

Risk Movement Report



Risk Ref	Risk Event	Movement / Change in Risk Score	Date of Last Movement	Current Risk Rating	Controlled Risk Rating	Risk Owner
ASD0015	Death or serious harm to a vulnerable adult that was or should have been in receipt of services, either from the council or a partner agency.	→	11-Aug-15	15	10	Claudia Brown
ASD0034	The current arrangement for providing the CCTV monitoring service has been in place for over 11 years, however there is no formal contract awarded to the current supplier. There is no current contract in place for the maintenance and installation of CCTV. GDPR and DPA 2018 require us to have an appropriate contract in place. The lack of contract is a non-compliance issue and any breach by the other party becomes the council's liability.	→	25-Nov-19	8	4	Ann Corbett
CLPRCG0040	We are currently at a recycling rate of 23.2% and have a target to reach 35% by 2020. Services are currently in transition from contracted to an in-house delivery model. This may impact on our ability to bring in long term measures to improve recycling on the service as there may be significant service redesign.	→	8-Nov-18	9	9	Oli Kapopo
CSD0016	Death or serious harm to a child that was or should have been in receipt of services, either from the council or a partner agency. There was an Ofsted Inspection Jan-Feb 2017 which found CSC services to be overall inadequate. The report stated that children and young people are being left in situations of harm and the DFE have issued directions to the borough. A Department for Education improvement advisor will also be identified to work with the Council to support the necessary improvement.	↗ 5	25-Apr-17	25	16	Richard Baldwin

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ICT0071	<p>Failure to ensure ICT risks are within the scope of council-wide risk management and internal control frameworks in the following areas;</p> <ul style="list-style-type: none"> •ICT availability and continuity risk •ICT security risk •ICT change risk •ICT data integrity risk •ICT outsourcing risk <p>Ensuring the following are kept under review:</p> <ul style="list-style-type: none"> •ICT risk management policy, processes and risk tolerance thresholds •Organizational management and oversight framework •Internal audit coverage and findings; and •ICT risk controls that are specific for the identified material ICT risk. <p>Zoe & Adrian are attending Clearview training week commencing the 14th October. Following this BCP sections will be updated on Clearview.</p> <p>Major incident policy and processes collated from LBTH & Agilisys side and being updated</p>	↗ 6	20-Nov-18	12	8	Zoe Matthews
PLC0013	Following the Grenfell Fire tragedy residents of tower blocks in the borough are not safe or do not feel safe from fire following reassurance, advice, interim measures and completed, in progress or scheduled remedial actions to improve fire safety.	→	29-Nov-17	15	5	Karen Swift
RM0009	Increased acts of significant fraud or corruption (both internal and external) against the Council	↘ -3	14-Oct-19	9	6	Neville Murton
THB0001	<p>Failure to properly undertake the necessary European Union (EU) exit impact assessments, relevant planning and preparing appropriate mitigation particularly in the following areas;</p> <ul style="list-style-type: none"> - All the statutory services for which the council is responsible. - All regulatory services for which the council is responsible. - Impact on supply chains including those managed directly by the council and those indirect contracts managed through commissioned services. - Data handling including data handling for any outsourced services. - Local partnership working with key statutory and strategic partners. - Appropriate plans and activity to provide community assurance and provision of timely information. 	→	14-Feb-19	16	12	Neville Murton

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THB0002	<p>Impact of Brexit on the recruitment and retention of LBTH staff (People & Skills).</p> <ul style="list-style-type: none"> - Number that are EU residents - Specific service pressures e.g. social care - Professional qualifications from EU nations - Vacancies - Skills shortages - Increasing costs for agency staff or attracting workers for low skilled jobs 	→	6-Mar-19	12	8	Amanda Harcus
THB0003	<p>Brexit risk to LBTH procurement and supply chains.</p> <ul style="list-style-type: none"> - Supplies, services and goods from EU - Increasing costs and tariffs - Lack of availability - Stockpiling" 	→	6-Mar-19	16	8	Zamil Ahmed
THB0004	<p>Brexit - Organisational Impact Impact of Brexit on the regulatory landscape Impact on income streams Impact on local communities</p> <p>1. Decreased revenues & changes in demand</p> <ul style="list-style-type: none"> - Business and economy - Drop in the value of the pound - Business rates - Regeneration schemes - Skills shortages - Cost of living - Decline in town centres/high streets <p>2. Laws, Legislation and regulation.</p> <ul style="list-style-type: none"> - Environmental regulations - Devolution/public service reform - Human rights <p>3. Citizens and Community</p> <ul style="list-style-type: none"> - Community cohesion - Migration into the borough - No Recourse to Public Funds" 	→	6-Mar-19	9	6	Neville Murton
WSPP0015	<p>Increased demands on corporate services The management of a service that will have a budget c£20M, employ c300 officers and be implementing change that will impact on the majority of the boroughs residents, will require significant support from corporate services such as HR, Finance, Policy, Commercialisation and Communications.</p>	↗ 5	12-Feb-19	15	9	Dan Jones