

LICENSING COMMITTEE

Thursday, 12 September 2019 at 6.30 p.m.

The Council Chamber, 1st Floor, Town Hall, Mulberry Place, 5 Clove
Crescent, London, E14 2BG

SUPPLEMENTAL AGENDA

This meeting is open to the public to attend.

Contact for further enquiries:

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PAGE NUMBER(S)	WARD(S) AFFECTED
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3 .2 Gambling Policy 2019 - 2022

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Report attached.

<p>Non-Executive Report of the:</p> <p>Licensing Committee</p> <p>12th September 2019</p>	 <p>TOWER HAMLETS</p>
<p>Report of: Ann Sutcliffe, Corporate Director, Place</p>	<p>Classification: Unrestricted</p>
<p>Gambling Policy 2019 -2022</p>	

Originating Officer(s)	David Tolley, Head of Environmental Health and Trading Standards
Wards affected	All wards

REASONS FOR URGENCY

The report was not published five clear days in advance of the meeting. Therefore, before this item can be considered at this meeting, the Chair of the Committee would need to be satisfied that it is necessary to consider the Gambling Policy at this meeting, the Committee may also take the view that it is important that there should not be an extended period without member oversight of this matter, as the Policy must be reviewed and published by no later than 5 December 2019.

Summary

As a Licensing Authority the Council must review the existing Gambling Policy and adopt and publish a new policy by December 2019, as one of the responsibilities it has to administer 'high street' premises under the Gambling Act 2005. The purpose of the policy is to define how the responsibilities under the Act are going to be exercised and administered. This is highly prescribed and limited by statute. The Council is not able, for example, to ban gambling or specific forms of gambling.

Subject to agreement the Policy will be presented to Cabinet and then to Full Council for adoption under the provisions set out by the Council's Constitution

The policy is now before the Licensing Committee, after consultation, for comment.

Recommendations:

The Licensing Committee is recommended to:

1. Review the Gambling Policy 2019 – 2022 and provide any comments on the policy.

1. REASONS FOR THE DECISIONS

- 1.1 All relevant local authorities are required under the Gambling Act 2005 to review their gambling policy.
- 1.2 The purpose of the policy is to define how the responsibilities under the Act are going to be exercised and administered.
- 1.3 A statutory consultation process must take place prior to the adoption of the revised Gambling Policy by Full Council.

2. ALTERNATIVE OPTIONS

- 2.1 Pursuant to the Gambling Act 2005, the Council is a responsible authority for the licensing of premises used for gambling. If the Council did not have a policy it would be acting ultra vires with regards to any decisions it makes determining gambling premises licences.
- 2.2 The Gambling Commission has laid down guidance which the Council must have regards to in carrying out their functions under the Act, including setting their Gambling policy. Departure from the guidance without good reason could leave the council at risk of judicial challenge. The Gambling Commission guidance has been followed in drafting the revised Gambling Policy. The policy focusses on the elements covered by the licensing objectives.

3. DETAILS OF REPORT

- 3.1 All relevant local authorities have to review their gambling policy, as one of the responsibilities they have, under the Gambling Act 2005.
- 3.2 The purpose of the policy is to define how the responsibilities under the Act are going to be exercised and administered.
- 3.3 A statutory consultation process must take place prior to the adoption of the revised Gambling Policy by Full Council.
- 3.4 Pursuant to the Gambling Act 2005, the Council is a responsible authority for the licensing of premises used for gambling. If the Council did not have a policy it would be acting ultra vires with regards to any decisions it makes determining gambling premises licences.
- 3.5 The Gambling Commission has laid down requirements which the Council must follow with regards to the Gambling Policy. If these requirements are not followed, the Council could be at risk of judicial challenge. The Gambling Commission guidance has been followed in drafting the revised Gambling Policy. The policy is limited to considering the elements covered by the licensing objectives. The Council must follow the guidance laid down by the Gambling Commission.

- 3.6 The Gambling Act 2005 gives local authorities a range of responsibilities relating to gambling. The Gambling Policy states how the Licensing Authority will exercise this responsibility and authority.
- 3.7 This policy covers the following:
- How the Licensing Authority will use its regulatory powers in relation to applications and reviews of the activities it regulates, to the extent it is allowed by statute.
 - The main licensing objective for the authority is protecting the vulnerable.
 - The Licensing Authority approach to regulation
 - The scheme of delegation
- 3.8 The Gambling Policy is prescribed by Central Government and the Gambling Commission. The policy produced has to comply with guidance issued by both of these bodies. The current policy is compatible with this advice and guidance.
- 3.9 Members should note that some of the major issues and concerns about gambling are not addressed in the policy or during the consultation process. For example, gambling addiction is outside the remit of the consultation, as are arguments about the public benefits, or otherwise, of a more liberal gambling regime.
- 3.10 The responsibilities the Council have under the Gambling Act 2005 have not been controversial. 80 licences have been issued primarily to betting shops and adult amusement arcades. These businesses are nearly all national companies that have conducted their business within the legal requirements. The number of premises in a particular area is not a ground for objection.
- 3.11 The Local Authority does not have the powers within its Gambling Policy to regulate on-line gambling sites. All gambling websites trading with, or advertising to, consumers in Britain must have a Gambling Commission licence issued by the Gambling Commission.
- 3.12 The government has changed the use class order so that betting shops were removed from their previous A2 use class and made a 'sui generis' use. As such planning permission is now required to change the use from any other use to a betting shop. This has meant that there is slightly more control under planning legislation to control the growth of Betting Shops.
- 3.13 Planning powers cannot control existing betting shops if they have already opened up under a permitted change of use (i.e. before the recent changes to the use class order moving betting shops from A2 to 'sui generis'), however any further change of use applications for a betting shop would be subject to a planning application. As part of the determination of the application, issues such as the number of betting shops in the surrounding area could be a consideration if the area was becoming saturated with betting shops.

- 3.14 There have been several concerns raised through London Councils concerning the fixed odds betting terminals (FOBT's) that have been installed within betting shops. These B2 gambling machines play games of chance such as roulette. With a betting shop licence, the operator can install up to four machines, which have a maximum stake of £100 and a maximum prize of £500. London Councils along with others have successfully lobbied Government to reduce the maximum £100 stake on B2 machines to £2 which will assist with the prevention of the clustering of betting shops due to the profitability of such gambling machines. The reduction in the maximum stake to £2 commenced in April 2019. Tower Hamlets was a signatory to this campaign.
- 3.15 We have not experienced the same volume of applications in gambling as we have in other areas of licensing. There has been one new application since 2014, this was for a Paddy Power Shop in Roman Road. This application was objected to by the community, but after consideration by the Licensing Sub Committee and legal advice the licence was issued.
- 3.16 The issues of betting shop clustering and concern over fixed odd betting terminals (FOBT) have shown that gambling generates extremely strong feelings. Whilst licensing authorities do not have the powers to refuse new applications or limit FOBT machines, the requirement for operators to prepare local risk assessments in relation to their premises means that licensing authorities need to set out their expectations within their statements of Gambling Policy.
- 3.17 The only controversial applications have been where betting shops have applied to open in close proximity to schools or places of worship. The powers the Council have are limited and it is not possible to make either policy or decisions regarding this issue under the Gambling Policy.
- 3.18 The Gambling Policy consultation period took place from the 4th April to the 27th June 2019 and was publicised on line with letters being sent to the organisations detailed in Appendix One.
- 3.19 The changes made to the Gambling Policy are detailed within Appendix Two and have been made subject to regulatory change and updates from the Gambling Commission guidance. The changes covered the following areas:
- Updates in relation to the Councils functions
 - Further details on responsibilities with regards to child protection, interested parties, exchange of information and inspection/enforcement procedures
 - Further explanation and detail on the licensing objectives that need to be maintained
 - Additional consideration of the type of gaming premises – Betting Shops, Adult Gaming centres, Family Entertainment Centres, etc.
 - Updated requirements for business risk assessments and profile in relation to gaming

- Additional information on Hearings and reviews
- 3.20 During the consultation process a number of representations were made, these are detailed in Appendix Three. We have reviewed the comments made therein and have made changes to the original consulted document in relation:
- Location and Local Risk assessments (Public Health)
 - Additional details on voluntary exclusion schemes to protect children and vulnerable adults (Gam Care)
 - Sharing of local area risk assessments – to be in consultation with the Operators and the Gambling Commission (William Hill and Paddy Power)
- 3.21 It is proposed that the current ‘no casino’ resolution that is currently in the existing policy remains.
- 3.22 The revised Gambling Policy that is due to take effect from December 2019 is detailed within Appendix Four.
- 3.23 An Equalities checklist has been undertaken as is at Appendix Five.

4 EQUALITIES IMPLICATIONS

- 4.1 The Equalities Impact Assessment has been reviewed in respect of this policy and no adverse issues have been identified

5 OTHER STATUTORY IMPLICATIONS

- 5.1 This section of the report is used to highlight further specific statutory implications that are either not covered in the main body of the report or are required to be highlighted to ensure decision makers give them proper consideration.
- 5.2 Best Value: The Gambling policy details the regulatory approach to gambling establishments with the Borough. The fees imposed for the licence are set by government and have been adopted by the Licensing Committee. The fees cover the cost of regulating and administering the Gambling Policy.
- 5.3 Crime Reduction: One of the key licensing objectives is to prevent gambling from being a source of crime and disorder. The policy supports and assists with crime and disorder reduction by controlling those who are able to offer gambling to members of the public and imposing conditions on relevant premises licences.
- 5.4 Safeguarding: A statutory licensing objective of the Gambling Policy concerns the protection of children and other vulnerable persons from being harmed or exploited by gambling. The Policy details how regulation through licensing promotes this objective.
- 5.5 Risk Management: There are no risk management issues with the revised policy or the consultation process.

5.6 Environmental and consultation implications: There are no negative environmental impacts with regard to this policy or the consultation process

6. COMMENTS OF THE CHIEF FINANCE OFFICER

6.1 There are no financial implications emanating from this report which updates the Council's policy on issuing gambling licenses. The Council generates a small income stream from issuing licenses which covers the cost of inspections. This forms part of the existing licensing revenue budget and is not impacted on by this report.

7. LEGAL COMMENTS

7.1 Section 349 of the Gambling Act 2005 ('the Act') requires the Council to prepare a statement of the principles that it proposes to apply in exercising its functions under the Act and to determine and then publish this statement. This statement is more commonly known as a 'Gambling Policy'. The legal requirement is for the preparation of the statement of principles to be undertaken every 3 years. The current statement of policy was published on 5th December 2016, and therefore any revised statement should be adopted before 5 December 2019

7.2 The Council has undertaken the statutory consultation as provided by section 349(3) of the Act as referred to in paragraph 3.18 of the report Appendix 3 to the report states the responses received in respect of the consultation, which have been taken into account when updating the report.

7.3 The Gambling Act 2005 (Licensing Authority Policy Statement) (England and Wales) Regulations 2006 states that a minimum of 4 weeks is required between publication and the statement coming into effect.

7.4 Pursuant to the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 the adoption of the Gambling Policy is a Council function. This is reflected in the Constitution, under which it is a policy framework document, and must follow the procedure set out in the Budget and Policy Framework Procedure Rules prior to adoption by Council. This requires pre-decision scrutiny by the Overview & Scrutiny Committee and recommendation to Council by Cabinet. If Council wishes to change the recommendation, then the matter is referred back to Cabinet and in due course back to Council.

7.5 The terms of reference of the Licensing Committee under the Constitution and Delegations provides that the Licensing Committee considers statements of Licensing Policy, so consultation with the Licensing Committee is appropriate

7.6 In carrying out its functions, the Council must have due regard to the need to eliminate unlawful conduct under the Equality Act 2010, the need to advance equality of opportunity and the need to foster good relations between persons

who share a protected characteristic and those who do not (the public sector equality duty). An equality analysis quality assurance checklist has completed which specifically considers the licensing objectives in relation to protecting children and other vulnerable persons from being harmed or exploited by gambling.

