – Q3 Progress Update & Directorate Risk Register	An update of risks on the Corporate Risk Register.	Paul Rock	
5. Annual Review of Anti-Bribery Policy	Annual review and approval of the Council's Anti-Bribery policy.	Paul Rock	Deferred item from October 2021 mtg
6. 2022/23 Treasury Management Strategy Statement, Investment Strategy Report and Capital Strategy Report for 2021-22		Nisar Visram /Miriam Adams	
7. Complaints Report	To review complaints made to the Independent Ombudsman. To receive a report in December and	Raj Chand / Parveez Akhtar	PR email of 7.9.21

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
	consider if a report should be provided annually.		
8. Lessons from Prosecution Case??		Paul Rock	
9. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	
<b>27<sup>TH</sup> JANUARY 2022</b>	<b>EXTRAORDINARY MEETING</b>		
1. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	Extraordinary Mtg – Jan 22
2. Statement of Accounts 2018/19, 2019/20 – final approval of audited accounts	The approval of the Annual Statement of Accounts by the Audit Committee.	Kevin Bartle	Extraordinary Mtg – Jan 22
3. Statement of Account 2020/21 – Approval of Accounts	The approval of the Annual Statement of Accounts by the Audit Committee.	Kevin Bartle	Extraordinary Mtg – Jan 22
<b>17<sup>TH</sup> MARCH 2022</b>	<b>TRAINING DAY</b>		
	<b>Risk Management</b>	Paul Rock	
<b>24<sup>TH</sup> MARCH 2022</b>			
1. Deloitte	Standing Item	Jonathan Gooding/Angus Fish	
2. Internal Audit and Anti-Fraud update 2021/22 – Q4 Progress Update	An update on the progress against the delivery of the 2021/22 Annual Internal Audit Plan. Highlights any significant issues since the last report to the Audit Committee.	Paul Rock/Bharat Mehta	
3. Risk Management Report 2021-22 – Q4 Progress Update	An update of risks on the Corporate Risk Register.	Paul Rock	

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REPORT TITLE	BRIEF SUMMARY	LEAD OFFICER	OTHER CTTEE MEETINGS
& Directorate Risk Register			
4. Annual Internal Audit and Counter-Fraud Strategy & Plan	Draft Internal Audit Plan for 2022/23. For review and approval by the Committee.	Paul Rock	
5. Draft Annual Governance Statement 2021/22	For Review. Approval in July 2022 with Annual Accounts.	Paul Rock	
6. Review of Code of Corporate Governance 2020/21	To report on an annual basis. Monitoring Officer.	Matthew Mannion	
7. RIPA Policy 2021/22	Regulation of Investigatory Powers Act 2000 (RIPA) relates to covert surveillance. A report updating Members on the policy and use of these powers, in accordance with the code of practice. Reviewed Annually.	Agnes Adrien	
8. Draft Terms of reference for 2022-23	Review. To be approved in July 2022	Farhana Zia/Paul Rock	
9. Annual Self-Assessment and report of the Audit Committee	Self –Assessment – March 2022 Report by the Audit Chair to go to Full Council in July 2022	Cllr Whitehead/ Paul Rock/ Charlotte Webster/ Farhana Zia	
10. Audit Committee Work Plan	Review and agree items on the work plan for the Committee.	Audit Committee Members	