



**TOWER HAMLETS**

# **AUDIT COMMITTEE WORK PLAN 2019/20**

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| Last updated:    | 29 <sup>th</sup> January 2020  |

**AUDIT COMMITTEE WORK PLAN 2019/20**

| REPORT TITLE   | BRIEF SUMMARY  | LEAD OFFICER                       | OTHER CTTEE MEETINGS    |
|--|--|------------------------------------|-------------------------|
| <b>2<sup>ND</sup> APRIL 2020</b>                           |  |                                    |                         |
| 1. Deloitte  | Standing Item <ul style="list-style-type: none"> <li>External Auditors report on the Annual Financial Accounts 2018/19</li> </ul>  | Jonathan Gooding / Angus Fish      |                         |
| 2. Annual Financial Accounts 2018/19                       | The approval of the Annual accounts by the Audit Committee.  | Kevin Bartle                       |                         |
| 3. Draft Annual Governance Statement 2018/19               | Committee to undertake a review of the Corporate Governance process. Is linked to the Annual Financial Accounts.   | Paul Rock.                         |                         |
| 4. Anti- Money Laundering Policy                           | Report updating the Anti-Money Laundering Policy.  | Agnes Adrien                       | Deferred from 30/01 mtg |
| 5. Risk Management Report 2019/20 - Q4                     | An update on risks on the Corporate Risk Register.   | Anthony Sotande-Peters             |                         |
| 6. Internal Audit and Anti-Fraud update 2019/20 – Q4       | An update on progress against the delivery of the 2019/20 Annual Internal Audit Plan and highlights any significant issues since the last report to the Audit Committee. | Paul Rock/Bharat Mehta/ Tony Qayum |                         |
| 7. Treasury Management Report 2019/20 – Q4                 | Progress on the Treasury Management Strategy Statement and the Treasury Prudential Indicators.   | Allister Bannin                    |                         |
| 8. Annual Internal Audit and Counter-Fraud Strategy & Plan | Draft Internal Audit Plan for 2020/21- For review and approval by the Committee.   | Paul Rock                          |                         |
| 9. Review of Code of Corporate Governance                  | (Annually) Monitoring Officer  | Asmat Hussain                      |                         |
| 10. Whistleblowing Report                                  | Annually – Monitoring Officer  | Asmat Hussain                      |                         |

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| 11. Risk Management Strategy                                   | Review and approve.  | Paul Rock / Anthony Sotande-Peters     |                      |
| 12. Terms of Reference   | Review and approve JULY 2020   | Farhana Zia / Paul Rock                |                      |
| 13. Annual Self - Assessment and report of the Audit Committee | Self - Assessment – Apr 2020<br>Audit Report by Chair – July 2020 to go to Full Council. | Cllr Whitehead/Paul Rock & Farhana Zia |                      |
| 14. Audit Committee Work Plan                                  | Review and agree items on the work plan for the Committee.                               | Audit Committee Members                |                      |

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