Linked Reports, Appendices and Background Documents

Linked Report

- NONE

Appendices

- **Appendix One: List of Consultees**
- **Appendix Two: Statement of Gambling Policy review – Proposed Changes 2019-2022**
- **Appendix Three: Responses to the Gambling Policy Consultation 2019**
- **Appendix Four: Gambling Policy 2019-2022**
- **Appendix Five: Equalities Impact Checklist**

Local Government Act, 1972 Section 100D (As amended) List of “Background Papers” used in the preparation of this report

NONE

Officer contact details for documents:

N/A

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Appendix 1

List of consultees:

Authorities/Bodies

- The Gambling Commission
- Metropolitan Police Service
- HMRC
- The London Fire Brigade
- Mayor's office for Policing and Crime (MOPAC)
- The Institute of Licensing (IoL)
- Adult Care Service, London Borough of Tower Hamlets
- Council of Mosques
- NSPCC
- Tower Hamlets Clinical Commissioning Group (THCCG), NHS
- The Young Mayor, London Borough of Tower Hamlets
- Planning and Building Control Service, London Borough of Tower Hamlets
- Maritime and Coastguard Agency (MCGA)
- The Environment Agency
- The Canal and River Trust
- Health and Safety, Environmental Health and Trading Standards Service
- Trading Standards, Environmental Health and Trading Standards Service
- Public Health Service, London Borough of Tower Hamlets
- Child Protection, London Borough of Tower Hamlets
- Environmental Health, Environmental Health and Trading Standards Service
- Community Safety, London Borough of Tower Hamlets

Gambling Operators/Businesses:

- Carousel Amusements
- Merkur Cashino
- Gala Coral Group
- Joe Jennings
- William Hill
- Paddy Power

- Roar Betting
- Tote Betting
- Two Way Media

Gambling Support Services

- GamCare
- Responsible Gambling Trust

Businesses

- All Gambling Premises Licence Holders (Gambling Act 2005) in the Borough
- All Premises Licence Holders (Licensing Act 2005) that are permitted to sell/supply alcohol for consumption on the premises.
- Greenwich Leisure Limited (GLL)

Licensing Committee Members

- Councillor Zenith Rahman
- Councillor Dan Tomlinson
- Councillor Ehtasham Haque
- Councillor Eve McQuillan
- Councillor Faroque Mahfuz Ahmed
- Councillor Leema Omar Qureshi
- Councillor Puru Miah
- Councillor Sabina Akhtar
- Councillor Shad Uddin Chowdhury
- Councillor Shah Suhel Ameen
- Councillor Tarik Ahmed Khan
- Councillor Victoria Ngozi Obaze
- Councillor Mohammed Ahabab Hossain
- Councillor Rajib Ahmed
- Councillor Peter Golds

Councillors

- Members Bulletin

Appendix Two

Statement of Gambling Policy Review – Proposed Changes 2019 - 2022

Please note those changes below which are highlighted are ones changed following the consultation.

Section/Page	Addition/Deletion	Rationale
All	Paragraph numbering to changes as per the new changes to the documents	Formatting as part of the review and update.
Page 1	Add: Front page with LBTH Logo and “Effective 5 th December 2019” then “The London Borough of Tower Hamlets, Gambling Policy 2019 – 2022”	Current policy has now front page.
Page 3	New Contents Page	To reflect changes, note the numbers are added as if the deletions have been removed.
Page 2	Summary to Page to page 3 before Contents page	
Page 4	After “PART A” add – <u>Introduction, Statements, and Licensing Objectives</u>	Improve layout and format
Page 4	Delete paras contained from para 2 “Introductions” through to para 8 “Licensing Authority Functions on page 10, including the last para on this page – 8.3. Replace with the following paras/headings	Improve clarity, and updated from Gambling Commission Guidance and

	<p>1. Introduction</p> <p>1.1 <i>This Policy sets out the principals the London Borough of Tower Hamlets (Licensing Authority) will apply in exercising our function under the Gambling Act 2005 (the 2005 Act).</i></p> <p>1.2 <i>The term ‘Licensing Authority’ will be used in all future references to ‘the London Borough of Tower Hamlets’ in this Policy.</i></p> <p>1.1 <i>This Policy replaces the previous one published on 5th December 2016 and covers the period from 5th December 2019 to 4th December 2022.</i></p> <p>1.2 <i>The Licensing Authority will formally review this Policy every three years, as required by the 2005 Act. However during this period we will keep it under review which will allow us to make any revisions we consider appropriate.</i></p> <p>1.3 <i>This Policy is written with the view to promoting the three licensing objectives of the 2005 Act:</i></p> <ul style="list-style-type: none"> <i>i. Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime;</i> <i>ii. Ensuring that gambling is conducted in a fair and open way; and</i> <i>iii. Protecting children and other vulnerable persons from being harmed or exploited by gambling.</i> <p>1.4 <i>As part of this licensing authority’s approach to reduce gambling-related harm we support the Government proposals to reduce the maximum stakes for Fixed Odds Betting Terminals (FOBTs) to £2 and other measures regarding allocations of gaming machines and social responsibility measures to minimise the risk of</i></p>	<p>current changes in legislation etc.</p> <p>Post consultations add (highlighted) which were added following comments from GamCare and Public Health. Also to mirror CSE approach take in the recently revised Statement of Licensing Policy.</p>
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gambling-related harm.

Tower Hamlets Geographical Area

- 1.5 *This Policy applies to the whole of the London Borough of Tower Hamlets. The borough is a single tier authority on the East side of inner London covering an area of approximately 19 kilometers squared. It extends from Victoria park in the north down to the Isle of Dogs in the South, and extends from the A12 in the east to Brick Lane and the Tower of London in the west. A map of the geographical area of the borough can be found in **Annex 1** and this shows where Gambling premises licences have been issued within the borough.*
- 1.6 *The Licensing Authority has consulted widely upon its policy statement before finalising and publishing it. A list of the persons and organisations consulted is provided in **Annex 2** of the Policy adopted by the Licensing Authority. We have consulted businesses, elected representatives, community and third sector organisations and responsible authorities.*
- 1.7 *The 2005 Act requires that the following parties are consulted by Licensing Authorities:*
- *The Chief Officer of Police*
 - *One or more persons who appear to the authority represent the interests of persons carrying on gambling businesses in the authority's area*
 - *One or more persons who appear to the authority to represent the interests of persons who are likely to be affected by the exercise of the authority's functions under the Gambling Act 2005.*

	<p>1.8 The consultation took place between 4th April 2019 and 27th June 2019. The results of the consultation are summarised in Annex 3</p> <p>1.9 The policy has to be approved at a meeting of the Full Council published via our website as well as being available in the Town Hall and Idea Stores.</p> <p>1.10 It should be noted that this policy statement will not override the right of any person to make an application, make representations about an application, or apply for a review of a licence. Each will be considered on its own merits and according to the statutory requirements of the 2005 Act.</p> <p>1.11 We would like to encourage the highest standards within premises that hold a licence under the 2005 Act. To assist with this we have produced a 'Gambling Best Practice Guide' to assist both new applicants and existing operators.</p> <p>1.12 The list of recommended measures listed in Annex 4 is not exhaustive but gives an indication of some of the suitable measures and procedures that are expected in well managed premises.</p> <p>2 Policy Statement</p> <p>2.1 In producing this licensing policy the Licensing Authority has had regard to the 2005 Act and the guidance and codes of practice issued by the Gambling Commission and responses to the consultation on this policy.</p> <p>2.2 In accordance with our legal duty under the 2005 Act when exercising our licensing functions we shall aim to permit the use of premises for gambling in so far as we consider it to be:</p> <p style="padding-left: 40px;">a) in accordance with any relevant code of practice issued by the Gambling</p>	
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	<p><i>Commission,</i></p> <p><i>b) in accordance with any relevant guidance issued by the Gambling Commission,</i></p> <p><i>c) reasonably consistent with the licensing objectives</i></p> <p><i>d) in accordance with this Policy and with reference to our Local Area Profile.</i></p> <p>2.3 <i>This means that where possible we will aim to moderate the impact of gambling on the Borough for example by attaching conditions to licences, rather than aiming to prevent it all together. Nonetheless, we retain the discretion not to grant a premises licence where we consider that the application is not in keeping with the matters listed in paragraph 2.2 above.</i></p> <p>3 <i>Licensing Authority Functions</i></p> <p>3.1 <i>This Licensing Authority functions under the 2005 Act is limited to the following:</i></p> <p><i>a) Be responsible for the licensing of premises where gambling activities are to take place by issuing Premises Licences;</i></p> <p><i>b) Issue Provisional Statements ("in principle" licences where premises are not yet developed);</i></p> <p><i>c) Regulate members' clubs and miners' welfare institutes who wish to undertake certain gaming activities via issuing Club Gaming Permits and/or Club Machine Permits;</i></p> <p><i>d) Issue Club Machine Permits to Commercial Clubs (Commercial Clubs are member clubs that operate on a "for profit" basis);</i></p> <p><i>e) Grant permits for the use of certain lower stake gaming machines at unlicensed Family Entertainment Centres (Premises where low level gambling is permitted for children);</i></p> <p><i>f) Receive notifications from alcohol licensed premises (under the Licensing Act</i></p>	
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- 2003) for the use of two or fewer gaming machines;
- g) Grant Licensed Premises Gaming Machine Permits for premises licensed to sell/supply alcohol for consumption on the licensed premises, under the Licensing Act 2003, where more than two machines are required;
 - h) Register small society lotteries below prescribed thresholds;
 - i) Issue Prize Gaming Permits;
 - j) Receive and Endorse Temporary Use Notices;
 - k) Receive Occasional Use Notices;
 - l) Provide information to the Gambling Commission regarding details of licences issued (see section above on 'information exchange');
 - m) Maintain registers of the permits and licences that are issued under these functions;

This list may be added to on the advice of the Gambling Commission.

3.2 *This licensing authority does not have powers to deal with any of the following as these fall within the remit of the Gambling Commission:*

- a) remote gambling (Remote gambling is via the internet or interactive television),
- b) the National Lottery,
- c) operating licences,
- d) personal functional licences ,
- e) personal management licences,
- f) gambling software,
- g) football pools,
- h) gaming machine manufacturers,
- i) gaming machine suppliers,
- j) gambling advertisements on television or other media,

	<p>k) <i>the number of gaming machines that can be used on premises,</i> l) <i>the value of the stakes or prizes allowed on gaming machines.</i></p> <p>Tower Hamlets Plan</p> <p>3.3 <i>This policy has also takes into consideration the Tower Hamlets Plan 2018 -23, which sets out the Tower Hamlets Partnership’s five year vision for the borough, articulating local aspirations, needs and priorities. It informs all other strategies and delivery plans of the partnership, including the council’s Strategic Plan.</i></p> <p>3.4 <i>The key objective for Tower Hamlets Plan are:</i></p> <ul style="list-style-type: none"> • <i>tackling inequality by building a strong,</i> • <i>inclusive and fair borough.</i> <p>3.5 <i>From this it has created four themes that it will focus on:</i></p> <ul style="list-style-type: none"> • <i>a better deal for children and young people: aspiration, education and skills</i> • <i>good jobs and employment</i> • <i>strong, resilient and safe communities</i> • <i>better health and wellbeing.</i> <p><i>To view the Tower Hamlets Plan please see the link below:</i> https://www.towerhamlets.gov.uk/lqnl/community_and_living/community_plan/tower_hamlets_plan.aspx</p> <p>4 Competent body for the protection of children from harm</p> <p>4.1 <i>The Licensing Authority is required to designate, in writing, a body which is competent to advise the Licensing Authority about the protection of children from</i></p>	
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	<p><i>harm. When making this decision we have also had regard to Part 6 of the Gambling Commission Guidance issued under section 25 of the 2005 Act.</i></p> <p>4.2 <i>In making our decision we have decided the body must be:</i></p> <ul style="list-style-type: none"> a) <i>responsible for child protection matters for an area covering the whole of the licensing authority's area (the whole of the Tower Hamlets);</i> b) <i>the need for the body to be answerable to democratically elected persons, rather than any particular vested interest group.</i> <p>4.3 <i>The Licensing Authority is satisfied that the Local Safeguarding Children Board fulfils these requirements and have therefore designated Board as the competent body to advise on matters concerning the protection of children from harm or exploitation.</i></p> <p>4.4 <i>The contact details of all the responsible authorities are found on the Council's website at www.towerhamlets.gov.uk</i></p> <p>5 <i>Interested parties</i></p> <p>5.1 <i>This essential means those parties who can make representations relating to licence applications, or apply for a review of an existing licence.</i></p> <p>5.2 <i>The 2005 Act defines Interested Parties as persons who, in the opinion of the licensing authority:</i></p> <ul style="list-style-type: none"> a) <i>lives sufficiently close to the premises to be likely to be affected by the authorised activities,</i> 	
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- b) *has business interests that might be affected by the authorised activities,*
- c) *represents persons who satisfy paragraph (a) or (b)*

5.3 *Licensing authorities are required to state in their policies the principles to be applied to determine whether a person is an interested party in relation to 5.1 above.*

5.4 *This Licensing Authority when determining whether a person is an interested party will consider each case upon its merits. In doing so we may consider taking relevant factors into account such as:*

- a) *the size of the premises, and nature of the activities taking place (as a larger premises may be considered to affect people over a broader geographical area compared with smaller premises offering similar facilities).*
- b) *the distance of the premises from the usual residence or workplace of the person making the representation.*
- c) *the potential impact of the premises (numbers of customers, routes likely to be taken by those visiting the premises).*
- d) *the circumstances of the person and nature of their interests, which may be relevant to the distance from the premises.*

5.5 *The Licensing Authority will not apply a rigid rule to its decision making in regards to determining what constitutes an interested party, and will consider the examples of considerations provided in the Gambling Commission's Guidance to local authorities. For instance when deciding whether a person or organisation "has business interests" we will adopt a broad interpretation to include for example partnerships, charities, faith groups, and medical practices.*

5.6 *We will follow the Gambling Commission's Guidance and regard such bodies as trade associations and trade unions, and residents' and tenants' associations as interested parties. However these bodies must be able demonstrate that they have a member who can be classed as an interested party under the 2005 Act i.e. paragraph 5.2 (a) above.*

5.7 *We will consider democratically elected persons such as Councillors and MP's as Interested parties on the condition that they provide written evidence that such elected persons 'represents' someone who falls under the description in paragraph 5.2 above. A letter from one of these persons, requesting the representation will be sufficient.*

5.8 *Individuals may wish to approach Councillors to ask them to represent their views. If Councillors take on a representative role they will not be able to be part of the decision making process. If they are a member of the Committee they must withdraw from any hearing relating to the application.*

6 Exchange of Information

6.1 *Licensing Authorities are required to set out their approach to the exchange of information with the Gambling Commission and other persons. As such this section details how this Licensing Authority's will exchange information that has been collected in carrying out its duties and responsibilities under the 2005 Act.*

6.2 *The Gambling Commission can require licensing authorities to provide specific information where it forms part of a register maintained under the 2005 Act, or is in the possession of the Licensing Authority in connection with the provision of the 2005 Act. As part of this exchange of information this licensing authority like all*

others are required to submit a return to the Gambling Commission at the end of each financial year. The information we submit as part of this return is as follows:

- permits issued,*
- temporary use notices issued,*
- occasional use notices issued,*
- premises inspections conducted,*
- reasons for and outcomes of reviews.*

This data is subsequently included in the Department for Communities and Local Government Single Data List.

6.3 *This policy covers the years 2019 to 2022, and we appreciate that the Gambling Commission within this period could change its requirements. Therefore this licensing authority will provide any other information requested to the Gambling Commission, provided it falls within the parameters detailed in paragraph 6.1 above.*

6.4 *The principle that this licensing authority applies when exchanging information with the Gambling Commission or other persons in respect of our functions under the 2005 Act is that we will act in accordance with the provisions under this legislation and the provisions of the Data Protection Act 1998 and any other associated legislation.*

6.5 *The licensing authority will have regard to any guidance issued by the Gambling Commission and the Information Commissioner to Local Authorities on this matter, as well as any relevant regulations issued by the Secretary of State under the powers provided in the Gambling Act 2005.*

6.6 *The Licensing Authority may exchange information with other persons listed below*

for the use of exercising our functions under the 2005 Act:

- a) *constable or police force*
- b) *an enforcement officer*
- c) *a licensing authority*
- d) *HMRC*
- e) *the First Tier Tribunal*
- f) *the Secretary of State*

We do not currently have any protocols with these persons however if we do adopted an information exchange protocol with any persons it will be made available if requested.

7 Inspection, compliance and enforcement

7.1 *The main enforcement and compliance role for this licensing authority will be to ensure compliance with the Premises Licences and other permissions which we authorise, e.g. Granting Gaming Machine Permits in alcohol Licensed Premises. The Gambling Commission will be the enforcement body for the Operator and Personal Licences. Concerns about manufacture, supply or repair of gaming machines will not be dealt with by this licensing authority but will be notified to the Gambling Commission.*

7.2 *As per the 2005 Act and Gambling Commission Guidance this section details this licensing authority's principles that will apply in exercising our functions concerning inspection, compliance and enforcement functions, and instigating criminal proceedings.*

7.3 *This Licensing Authority's general principles of enforcement are set out in our*

Enforcement Policy, which follows the principals of the Enforcement Concordat. In addition we will be guided by the Gambling Commission's Guidance for local authorities and will have regard for the Regulators Code. Therefore we will endeavour to be:

- a) **Proportionate:** regulators should only intervene when necessary: Remedies should be appropriate to the risk posed, and costs identified and minimised;
- b) **Accountable:** regulators must be able to justify decisions, and be subject to public scrutiny;
- c) **Consistent:** rules and standards must be joined up and implemented fairly;
- d) **Transparent:** regulators should be open, and keep regulations simple and user friendly; and
- e) **Targeted:** regulation should be focused on the problem, and minimise side effects
- f) **Avoid duplication** with other regulatory regimes so far as possible.

7.4 *The licensing authority expects premises licence holders to be fully aware of, and keep to, the terms of their licence. Where Licence holders fail to adhere to the terms of their licence we will take appropriate enforcement action to ensure compliance. We will pay particular attention in this respect where there are concerns that the licensing objective relating to children is not being met in full.*

7.5 *This licensing authority also intends to monitor non-licensed gambling, and is especially concerned to stop non-destination gambling by children and young adults. Non destination gambling is where the destination is not primarily a gambling premises and is mainly visited for a different purpose. This typically (but not exclusively) involves gaming machines in premises open to the public such as public houses*

7.6 This Licensing Authority will continue to keep informed of developments with the work from Central Government and sister organisations on the principles of Better Regulation Executive in its consideration of the regulatory functions. Bearing in mind the principle of transparency, this licensing authority's enforcement/compliance protocols/written agreements will be available upon request to the licensing service and on the Council's web site. Our risk methodology will also be available upon request. (A charge may be made for hard copies).

7.7 We recognise that bookmakers and other operators may have a number of premises within Tower Hamlets. In order to ensure that compliance issues are recognised and dealt with at the earliest possible stage, operators are requested to give This licensing authority a single named contact., who should be a senior individual, and whom we will contact first should any compliance queries or issues arise. We will however, reserves the right to institute proceedings, or take other action as necessary and consistent with our general policies.

7.8 We will base our inspections and enforcement activity on the principles of risk assessment, a graduated response and the targeting of problem premises. We will not routinely carry out full premises inspections and the frequency of inspections will be determined on risk-based criteria with high- risk operations receiving more attention than premises deemed to be of low risks.

7.9 We use the templates inspection forms produced by the Leicester, Rutland and Leicestershire Licensing Forum and Leicestershire Local Economic Partnership.

7.10 During visits/inspections the Council may request that operators / premises share:-

- **Local area risk assessments**

This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the Council may also request premises share:

- test purchasing results (subject to the terms of primary authority agreements) ;
- incidents in premises, which managers are likely to be required to report to head office;
- information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.

Such request to share such data will be in consultation with the Operators, and the Gambling Commission.

7.11 *As per Gambling Commission Guidance and Codes of Practice, Operators are required to share their risk assessments with licensing authority when they are applying for a new premises licence or applying to vary an existing premises licence, and at the request of this licensing authority, such as when we are inspecting a premises.*

7.12 *Additionally, responsible authorities and interested parties under the 2005 Act may seek a review of a premises licence if they feel the premises are not properly upholding the licensing objectives.*

8 The Licensing Objectives

8.1 The 2005 Act sets out three licensing objectives, and in exercising its functions under the 2005 Act this licensing authority must have regard to these licensing

	<p>objectives, which are:</p> <ol style="list-style-type: none"> 1) <i>Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime;</i> 2) <i>Ensuring that gambling is conducted in a fair and open way;</i> 3) <i>Protecting children and other vulnerable people from being harmed or exploited by gambling.</i> <p>8.2 <i>This licensing authority expects operators to implement measures to mitigate risks to the licensing objectives and to consider and address measures contained in this section when preparing their risk assessments. Operators should also have regard to our local area profile, which will identify possible existing or emerging risks within Tower Hamlets.</i></p> <p>8.3 <i>The Gambling Commission’s guidance emphasises that moral objections to gambling, or a view that it is generally undesirable are not licensing objectives and cannot inform any decisions by the licensing authority. Moreover neither public safety nor public nuisance are licensing objectives and so cannot be considered when determining applications. These issues will largely be dealt with by the Council’s Environmental Health Service via other primary legislation.</i></p> <p>8.4 <i>This licensing authority recognises that in making decisions about premises licences and temporary use notices it should aim to permit the use of premises for gambling in so far as it thinks it is:-</i></p> <ul style="list-style-type: none"> • <i>in accordance with any relevant code of practice issued by the Gambling Commission,</i> • <i>in accordance with any relevant guidance issued by the Gambling Commission,</i> • <i>reasonably consistent with the licensing objectives in accordance with the</i> 	
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	<p><i>authorities statement of licensing policy.</i></p> <p>8.5 <i>We will not consider whether the applicant is suitable to apply for a premises licence because the Gambling Commission would have already addressed this issue when granting the operator's licence. However, if any issues arise during the application process or after we have granted a licence that causes us to question the suitability of the applicant or licence holder, we will bring this to the attention of the Commission.</i></p> <p>8.6 <i>The Gambling Commission's Licence Conditions and Code of Practice (LCCP) (Social Responsibility Code) require gambling premises to undertake a local risk assessment taking into consideration their local information. Specific information about localities is provided in this policy at Annex 6.</i></p> <p>8.7 <i>The risk assessment is required to be shared with the Council where there is a new application and or a variation to an existing premises licence.</i></p> <p>Objective 1: Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime</p> <p>8.8 <i>When considering applications we will always take the location of the premises into account with regard to the crime and disorder objective. We will have particular regard to premises situated in areas of Tower Hamlets that represent a higher risk of potential vulnerability to gambling-related harm as where indicated in our local area profile.</i></p> <p>8.9 <i>When preparing their risk assessments we expect applicants to consider the following measures:</i></p>	
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- a) *measures or actions to address crime and disorder issues around the design, layout or the look and feel of the premises. This may include steps to 'design out crime' such as changing layout, positioning of registers, CCTV, lighting and so on,*
- b) *measures to address the reoccurrence of any historical crime and disorder issues,*
- c) *changes to opening hours, where it is felt that amending them would address or reduce the specific concern around crime and disorder,*
- d) *whether the licensee should participate in any relevant council strategy that relates (or have aspects relating) to crime and disorder,*
- e) *whether training should be given to staff around crime prevention measures appropriate to the premises,*
- f) *where premises are subject to age restrictions, whether additional procedures or measures could be put in place to conduct age verification checks, better supervision of entrances/age restricted machines, or to ensure there is better segregation between age restricted areas of the premises,*
- g) *whether the premises has door supervisors or security,*
- h) *if the licence or variation is granted, the likelihood that the grant will result in violence, public disorder or problems in respect of policing.*

This list is not exhaustive and simply indicates the types of measures that operators should consider in relation to crime and disorder.

Objective 2: Ensuring that gambling is conducted in a fair and open way

8.10 *This licensing authority has noted that ensuring that gambling is conducted in a fair and open way is a matter for the Gambling Commission, as the way gambling products are provided are subject to the conditions of the operator licence or personal licences. This will not be the case if the licensing authority becomes*

involved in licensing betting track operators, or if we suspect that gambling is not being conducted in a fair and open way. In both cases we will bring this to the attention of the Gambling Commission.

Objective 3: Protecting children and other vulnerable persons from being harmed or exploited by gambling

8.11 *In consultation with Public Health within this Council gambling related harms could be defined as “the adverse impacts from gambling on the health and wellbeing of individuals, families, communities and societies”, as suggested by the Responsible Strategy Gambling Board. These harms affect resources, relationships and health. The impact from them may be short-lived but can be durable, having enduring consequences and exacerbating existing inequalities.*

8.12 *This Licensing Authority is will always take the location of the premises into account with regard to the children and vulnerable persons licensing objective when considering applications. We will have particular regard to premises situated in areas of Tower Hamlets that represent a higher risk of potential vulnerability to gambling-related harm where this is indicated in our local area profile.*

In order to explain this licensing objective clearly, we have separated it into Children and vulnerable people.

Children

8.13 *Protecting children from being harmed or exploited by gambling means:*
a) preventing children from taking part in gambling and,
b) restricting activities such as advertising, so that gambling products are not

	<p style="text-align: center;"><i>aimed at or appear attractive to children.</i></p> <p>8.14 To ensure the above we will pay particular attention to licence applications for premises situated near schools or areas where there may be a high concentration of children or families. <i>We will expect applicants to have carefully considered their operation and any potential for exposing children and young people to gambling. Where the Gambling Premises requires a restriction to not admit persons under 18 to the gambling premises or area operators should demonstrate policies and procedures that will prevent children and young people from entering such premises/area or partaking in gambling activities.</i></p> <p>8.15 When considering the operators risk assessment, we will assess the measures the operator has in place to mitigate the risks to this licensing objective. Following which we will decide whether further specific measures are required at particular premises such as</p> <ul style="list-style-type: none"> a) supervision of entrances to the premises b) supervision of gaming machines and c) the separation of certain areas within the premises <p>8.16 Where category C, B or A machines are on offer in premises to which children are admitted we will ensure:</p> <ul style="list-style-type: none"> a) all such machines are located in an area of the premises which is separated from the remainder of the premises by a physical barrier which is effective in preventing access other than through a designated entrance, b) the premises has appropriate signage indicating that access to that area where such machines are located is restricted to persons under the age of 18 c) only adults are admitted to the area where the machines are located 	
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- d) access to the area where the machines are located is supervised
- e) the area where the machines are located is arranged so that the staff or the licence holder can observe it.

Separate requirements apply to alcohol-licensed premises that hold a gaming machine permit. These are detailed later on in this Policy.

Safeguarding against Child Sexual exploitation (CSE)

8.17 The Council acknowledges that CSE awareness does not just apply to children on licensed premises, particularly as children are not permitted to access most gambling premises. However applicants should be equally aware of children in the proximity of the premises that may be waiting for, or seeking, older persons.

8.18 Applicants are encouraged to ensure that suitable management controls are in place to safeguard children against the risk of CSE as part of promoting this objective (Objective 3) but also Objective 1 above. Measures may include, but are not limited to:

- awareness training for staff;
- regular patrols of the premises, including external areas and the immediate proximity, to identify any vulnerable children;
- close monitoring of patrons as they leave the premises;
- recording and reporting concerns to the police.

8.19 The Council expects applicants to be aware of 'risk indicators' of CSE which include, but are not limited to:

- developing relationships between a child and an older person;
- children in the company of a group of older persons;
- children regularly attending premises and meeting with a number of different older

- persons, particularly where older persons may be facilitating gambling for children;
- children outside of licensed premises developing relationships with an older person, particularly an older person facilitating gambling for children;
- children leaving the locality of the premises with older persons, particularly with a group of older persons;
- children looking uncomfortable in the company of, or leaving with, older persons, particularly groups of older persons.

8.20 Whilst the Council does not wish to create the impression that all contact between children and older persons is inappropriate, it believes that licence holders should be aware of the risks of CSE and should proactively manage their premises to minimise the risks.

Vulnerable People

8.21 This Licensing Authority does not seek to stop particular groups of adults from gambling or gaming in the same way that we seek to stop children. However, we are concerned about the potential for vulnerable people to be harmed or exploited by gambling.

8.22 The Gambling Commission Guidance does not seek to define 'vulnerable persons' however, for regulatory purposes, it does assume that vulnerable persons includes the following:

- a) people who gamble more than they want to,
- b) people who gamble beyond their means,
- c) people who may not be able to make informed or balanced decisions about gambling due to, for example, mental health, a learning disability or substance

	<p><i>misuse relating to alcohol or drugs.</i></p> <p>8.23 <i>This Licensing Authority recognises that there are many serious issues that can be a consequence of problem gambling, and therefore presents a greater risk of harm to vulnerable persons. These can include but are not limited to:</i></p> <ul style="list-style-type: none"> <i>a) job loss and absenteeism,</i> <i>b) poor work/study performance,</i> <i>c) stress depression and anxiety,</i> <i>d) suicide,</i> <i>e) poor health,</i> <i>f) financial hardship, debts and bankruptcy,</i> <i>g) resorting to crime/theft and imprisonment,</i> <i>h) neglect of family,</i> <i>i) impacts on others,</i> <i>j) relationship breakdown,</i> <i>k) domestic violence.</i> <p>8.24 <i>Problem gambling, particularly with the young, can sometimes be an indication of other issues, such as anti-social behaviour problems. When we become aware of issues associated with problem gambling and vulnerable people, we will seek to work closely with the gambling premises operator, the Gambling Commission and other relevant services within the Council.</i></p> <p>8.25 <i>This Licensing Authority will have regard to our local area profile and pay particular attention to applications for premises near venues where, for example, Gamblers Anonymous groups (or similar) meet, residential homes hospitals or other premises where vulnerable as per paragraph 15.22 above reside or visit, when dealing with gambling premises applications. In considering the above,</i></p>	
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	<p>we will base our decision on whether the proximity of the premises to the vulnerable group is likely to present a risk to this licensing objective. Where we have relevant evidence, we may consider the likelihood of vulnerable people using the premises, whether they have other reason to be in the proximity or not. This could be, as an example, through complaints made to us or through representations made about an application.</p> <p>8.26 When determining an application and this issue is raised, we will also take into account the operator’s risk assessment and assess the controls that are in place (or will be in place) to protect vulnerable people and promote the licensing objectives at the premises. Depending on the circumstances, we may have particular regard to:</p> <ul style="list-style-type: none"> <i>a) the size of the premises,</i> <i>b) staffing levels at the premises,</i> <i>c) procedures in place to identify a vulnerable person and to stop a vulnerable person from gambling,</i> <i>d) the location and type of gaming machines on the premises,</i> <i>e) arrangements in place to supervise the gaming machines.</i> <p>8.27 This Licensing Authority encourages operators to consider participation in voluntary best practice or certification schemes, such as GamCare’s Safer Gambling Standard to assist in their promotion of the objective of Protecting children and other vulnerable persons from being harmed or exploited by gambling.</p>	
Page 11 (19 of reviewed	Para 1.1 Add para below: “	Improve flow and improve content

policy)	<p>1 Duplication with other regulatory regimes and licensing objectives</p> <p><i>1.1 This licensing authority will seek to avoid any duplication with other statutory / regulatory systems where possible, including planning. We will not consider whether a licence application is likely to be awarded planning or building consent, in its consideration of it. The 2005 Act makes it clear that a licensing authority must not have regard to whether or not a proposal by the applicant is likely to be permitted in accordance with planning or building law when considering applications. However we will l i s t e n to, and consider carefully, any concerns about conditions which are not able to be met by licensees due to planning restrictions, should such a situation arise.”</i></p> <p>Amend paras following this insertion accordingly</p>	
Page 11 (19 of reviewed)	Para 2.1 (now para 3.1) after the words “defined in the” Add “2005”.	Changed to fit new policy definitions.
Page 11 (page 20 onwards of reviewed policy)	<p>Delete paras 2.3 through to 5.6.</p> <p>Then after this para on page 15 of old policy add the following paras.</p> <p>4 Adult Gaming Centres (AGC)</p> <p><i>4,1 This licensing authority will specifically have regard to the need to protect children and vulnerable persons from harm or being exploited by gambling and will expect the applicant to satisfy the authority that there will be sufficient measures to ensure that under 18 year olds do not have access to the premises.</i></p> <p><i>Appropriate licence conditions may cover issues such as:</i></p>	<p>These have been reworded and moved elsewhere in the policy to improve flow and format.</p> <p>Improve flow and amended to take account of guidance changes. This also makes it clearer to</p>

	<ul style="list-style-type: none"> • <i>Proof of age schemes</i> • <i>CCTV</i> • <i>Door supervisors</i> • <i>Supervision of entrances / machine areas</i> • <i>Physical separation of areas</i> • <i>Location of entry</i> • <i>Notices / signage</i> • <i>Specific opening hours</i> • <i>Self-barring schemes</i> • <i>Provision of information leaflets/ helpful numbers for organisations such as GamCare</i> <p>4.2 <i>This list is neither mandatory nor exhaustive, and is merely indicative.</i></p> <p>4.3 <i>An AGC premises is entitled to the following allocation of gaming machines:</i></p> <p><i>If the licence was granted on or after 13 July 2011:</i></p> <p>a) <i>a maximum of 20% of the total number of gaming machines which are available for use on the premises may be category B3 or B4 (but not B3A) machines</i></p> <p>b) <i>any number of Category C or D machines</i></p> <p><i>If the licence was granted before 13 July 2011:</i></p> <p>a) <i>four category B3 or B4 (but not B3A) machines, or 20% of the total number of gaming machines which are available for use on the premises, whichever is the greater</i></p> <p>b) <i>any number of Category C or D machines</i></p> <p>5 <i>Licensed Family Entertainment Centres FEC</i></p> <p>5.1 <i>This licensing authority will specifically have regard to the need to protect children</i></p>	<p>applicants etc. using the policy and sets out our policy in a clearer way.</p>
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and vulnerable persons from harm or being exploited by gambling and will expect the applicant to satisfy the authority that there will be sufficient measures to ensure that under 18 year olds do not have access to the adult only gaming machine areas. Appropriate licence conditions may cover issues such as:

- Proof of age schemes*
- CCTV*
- Door supervisors*
- Supervision of entrances / machine areas*
- Physical separation of areas*
- Location of entry*
- Notices / signage*
- Specific opening hours*
- Self-barring schemes*
- Provision of information leaflets/ helpful numbers for organisations such as GamCare*
- Measures/training for staff on how to deal with suspected truant school children on the premises*

This list is not mandatory, nor exhaustive, is it merely indicative.

5.2 An FEC are allowed any number of Category C or D gaming machines. However where children and young persons are permitted to enter an FEC and may use category D machines. Persons under the age of 18 years are not permitted to use category C machines and it is a requirement that there must be clear segregation between the two types of machine, so that under-18s do not have access to them.

5.3 This licensing authority will, in accordance with the Gambling Commission's guidance, refer to the Commission's website to see any conditions that apply to operator licences covering the way in which the area containing the category C. Category C machines give a higher payout than children are permitted to use should be delineated.

This licensing authority will also make itself aware of any mandatory or default conditions on these premises licences, when they have been published.

6 Casinos

6.1 Section 166 of the 2005 Act, allows licensing authorities to resolve not to issue casino premises licences. This licensing authority has consulted with residents and businesses to seek their views before deciding whether to make such a resolution. As a result of the consultation the council has resolved not to issue casino premises licences. This was decided by Full Council on 18th September 2013.

7 Bingo premises

7.1 Gambling Commission Guidance identifies Bingo as “equal chance gaming”, and has published its view on what Bingo is and how it differs from other forms of gambling. To aid applicants we have attached this advice note to this policy, see Annex 4. However it must be stressed that this advice note from the Gambling Commission does not alter the meaning of Bingo as described in the 2005 Act. It merely seeks to offers the advice to help bingo operators avoid creating and offering products that the Gambling Commission considers to be casino games, lotteries or fixed odds betting.

7.2 A bingo premises licence allows the primary activity of bingo and the following allocation of gaming machines

If the licence was granted on or after 13 July 2011:

- a) a maximum of 20% of the total number of gaming machines which are available for use on the premises may be category B3 or B4 (but not B3A) machines*
- b) any number of Category C or D machines*

	<p><i>If the licence was granted before 13 July 2011:</i></p> <p>a) <i>eight category B3 or B4 (but not B3A) machines, or 20% of the total number of gaming machines which are available for use on the premises, whichever is the greater</i></p> <p>b) <i>any number of Category C or D machines</i></p> <p>7.3 <i>Bingo premises and children/young persons (persons under 18 years). Children and Young persons are allowed into Bingo Premises. However where a premises allows children/young persons into the premises they are not permitted to participate in Bingo. Moreover where the premises has Category B or C gaming machines available for use these must be separated from where children/young persons are permitted.</i></p> <p>7.4 <i>As per Gambling Permission Guidance this licensing authority will follow the Social Responsibility Code, which states that all licensees must ensure that they their policies and procedures take account of the structure and layout of their premises in order to prevent underage gambling. This may include effective measure to ensure:</i></p> <ul style="list-style-type: none"> • <i>all category B or C gaming machines are located in an area of the premises separate from the remainder of the premises by a physical barrier which is effective to prevent access other than through a designated entrance;</i> • <i>only adults are admitted to the area where these machines are located;</i> • <i>access to the area where these machines are located are supervised;</i> • <i>the area where these machines are located is arranged so that it can be observed by staff of the operator or the licence holder; and</i> • <i>at the entrance to, and inside any such area there are prominently displayed notices indicating that access to the area is prohibited to persons under 18.";</i> • <i>Effective monitoring procedures to ensure that children do not participate in bingo.</i> <p>7.5 <i>This licensing authority is aware that the Gambling Commission is going to issue further guidance about the particular issues that licensing authorities should take into account in relation to the suitability and layout of bingo premises. This guidance will be</i></p>	
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considered by this licensing authority once it is made available.

8 Betting Premises

8.1 Betting Machines - This licensing authority will have regard to the Gambling Commission's Guidance, take into account the size of the premises, the number of counter positions available for person-to-person transactions, and the ability of staff to monitor the use of the machines by children and young persons (it is an offence for those under 18 years to bet) or by vulnerable people, when considering the number/nature/circumstances of betting machines an operator wants to offer.

8.2 We expect such premises to have clear policies and procedures in place to ensure that staff have clear line of sight to ensure staff can monitor the use of Betting Machines to ensure that vulnerable such as problem gamblers can be identified and dealt with as per operators Social Responsibilities Policies and Procedures. This will mean that staff within such premises should be appropriately trained to identifies such persons and know their employers policies and procedures to enable them to correctly assist vulnerable persons to reduce the risk of gambling related harm.

8.3 A betting premises licence allows the primary activity of betting and the following allocation of gaming machines

a) Maximum of four gaming machines of categories B2, B3, B4, C or D (excluding category B3A).

8.4 Holders of a Betting Premises licence are permitted (at our discretion) to have betting machines, known as Self-service Betting Terminals (SSBTs). A SSBTs is different from a gaming machine as it is designed or adapted to allow betting on "real events". For example, some premises may have betting machines that accept bets on live events, such

as horse racing as a substitute for placing a bet in person over the counter.

8.5 Licensing Authorities have powers to restrict the number of SSBTs in certain premises (Betting Premises and Casinos). This restriction is done via adding conditions to the premises licence. When considering whether to impose a condition to restrict the number of SSBTs in particular premises, this licensing authority, amongst other things, will take into account the ability of employees to monitor the use of the machines by children and young persons or by vulnerable people. We will also consider the nature of SSBT and the circumstances by which they are made available. This may include:

- a) the size of the premises*
- b) the number of counter positions available for transactions; and/or*
- c) the number of staff to monitor the use of the machines by children and young persons or vulnerable people.*
- d) whether the machines have been, or are likely to be used in breach of the licensing objectives.*

9 Tracks – (This section refers to where racing takes place, such as horse or greyhound racing) and other matters

9.1 This licensing authority is aware that tracks may be subject to one or more than one premises licence, provided each licence relates to a specified area of the track. In accordance with the Gambling Commission's Guidance, this licensing authority will especially consider the impact upon the third licensing objective (i.e. the protection of children and vulnerable persons from being harmed or exploited by gambling) and the need to ensure that entrances to each type of premises are distinct and that children are excluded from gambling areas where they are not permitted to enter.

9.2 This licensing authority will therefore expect the premises licence applicant to demonstrate suitable measures to ensure that children do not have access to adult only gaming facilities. It is noted that children and young persons will be permitted to enter track

areas where facilities for betting are provided on days when dog racing and/or horse racing takes place, but that they are still prevented from entering areas where gaming machines (other than category D machines) are provided

9.3 This licensing authority will expect applicants to offer their own measures to meet the licensing objectives however appropriate measures / licence conditions may cover issues such as:

- Proof of age schemes*
- CCTV*
- Supervision of entrances / machine areas*
- Physical separation of areas*
- Location of entry*
- Notices / signage*
- Specific opening hours*
- Self-baring schemes*
- Provision of information leaflets / helpline numbers for organisations such as GamCare*

9.4 This list is not mandatory, nor exhaustive, and is merely indicative of example measures.

9.5 Track Premises are permitted to have the following gaming machines in the following circumstances:

- a) Where the owners hold both a Track Premises Licence and a Pool Betting Operating Licence (issued by the Gambling Commission) they may site up to four category B2 to D machines on the track;*
- b) Tracks that hold an alcohol licence are automatically entitled under s.282 of the 2005 Act to have two gaming machines of category C or D (please note to activate this*

entitlement the premises must notify the licensing authority, see Part C below for more information);

c) Track premises that fall into both a and b above, i.e. have an alcohol licence and hold both a Track Premises and Pool Betting Operating Licences are may have six gaming machines (two via the alcohol licence and four via the premises/operating licences).

9.6 Track Premises that hold a Pool Betting Licence will have conditions that the operator must have and put into effect policies and procedures designed to prevent underage gambling, and monitor the effectiveness of these. As a result this licensing authority will take into account the size of the premises and the ability of staff to monitor the use of the machines by children and young persons (it is an offence for those under 18s to bet) or by vulnerable people, when considering the number /nature / circumstances of betting machines an operator wants to offer. It will also take note of the Gambling Commission's suggestion that licensing authorities will want to consider restricting the number and location of such machines in respect of applications for track betting premises licences.

9.7 Condition on rules being displayed - The Gambling Commission has advised in its Guidance for local authorities that "licensing authorities should attach a condition to track premises licences requiring the track operator to ensure that the rules are prominently displayed in or near the betting areas, or that other measures are taken to ensure that they are made available to the public. For example, the rules could be printed in the race-card or made available in leaflet form from the track office."

9.8 Applications and plans - This licensing authority awaits regulations setting- out any specific requirements for applications for premises licences but is in accordance with the Gambling Commission's suggestion "To ensure that licensing authorities gain a proper understanding of what they are being asked to license they should, in their licensing

policies, set out the information that they will require, which should include detailed plans for the racetrack itself and the area that will be used for temporary “on- course” betting facilities (often known as the “betting ring”) and in the case of dog tracks and horse racecourses fixed and mobile pool betting facilities operated by the Tote or track operator, as well as any other proposed gambling facilities.” And that “Plans should make clear what is being sought for authorisation under the track betting premises licence and what, if any, other areas are to be subject to a separate application for a different type of premises licence.”

9.9 This licensing authority also notes that in the Gambling Commission’s view that it would be preferable for all self-contained premises operated by off-course betting operators on track to be the subject of separate premises licences, to ensure that there is clarity between the respective responsibilities of the track operator and the off-course betting operator running a self-contained unit on the premises.

10 Travelling Fairs

10.1 It will fall to this licensing authority to decide whether, and where category D machines and / or equal chance prize gaming without a permit is to be made available for use at travelling fairs. This will be decided on the condition that the statutory requirement that the facilities for gambling must be ancillary amusement at the fair and not its main purpose.

10.2 The licensing authority will expect applicants to show how they will meet the licensing objectives, in particular in relation to children and young persons.

10.3 The licensing authority will also consider whether the applicant falls within the statutory definition of a travelling fair in that it must not be on a site that has been used for fairs on more than 27 days per calendar year.

10.4 *The 27-day statutory maximum for the land being used as a fair is per calendar year, and that it applies to the piece of land on which the fairs are held. This is regardless of whether it is the same or different travelling fairs occupying the land. This licensing authority will work with its neighbouring authorities to ensure that land which crosses our boundaries is monitored so that the statutory limits are not exceeded.*

11 Provisional Statements

11.1 *Developers may wish to apply for a provisional statement before entering into a contract to buy or lease property or land. This may allow the developer to judge whether a development is worth taking forward in light of the need to obtain a premises Licence. There is no need for the applicant to hold an operating licence in order to apply for a provisional statement.*

11.2 *A person can to make an application for a provisional statement in respect of premises that they:*

- a) *expect to construct,*
- b) *expect to alter or,*
- c) *expect to acquire a right to occupy.*

11.3 *Whilst applicants for premises licences must hold or have applied for an operating licence from the Commission (except in the case of a track), and they must have the right to occupy the premises in respect of which their premises licence application is made, these restrictions do not apply in relation to an application for a provisional statement.*

11.4 *In circumstances where an applicant has also applied to the Gambling Commission for an operating licence, the Gambling Commission has stated that licensing authorities should not speculate on or otherwise take into account the likelihood of an operating licence being granted in its consideration of the application for a provisional statement.*

11.5 *The process for considering an application for a provisional statement is the same as that for a premises licence application and thus must be accompanied by plans and the prescribed fee. The applicant is obliged to give notice of the application in the same way as applying for a premises licence. Responsible authorities and interested parties may make representations and there are rights of appeal.*

11.6 *Following the construction, alteration or acquirement of the premises for which the provisional statement relates to, the licence holder may subsequently apply for a premises licence. The Licensing Authority will be constrained in the matters we can consider when determining the premises licence application, and in terms of representations about premises licence applications that follow the grant of a provisional statement, no further representations from relevant authorities or interested parties can be taken into account unless:*

- a) *they concern matters which could not have been addressed at the provisional statement stage, or*
- b) *they reflect a change in the applicant's circumstances.*

11.7 *In addition, the Licensing Authority may refuse the premises licence (or grant it on terms different to those attached to the provisional statement) only by reference to matters:*

- a) *which could not have been raised by objectors at the provisional statement application stage;*
- b) *which in our opinion reflect a change in the operator's circumstances; or where the premises has not been constructed in accordance with the plan submitted with the application. This must be a substantial change to the plan. We can discuss any concerns we may have with the applicant before making a decision.*

12 Location and Local Risk Assessments

- 12.1 *This licensing authority is aware that demand issues (for example whether or not there is sufficient customer demand to make a site commercially viable) cannot be considered with regard to the location of premises but that considerations in terms of the licensing objectives can. In line with the Gambling Commission's Guidance for local authorities, this authority will pay particular attention to the protection of children and vulnerable persons from being harmed or exploited by gambling, as well as issues of crime and disorder.*
- 12.2 *It is the licensing authority's view that premises **close to schools, playgrounds, or other educational establishments such as museums** should not normally be licensed ~~which are close to schools, playgrounds, or other educational establishments such as museums~~. However any such policy does not preclude any application being made and each application will be decided on its merits, with the onus upon the applicant showing how the concerns can be overcome.*
- 12.3 *The licensing authority will need to be satisfied that there is sufficient evidence that the particular location of the premises would not be harmful to the licensing objectives.*
- 12.4 *From 6 April 2016, the Gambling Commission's Licence Conditions and Codes of Practice (LCCP) made it a requirement under the Social Responsibility (SR) code, for licensees to assess the local risks to the licensing objectives posed by the provision of gambling facilities at their premises and have policies, procedures and control measures to mitigate those risks. In making local risk assessments, licensees must take into account relevant matters identified in this policy and local area profile.*
- 12.5 *The LCCP states that licensees must undertake a local risk assessment when applying for a new premises licence and this must be reviewed and update as necessary:*

- a) *to take account of significant changes in local circumstance, including those identified in this policy;*
- b) *when there are significant changes at a licensee's premises that may affect their mitigation of local risks;*
- c) *when applying for a variation of a premises licence; and;*
- d) *in any case, undertake a local assessment when applying for a new premises licence.*

12.6 *Licence holders are also required to provide this licensing authority with a copy of their local risk assessment when applying for a premises licence or applying for a variation to an existing premises licence. We can also request a copy of the local risk assessment at any other time, for example, when we are inspecting premises.*

12.7 *Where concerns exist or new risks emerge we may ask a licence holder to provide a copy of their local risk assessment, setting out the measures they have in place to address specific concerns. Licence holders may wish to consider the benefit of making their local risk assessment available to responsible authorities and interested parties.*

12.8 *The licensing authority expects the local risk assessment to consider as a minimum issues presented by the local landscape, such as;*

- *Exposure to vulnerable groups;*
- *Identification of local specific risks;*
- *Type of footfall – children, visitors, families, residents;*
- *Educational facilities;*
- *Community Centers;*
- *Homelessness /rough sleeper hostels, provision of support services.*

12.9 *In any case the local risk assessment should show how vulnerable people, including people with gambling dependencies, are protected.*

12.10 *Other matters that the assessment may include:*

- *The training of staff in brief intervention when customers show signs of excessive gambling, the ability of staff to offer brief intervention and how the manning of premises affects this.*
- *Details as to the location and coverage of working CCTV cameras, and how the system will be monitored.*
- *The layout of the premises so that staff have an unobstructed view of persons using the premises;*
- *The number of staff that will be available on the premises at any one time. If at any time that number is one, confirm the supervisory and monitoring arrangements when that person is absent from the licensed area or distracted from supervising the premises and observing those persons using the premises.*
- *Arrangements for monitoring and dealing with under age persons and vulnerable persons, which may include dedicated and trained personnel, leaflets, posters, self-exclusion schemes, window displays and advertisements not to entice passers-by etc.*
- *The provision of signage and documents relating to games rules, gambling care providers and other relevant information is provided in both English and the other prominent first language for that locality.*
- *Where the application is for a betting premises licence, other than in respect of*

a track, the location and extent of any part of the premises which will be used to provide facilities for gambling in reliance on the licence.

12.11 *To assist operators, Annex 6 sets out the Council's Gambling Local Area Profiles criteria. In connection with this the Council recognises the Gambling Commissions National Strategy to Reduce Gambling Harms, and supports the two strategy aims:*

- **Prevention and Education** – making significant progress towards a clear public health prevention plan which includes the right mix of interventions.
- **Treatment and Support** – delivering truly national treatment and support options that meet the needs of users.

The full Strategy can be viewed here:

<http://www.reducinggamblingharms.org/>

Licence holders and Operators should have regard to this Strategy when undertaking their local risk assessment.

13 The application and decision making process

13.1 *When this Licensing we receives an application for a premises licence, we must consult interested parties and responsible authorities as set out in Part A above. These interested persons/responsible authorities can make comments about applications for premises licences, which are known formally as 'representations'.*

13.2 *Generally, where we receive a valid representation, i.e. it relates to either the licensing objectives, matters in this Policy or the Gambling Commissions Codes or*

Practice or Guidance, we will normally refer the application to the Licensing Committee or Sub-Committee for determination at a hearing. In determining applications, the Committee shall aim to permit the use of premises for gambling in so far as we consider it to be:

- a) in accordance with any relevant Code of Practice or guidance issued by the Gambling Commission,*
- b) reasonably consistent with the licensing objectives,*
- c) in accordance with this Policy.*

13.3 The Committee cannot consider any of the following when determining an application:

- a) moral objections (Licensing Authorities cannot base their decision on a dislike of gambling, or a general notion that it is undesirable to allow gambling premises in within their area),*
- b) planning (as detailed earlier in this policy Licensing Authorities cannot have regard to planning or building control permissions or any planning restrictions when deterring applications under the 2005 Act),*
- c) demand (Licensing Authorities cannot take into account issues around the demand for gambling premises).*

14 Representations and Hearings

14.1 Where this licensing authority receives a representation from an interested party or responsible authority, we must first confirm that it is a valid representation.

14.2 For a representation to be valid it must be:

	<p>a) <i>Made by an Interested Party or a Responsible Authority,</i> b) <i>Not be considered to be vexatious or frivolous,</i> c) <i>Be relevant to application,</i></p> <p>d) <i>Detail how the application will negatively affect one or more of the licensing objectives.</i></p> <p>14.3 <i>Where an interested party or responsible authority makes a valid representation as mentioned above section 7 above we will refer the application to the Licensing Committee or Sub-Committee for determination at a hearing. Those who have made a valid representation should attend the hearing as failure to do so could reduce the weight that the Committee places on representation. Where an interested person makes a representation and wishes to be represented by another person or organisation at the hearing they must give this licensing authority a written notice requesting to be represented by that person or organisation.</i></p> <p>14.4 <i>Interested parties and responsible authorities can make representations about licence applications, or apply for a to review an existing licence (see below).</i></p> <p>14.5 <i>As detailed in section 5 of Part A above Trade associations, trade unions and residents and tenants' associations can be interested parties, if they can demonstrate they are representing a member who lives sufficiently close to the premises, or is likely to be affected by the activities being applied for. Similarly Elected Councillors and MPs may also be interested parties provided they are acting on behalf of their constituents see Part A, Section 5 for more information.</i></p> <p>15 Licence fees</p> <p>15.1 <i>This Licensing Authority will calculate and collect fees from gambling operators to</i></p>	
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	<p><i>meet the costs of carrying out our licensing functions under the 2005 Act. The intention of the government is that fees will cover our costs for administration (including hearings and appeals), inspection and enforcement of the licensing regime. Current fees are available on our website.</i></p>	
Page 15 (32 of reviewed policy)	<p>Amend all para numbers accordingly</p> <p>After Para 6.2 (now 16.2) Delete Para 6.3 and replace with below paras:</p> <p><i>16.2 We will not duplicate any conditions or requirements attached to a premises licence by the Gambling Act, Gambling Commission Codes of Practice or Secretary of State (unless they are default conditions that we may substitute accordingly) or conditions attached to an operator's licence or personal licence.</i></p> <p><i>16.3 The following are some but not all matters that operators are likely to be required to comply with by virtue of the 2005 Act, Regulations, mandatory conditions, default conditions or Codes of Practice:</i></p> <ul style="list-style-type: none"> <i>a) Proof of Age schemes,</i> <i>b) CCTV,</i> <i>c) supervision of entrances and machine areas,</i> <i>d) physical separation of areas,</i> <i>e) whether the premises may hold a licence to provide alcohol,</i> <i>f) location of entry to the premises,</i> <i>g) notices and signage about persons under 18 years of age not entering the premises,</i> <i>h) opening hours,</i> <i>i) self-barring schemes and,</i> <p><i>provision of information leaflets and helpline number of gambling self- help organisations</i></p>	Better flow and amendments due to Guidance changes.

Page 16 (Page 33 of reviewed policy)	Para 6.5 (now 16.13) Add “ <i>licensing</i> ” after the word “This”.	Reflects changes to other parts of policy
Page 17 (34 of reviewed policy)	<p>Para6.8 (now 16.10) Above Para Add “Conditions we cannot attach to licences” as a heading.</p> <p>Same para change the “Bullets” to Alphabetical bullets.</p> <p>Now bullet point a) Add “<i>that</i>” after the word “conditions and change the word “relating” to “relate”.</p> <p>Now bullet c) Delete “Gambling Act” and Add “Act” after 2005.</p>	Improve clarity
Page 17 (page 35 of reviewed policy)	<p>Para 7.1 (now 17.1) Add new para below:</p> <p><i>The Private Security Industry Act 2001 regulates the private security industry in England, Wales and Scotland, and is responsible for licensing individuals working within the various industry sectors. The majority of persons employed to work as door supervisors at premises licensed for gambling, and carrying out the functions listed under Schedule 2 Part 1 of the above act, will need to be licensed by the SIA.</i></p>	Improves details on SIA and the legislation that governs them.
Page 17 (35 of reviewed policy)	<p>Para 7.2 (now 17.3) Delete “The Private Security Industry Act 2001” and Add “<i>The above requirement however is relaxed when applied to</i>” then Delete “<i>exempts</i>”.</p> <p>After this sentence ending with “halls” Add “<i>Where contract staff are employed as door supervisors at casinos or bingo halls, such staff will need to be licensed by the SIA.</i>”</p>	Update from guidance.

	<p><i>However, in-house employees working as door supervisors these premises are exempt from these requirements.”</i> Then Delete “from requiring Door Supervisors Licence”.</p> <p>Then change the word “the” to “<i>this</i>” and Add “<i>provision</i>” then after “<i>this</i>” Add “<i>licensing</i>”</p>	
Page 17 to 23 (35 reviewed policy)	Delete Paras 8 through to 15.3.	These have been amended and move to earlier in the policy see above.
Page 23 (35 to 37 on reviewed policy)	<p>Add new para under the Heading “16 Reviews”</p> <p><i>This Licensing Authority may review a premises licence; or an interested party or responsible authority may apply to review a premises licence. When determining whether to initiate a review, we shall have regard to our This Policy, Gambling Commission Guidance and the Council’s Enforcement Policy.</i></p> <p>Amend below para number accordingly</p> <p>Para 16.1 (now 16.2) Delete first sentence up to and including “however, it is for” then change “the” to “<i>This</i>” then Delete “to” after the words “licensing authority” and Add “<i>will</i>”.</p> <p>Then Delete the remaining words in the last sentence starting with the words “as well” and finishing with the word “review”.</p> <p>Then Add the following paras:</p> <p><i>We will also consider whether the request is frivolous, vexatious, will ‘certainly not’ cause this licensing authority to alter/revoke/suspend the licence, or whether it is substantially the same as previous representations or requests for review (i.e. it is repetitive).</i></p>	Improves clarity I terms of what we can do and makes the process clearer for both residents and applicants/licence holders

The licensing authority may initiate a review of a particular premises licence, or particular class of premises licence where it is appropriate, for instants if:

- a) it has reason to suspect that premises licence conditions are not being observed,*
- b) the premises is operating outside of the principles set out in the licensing authority's statement of policy,*
- c) there is evidence to suggest that compliance with the licensing objectives is at risk,*
- d) for any other reason which gives them cause to believe that a review may be appropriate, such as a complaint from a third party.*

Applications for a review of a premises licence must be submitted to the Licensing Authority on a prescribed form. The application must also state the reasons what the review is being requested, together with any supporting information and documents. The applicant must also, within 7 days of making their application.

Once this licensing authority receives a valid application for a review, responsible authorities and interested parties can make representations during a 28-day period. This period begins seven days after we receive the application. We will publish notice of the application within seven days of receipt, in line with the Gambling Act 2005 (Premises Licences)(Review) Regulations 2007. Within this seven day period the applicant must provide a written notice of their application to the licence holder and all responsible authorities. Failure to do this will halt the application process until this notice is received by these parties.

We will carry out the review as soon as possible after the 28-day period for making representations has passed. The review will be to determine whether we should take any action in relation to the licence. If action is justified, the options open to us are:

- a) add, remove or amend a licence condition imposed by us*

	<p>b) <i>exclude a default condition imposed by the Secretary of State or remove or amend such an exclusion</i></p> <p>c) <i>suspend the premises licence for a period not exceeding three months and</i></p> <p>d) <i>revoke the premises licence.</i></p> <p><i>In determining what action, if any, we should take following a review, we will have regard to any relevant representations and the principles set out in paragraph 2.2 of the policy statement above.</i></p> <p><i>This licensing authority may also initiate a review of a premises licence because a premises licence holder has not provided facilities for gambling at the premises. This is to prevent people from applying for licences in a speculative manner without intending to use them.</i></p> <p><i>Once we have completed the review will notify the following as soon as possible:</i></p> <p>a) <i>the licence holder,</i></p> <p>b) <i>the applicant for review (if any),</i></p> <p>c) <i>the Gambling Commission,</i></p> <p>d) <i>any person who made representations,</i></p> <p>e) <i>the chief officer of police, and</i></p> <p>f) <i>Her Majesty’s Commissioners for Revenue and Customs.</i></p>	
<p>Page 23 (page 38 of reviewed policy)</p>	<p>After the Heading “Part C - Permits / Temporary & Occasional Use Notice” Add the following new Paras</p> <p>Permits and Notices</p>	<p>Provides introduction to Permits and Notices and makes the section clearer</p>

A permit or notice is required when premises provide a gambling facility, but either the stakes and prizes are very low, or gambling is not the main function of the premises.

This licensing authority is responsible for issuing and receiving the following types of permits and notices:

- a) gaming machine permit*
- b) prize gaming permit*
- c) club gaming and club machine permit*
- d) unlicensed family entertainment centre permit*
- e) travelling fairs*
- f) temporary use notice*
- g) occasional use notice*

We have chosen not included specific details of the stakes and prizes for the various permits and have only provided minimal information regarding the numbers of permitted machines, because the government may change this information during the life of this Policy. We advise readers to refer our website or the Gambling Commission's website for up to date information.

Permits and Notices often related to the Gaming Machines available for use in unlicensed premises under the 2005 act. These Gaming Machines are commonly referred to as 'fruit machines' or 'one arm bandits' and fall into categories depending on the stake required to play them and the value of the maximum prize available. Generally,

- a) Category A machines have no limits on prizes or stakes, but would only be permitted at a regional casino.*
- b) Category B machines can be provided in casinos, betting premises, bingo premises, adult gaming centres and private members clubs. These machines can give a much higher prize and there is a restriction on the number of*

	<p><i>machines allowed in those premises.</i></p> <p><i>c) Category C machines are the type most commonly found in pubs and have a maximum prize value that is significantly lower than category B.</i></p> <p><i>d) Category D machines are the type commonly found in amusement arcades and have lower value prizes and stakes. These may also include “Crane Grab” machines. Some of these are incorrectly labelled as “Skill Machine”. Machines fitted with a compensator, which allows it to be converted from a skill machine to a gaming machine, are classified as a gaming machine and need to be clearly marked as such.</i></p> <p><i>Further more detailed guidance on Permits and Notices is available on The Gambling Commissions website www.gamblingcommission.gov.uk.</i></p> <p><i>It should be noted that a licensing authority can only grant or refuse a permit. It cannot attach conditions to permits.</i></p>	
<p>Page 23 (39 on reviewed policy)</p>	<p>Para 1.1 (now 2.1) Delete “Where” and Add “These are”, then after the word “premises” Add “, which”. The Delete the comma after “machines and Add a Full Stop. Then add “” To provide gaming machines”. Then Delete the word “may” and Add “must”.</p> <p>The after the sentence ending with the word “permit” Add a new sentence “<i>A UFEC is likely to cater to families, including unaccompanied children and young persons and are likely to be arcade style premises.</i>” The Delete “it should be noted that” and Add start the sentence with “<i>The applicant</i>”</p> <p>Add the following to the end of Para1.2 (now para 2.2):</p> <p><i>“In connection with this where premises are likely to appeal to children and young persons, this Licensing Authority in considering matters relating to protection of children from being</i></p>	<p>Improve Flow and clarity</p> <p>Additional highlighted parts added after consultation with Gambling Commission for UFECs to mirror Prize Gaming in regards to Children.</p>

	<p><i>harmful or exploited by gambling will where necessary consult Local Safeguarding Children Board.</i></p> <p>Delete para 1.2</p> <p>Delete Para 1.4 (now 2.3) and Add: <i>The licensing authority can only grant an application for a permit if the licensing authority is satisfied that the premises will be used as an unlicensed Family Entertainment Centers (UFEC), and if the chief officer of police has been consulted on the application</i></p>	
<p>Page 24 (39 to 40 on reviewed policy)</p>	<p>Delete Para 1.5</p> <p>Para 1.6 (now 2.4) Add “<i>As per this Policy</i>” and Delete “Statement of Principals” and Capitalise the word “this” Then Add the following after the Word “Premises” but before the word “should” in the last sentence <i>close to schools, playgrounds, or other educational establishments such as museums and places of worship</i></p> <p>Delete Para 1.7</p> <p>Add new para</p> <p><i>In line with Gambling Commission Guidance this licensing authority will take location into account when considering and application for a permit for a UFEC premises, and when determining such an application will have regard to our local area profile and consider whether:</i></p>	<p>Improve Flow and clarity.</p> <p>Highlighted parts added as per above re consultation with Gambling Commission</p>

	<p>a) the applicant clearly understands the the maximum stakes and prizes of the gambling that is permissible in unlicensed Family Entertainment Centers;</p> <p>b) the premises where gaming is proposed to be offered is not situated in the vicinity of areas that may overly attract young people, such as schools, after school care, parks or playgrounds,</p> <p>c) the applicant has any relevant convictions,</p> <p>d) that staff are trained to have a full understanding of the maximum stakes and prizes.</p> <p>e) the offering of gaming is in accordance with the licensing objectives. This may include whether offering gaming on the premises is likely to attract or perpetuate issues around crime and disorder in the area or issues around children and young people or the vulnerable,</p> <p>f) the police have raised any objections relevant to the licensing objectives.</p> <p>The above list is not exhaustive, but an indication of the types of issues that we may consider when we receive an application these permits.</p> <p>This Licensing Authority, as encouraged by the Gambling Commissions Guidance, requires that a plan for the unlicensed Family Entertainment Centers (UFEC) be submitted with the application for a permit.</p>	
<p>Page 24 (40/41 on reviewed policy)</p>	<p>Delete "Permits" from the Heading of para 2 (now 3)</p> <p>Under this Add "Notifications"</p> <p>Delete Para 2.1 and 2.2 and add the below</p> <p>Notifications</p> <p><i>There is provision in the 2005 Act for premises licensed to sell alcohol for</i></p>	<p>Provides introduction to what permits and Notifications are.</p>

consumption on the premises under the Licensing Act 2003, to automatically entitlement to have 2 gaming machines of categories C or D. Full definitions of the Gaming Machine Categories can be found on the Gambling Commission's website.

Premises wishing to take advantage of this automatic entitlement need to give written notice to the licensing authority of their intention to make gaming machines available for use, and must pay the prescribed fee. This notice must be from the person/organisation who holds the premises licence, and if the person/organisation ceases to be the holder of the relevant alcohol licence for the premises, the automatic entitlement to the two gaming machines also ceases. Restaurants or similar premises are excluded from having gaming machines where they have a condition requiring alcohol to be sold as ancillary to food.

The licensing authority can remove the automatic authorisation in respect of any particular premises if:

- g) provision of the machines is not reasonably consistent with the pursuit of the licensing objectives;*
- h) gaming has taken place on the premises that breaches a condition of section 282 of the 2005 Act (e.g. for example the gaming machines have been made available in a way that does not comply with requirements on the location and operation of gaming machines);*
- i) the premises are mainly used for gaming; or*
- j) an offence under the 2005 Act has been committed on the premises.*

In this situation, we will give the licence holder at least 21 days notice of our intention to make remove this entitlement and consider any representations they may wish to make. Where requested by the licence holder we will hold a hearing

	<p><i>before we make a final determination.</i></p> <p>Permits</p> <p><i>If a premises wishes to exceed the automatic entitlement of two machines, they must apply to us for a permit for more than two machines. This permit replaces the automatic entitlement to two machines and is not an addition to it.</i></p> <p><i>This licensing authority will consider such applications based upon the licensing objectives, the Gambling Commissions Guidance, and any other matters that we think relevant.</i></p> <p><i>We will decide each application on its own merits but generally:</i></p> <ul style="list-style-type: none"> <i>a) We may consider the size of premises and whether the numbers of machines applied for is appropriate in light of the licensing objectives and whether the premises is being “mainly used” for gambling.</i> <i>b) We shall have regard to the need to protect children and vulnerable persons from being harmed or exploited by gambling. We will expect the applicant to provide sufficient measures to ensure that persons under 18 years of age do not have access to adult only gaming machines and that the permit holder can comply the Gambling Commission’s Codes of Practice.</i> <i>c) Whether there are any issues in the premises history relating to the gambling licensing objectives that the Licensing Authority should properly consider when deciding whether to grant a permit.</i> 	
<p>Page 25 (41 on reviewed policy)</p>	<p>Para 2.3 (now 3.6) in the first sentence Delete “regards to” and Add “<i>emphasis</i>”. Then in the same sentence delete everything from and including “and will expect...” and Add “as detailed in paragraph 1.3 (b) above.</p>	<p>Improves clarity of the policy</p>

	<p>Then in the next sentence after “authority” Add “<i>in respect of this are</i>”. Then Add “<i>and</i>” between “18s” and “<i>and</i>”. Then after the word “machines Add “<i>to ensure they</i>”.</p> <p>Para 2.6 (now 3.9) After the first sentence Add “<i>However we cannot attach any other</i>”. Then Delete “(other than these) cannot be attached”.</p> <p>Heading 3 now becomes heading 4 (Prize Gaming Permits)</p> <p>Add new para (4.1) as follows:</p> <p><i>“Prize gaming takes place when the number of people playing does not determine the nature and size of the prize, or the amount paid for or raised by the gaming. The operator determines the prize before play commences.”</i></p>	
Page 26 (42 on Reviewed Policy)	Delete Para 3.2	No longer needed.
Page 26 (42-45 on reviewed policy)	<p>After Para 3.3 (now 4.4) Add new paras to become 4.5 to 4.7 (reviewed policy) as below:</p> <p><i>4.4 In line with Gambling Commission Guidance this licensing authority will take location into account when considering and application for a permit for Prize Gaming, and when determining such an application will have regard to our local area profile and consider whether:</i></p> <p><i>a) the applicant clearly understands the limits to stakes and prizes that they propose to offer and the gaming is within the law,</i></p> <p><i>b) the premises where gaming is proposed to be offered is not situated in the vicinity of areas that may overly attract young people, such as schools, after</i></p>	<p>Improves clarity, particularly on prize gaming.</p> <p>Consultation with Gambling Commission.</p>

- school care, parks or playgrounds,
- c) the applicant has any relevant convictions,
- d) that staff are trained to have a full understanding of the maximum stakes and prizes.
- e) the offering of gaming is in accordance with the licensing objectives. This may include whether offering gaming on the premises is likely to attract or perpetuate issues around crime and disorder in the area or issues around children and young people or the vulnerable,
- f) the police have raised any objections relevant to the licensing objectives.

The above list is not exhaustive, but an indication of the types of issues that we may consider when we receive an application for a prize gaming permit.

4.5 This Licensing Authority, as encouraged by the Gambling Commissions Guidance, require that a plan for the unlicensed Family Entertainment Centers (UFEC) be submitted with the application for a permit.

4.6 Where premises are likely to appeal to children and young persons, this Licensing Authority in considering matters relating to protection of children from being harmed or exploited by gambling will where necessary consult Local Safeguarding Children Board.

Delete below para following consultation with Gambling Commission:

~~When determining a prize gaming permit application, this licensing authority will have regard to our local area profile and consider whether:~~

- ~~a) the applicant clearly understands the limits to stakes and prizes that they propose to offer and the gaming is within the law,~~
- ~~b) the premises where gaming is proposed to be offered is not situated in the vicinity of areas~~

	<p>that may overly attract young people, such as schools, after school care, parks or playgrounds,</p> <p>e) the applicant has any relevant convictions,</p> <p>d) the offering of prize gaming is in accordance with the licensing objectives. This may include whether offering prize gaming on the premises is likely to attract or perpetuate issues around crime and disorder in the area or issues around children and young people or the vulnerable,</p> <p>e) the police have raised any objections relevant to the licensing objectives.</p> <p>The above list is not exhaustive, but an indication of the types of issues that we may consider when we receive an application for a prize gaming permit.”</p> <p>Para 3.4 (now 4.6) after the word “that” Add “<i>although the licensing authority cannot attach conditions,</i>” Then Delete “Gambling” and “by” and move “2005” to before the Deleted word “Gambling”. Then Delete everything from the word “comply,” until the end of the sentence. Then Delete “in the Act” after the word “conditions”.</p>	
<p>Page 26 (43-45 on reviewed policy)</p>	<p>Change Heading number “Club Gaming and Club Machines Permits” from 4 to 5.</p> <p>Then Add the following Paras:</p> <p><i>“As per the Gambling Commission Guidance, a Licensing Authority may grant or refuse the permit, but it cannot attach conditions to them. Licensing Authorities must also inform the applicant, the Gambling Commission and the police of the outcome of the application for a permit and any objections made.</i></p> <p><i>Licensing Authorities may only refuse an application for a permit on the following grounds:</i></p> <ul style="list-style-type: none"> <i>i. the applicant does not fulfil the requirements for a members’ or commercial club or miners’ welfare institute and therefore is not entitled to receive the type of permit for which it has applied;</i> 	<p>Provides introduction on what Club Gaming and Club Gaming Machine Permits are.</p>

- ii. *the applicant's premises are used wholly or mainly by children and/or young persons;*
- iii. *an offence under the 2005 Act or a breach of a permit has been committed by the applicant while providing gaming facilities;*
- iv. *a permit held by the applicant has been cancelled in the previous ten years;*
or
- v. *an objection has been lodged by the Commission or the police.*

There is also a 'fast-track' procedure available under the 2005 Act for premises which hold a Club Premises Certificate under the Licensing Act 2003 (Schedule 12 paragraph 10). As the Gambling Commission's Guidance for licensing authorities states: "Under the fast-track procedure there is no opportunity for objections to be made by the Gambling Commission or the police, and the ground upon which an authority can refuse a permit are reduced." And "The grounds on which an application under the process may be refused are:

- i. *that the club is established primarily for gaming, other than gaming prescribed by regulations under section 266 of the 2005 Act;*
- ii. *in addition to the prescribed gaming, the applicant provides facilities for other gaming; or*
- iii. *a club gaming permit or club machine permit issued to the applicant in the last ten years has been cancelled."*

There are statutory conditions on club gaming permits that no child uses a category B or C machine on the premises and that the holder complies with any relevant provision of a code of practice about the location and operation of gaming machines."

After the above add the below para to assist in getting the most up to date information as

this can change within the lifetime of the policy:

For the most up to date information in regards to Club Gaming and Club Machine Permits please see the Gambling Commissions Website.

Then Add Heading “*Club Gaming Permits*”

Para 4.1 (now 5.5) after Permit Delete everything from “or a Clubs Gaming machines permit” forward.

Then after this para Add the following paras:

“Miners’ welfare clubs are associations established for recreational or social purposes. They are managed by representatives of miners or use premises regulated by a charitable trust, which has received fund from one or a number of mining organisations.

A members clubs must:

- a) have at least 25 members,*
- b) be established for, and conducted wholly or mainly for, purposes other than gaming (unless gaming is permitted by separate regulations),*
- c) be permanent in nature,*
- d) not established to make a profit; and*
- e) controlled by its members equally.*

Examples include working men’s clubs, branches of Royal British Legion and clubs with political affiliations.”

The Club Gaming Permit will enable the premises to provide a total maximum of

	<p><i>three (3) gaming machines. These may be from categories B3A, B4, C or D but only one B3A machine can be sited as part of this entitlement. The permit also allows equal chance gaming and games of chance, such as Pontoon. For detailed and up-to-date list of permissions, stakes and prizes please see the Gambling Commission’s website.</i></p> <p><i>A club gaming permit lasts for ten years unless it ceases to have effect because it is surrendered, cancelled or forfeited.”</i></p>	
<p>Page 26/27 (45 of reviewed policy)</p>	<p>Delete Paras 4.2 to 4.6</p> <p>The Add the following paras:</p> <p>“Club Machine Permit</p> <p><i>Members clubs and miners’ welfare institutes may choose to apply for the club machine permit if they do not want to have the full gaming provided by a club gaming permit. In addition, commercial clubs may also apply for a club machine permit.</i></p> <p><i>Commercial clubs are members clubs established for profit, such as snooker clubs.</i></p> <p><i>A club machine permit allows a total maximum number of three (3) gaming machines. These may be from categories B3A, B4, C or D but only one B3A machine can be sited as part of this entitlement.</i></p> <p><i>Before granting the permit the licensing authority will need to satisfy itself that the</i></p>	<p>Provides better clarity on Club Machine Permits</p>

	<p><i>premises meet the requirements of a members' club and may grant the permit if the majority of members are over 18 years of age</i></p> <p><i>A club machine permit lasts for ten years unless it ceases to have effect because it is surrendered, cancelled or forfeited."</i></p>	
<p>Page 27/28 (45-47 of reviewed policy)</p>	<p>Change the numbering of the Heading "Temporary Use Notice" from 5 to 6 and Add "(TUN)" to the heading.</p> <p>Then Delete para 5.1, 5.2 and 5.3 and replace with following paras: "Temporary Use Notices (TUNs) allow the use of premises to be use for gambling where there is no premises licence but where a gambling operator wishes to use the premises temporarily for providing facilities for gambling. Premises that might be suitable for a TUN could include hotels, conference centres and sporting venues. Licensing Authorities can only grant a TUN to a person or company holding a relevant operating licence issued by the Gambling Commission.</p> <p>There are certain restrictions to the type of Gambling that a TUN can cover, these restrictions are:</p> <ul style="list-style-type: none"> • <i>it can only be used to offer gambling of a form authorised by the operator's operating licence, this licensing authority will therefore give consideration as to whether the form of gambling being offered on the premises will be remote, non-remote, or both, and whether this is in compliance with the operating licence,</i> • <i>gambling under a TUN may only be made available on a maximum of 21 days in any 12 month period for any or all of a named set of premises,</i> • <i>it can only be used to permit the provision of facilities for equal chance gaming, and where the gaming in each tournament is intended to produce a single overall winner,</i> • <i>gaming machines may not be made available under a TUN.</i> 	<p>Provides better clarity on Temporary Use and Occasional Use Notices.</p>

	<p><i>In considering whether a place falls within the definition of "a set of premises", we will have regard to the Guidance and consider the individual facts on their merits but, amongst other things, we will have particular regard to the ownership, occupation and control of the premises."</i></p> <p>Then change number of Heading "Occasional Use Notices" from 6 to "7".</p> <p>Then Delete para 6.1 and below para:</p> <p><i>"An Occasional Use Notice permits betting on a sporting event or race at a track on eight days or fewer in a calendar year without the need for a full premises licence. It therefore allows temporary and infrequent events such as point-to-point racing to take place and for bets to be taken on the outcome."</i></p> <p>Then the following to the end of Para 6.2 (now 7.2): <i>"i.e. whether applicant is licensed as a betting operator and has appropriate permission form the Gambling Commission to use tracks for conducting betting."</i></p> <p>Then Add the following para: <i>"We will the also decide what constitutes a track, sporting event or race on a case-by-case basis. In doing so we will follow the Gambling Commission's guidance in relation to tracks."</i></p>	
Annex 6	Delete Link to Local Area Profiles and replace with correct link	Old link.

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Appendix Three

Responses to the Gambling Policy Consultation 2019

Body or Organisation	Summary of issues	Response (where relevant)
Gambling Commission	<ul style="list-style-type: none"> Advice to ensure that measure relating Protecting children from being harmed or exploited by gambling detailed in Unlicensed Family Entertainment Centre (UFEC) Gaming Machine Permits and Prize Gaming Permits mirror each other. 	The Policy was updated following the Consultation to take account of these comments.
Met Police	<ul style="list-style-type: none"> Having liaised without licensing unit and based on the previous consultation there are no issues that are of concern from MPS on Central East BCU. 	No response required
London Fire Brigade (LFB)	<ul style="list-style-type: none"> Asked to amend their name from London Fire and Emergency Planning Authority (LFEPA) to London Fire Brigade (LFB) in the Policy and the list of Responsible Authorities. 	Policy or associated documents updated as per this information where needed.
HMRC	<ul style="list-style-type: none"> Asked to update their contact information in the Police and List of Responsible Authorities to: Postal Address: HM Revenue and Customs Excise Processing Teams BX9 1GL United Kingdom Contact telephone number is now 0300 322 7072 Option 7. Email address remain the same, NRUBetting&Gaming@hmrc.gsi.gov.uk 	Policy or associated documents updated as per this information where needed.
Public Health	<p>Public Health support for the draft policy</p> <p>Public health is fully supportive of the draft policy for the following reasons:</p> <ul style="list-style-type: none"> This policy addresses an issue of local public health importance and inequalities in Tower Hamlets. This policy is in line with the strategic priorities for us as a 	<p>In response to recommendation 1) the following has been added to Location and Local Risk Assessments Part B, section 12 of the policy as para 12.11</p> <p><i>“To assist operators, Annex 6 sets out the Council’s Gambling Local Area Profiles criteria. In connection with this the Council recognises the Gambling Commissions National Strategy to Reduce Gambling</i></p>

	<p>council (Tower Hamlets Community Plan), our partners (Tower Hamlets Together - Health and Wellbeing Strategy) and based on evidence of poor health and social outcomes within our Joint Strategic Needs Assessment.</p> <ul style="list-style-type: none"> • This policy draws on national and international evidence and best practice. • Public health supports outlining the considerations the Authority will go through in determining gambling premises licenses and Annex 4 including the promotion of industry good practice when supporting vulnerable persons. • Public health supports section 16 in which the council will request that operators / premises share information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area. • Public health supports the inclusion of staff training around brief interventions for identifying vulnerable persons and problem gamblers operators risk assessments. As well as section provision of signage and documents games rules, gambling care providers and other relevant information in both English and the other prominent first language for that locality. <p>Public Health recommendations</p> <p>Further to the provisions in this policy it is suggested that LBTH works with local operators to follow best practice. Examples would include:</p> <p>1) Adoption of the definition of gambling related harms as suggested by the Responsible Strategy Gambling Board: “The adverse impacts from gambling on</p>	<p><i>Harms, and supports the two strategy aims:</i></p> <ul style="list-style-type: none"> • <i>Prevention and Education – making significant progress towards a clear public health prevention plan which includes the right mix of interventions.</i> • <i>Treatment and Support – delivering truly national treatment and support options that meet the needs of users.</i> <p><i>The full Strategy can be viewed here: http://www.reducinggamblingharms.org/</i></p> <p><i>Licence holders and Operators should have regard to this Strategy when undertaking their local risk assessment.”</i></p> <p>Recommendation 2) is a statutory requirement under the Health and Safety at Work Etc. Act 1974 and Regulations made under it namely the Management of Health and Safety at Work Regulations 1999.</p> <p>Recommendation 3): These are already contained in various sections of the Policy and/or the Gambling Best Practice Guidance attached to the Policy.</p> <p>Recommendation 4): These are contained in various areas within the Policy and the Best Practice Guide attached to the policy. Though these all these items can and often have an impact on or exacerbate gambling related harm, it would be difficult to justify adding conditions or requirements in the policy to require leaflets that are directly linked Gambling. As such we cannot add requirements for premises to provide healthy lifestyle information other than Gambling Support Leaflets.</p> <p>Recommendation 5): The Gambling Commission’s National Strategy to Reduce</p>
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	<p>the health and wellbeing of individuals, families, communities and societies". These harms affect resources, relationships and health. The impact from them may be short-lived but can be durable, having enduring consequences and exacerbating existing inequalities.</p> <p>2) Protection of staff and lone working are addressed within the operators risk assessment.</p> <p>3) All operators are encouraged and supported with materials where applicable such as:</p> <ul style="list-style-type: none"> ○ Leaflets aimed at giving assistance to problem gamblers clearly displayed in prominent areas and also more discreet areas such as toilets ○ Self-exclusion forms available ○ The odds clearly displayed on all fixed odds machines ○ All ATM or other cash terminals to be separate from gaming machines, so that clients have to leave the machines for more funds as required. They should also display stickers with GamCare (or replacement organisation) ○ Helpline information prominently displayed ○ There must be clear visible signs of any age restrictions in any gaming or betting establishments. Entrances to gambling and betting areas must be well supervised and age verification vetting operated ○ Posters with details of GamCare's (or replacement organisation) telephone number and website <p>4) Operators should provide healthy lifestyle information in their premises e.g. leaflets regarding alcohol consumption and local smoking cessation services and local support for mental health</p>	<p>Gambling Harms looks into this and we have said that Operators should consider this when completing their Local Risk Assessments. To creation of a Vulnerability Local Index is not within the scope of this Policy and would be something that Public Health may want to look into as additional guidance that could compliment this policy but not be part of it.</p> <p>Recommendation 6): Advertisements are required to be restricted in regards to children and we will follow the Gambling Commissions guidance on adverts within premises.</p> <p>Recommendation 7): This would be difficult to do as the Operators have their own self exclusion policy that crosses borough boundaries etc. and therefore would be more effective than a borough as these, though you have to opt in to each Operators are national. It would be better for PHE to speak to the Gambling Commission about Operators sharing their policies or in them creating a national one as this would be more effective.</p> <p>Recommendation 8): This is not within the scope of this policy and should be a conversation between Asset Management and Public has as they have indicated.</p> <p>Recommendation 9): We actively encourage this and have liaised with Public Health in connection with this and previous policies.</p>
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	<p>problems and debt advice.</p> <p>5) Development of Gambling Related Harm: Vulnerable Locality Index.</p> <p>6) Operators should reduce advertising at least in line with the Senet Group's set of Commitments</p> <p>7) A Borough wide self-exclusion policy across all premises licensed for gambling.</p> <p>8) Public Health are seeking clarification that gambling is not promoted on LBTH owned assets and estates.</p> <p>9) Finally, since gambling is increasingly recognised as involving public health concerns, the Authority should continue to work with Public Health to foster close working relationships over the life course of this policy to ensure that the health of Tower Hamlets residents is promoted within the context of licensed gambling establishments.</p>	
GamCare	<p>GamCare explained that they are not able to review all Policy Reviews sent to them by Local Authorities but gave a generic response that they send to all Local Authorities when consulted on revisions to Statements of Gambling Policies.</p> <p>Response Below:</p> <ul style="list-style-type: none"> • A helpful first step is to develop a risk map of your local area so that you are aware of both potential and actual risks around gambling venues. A useful explanation of area-based risk-mapping has been developed with Westminster and Manchester City Councils, which gives some guidance on those who may be most vulnerable or at-risk of gambling-related harm. For more information please see www.geofutures.com/research-2/gambling-related-harm-how-local-space-shapes-our-understanding-of-risk/ 	<p>In reviewing the policy post consultation all of these items have been covered and there were no need for a further revisions save for the addition of the following paragraph at the end of the section on Vulnerable People, section 15 Part A:</p> <p><i>"This Licensing Authority encourages operators to consider participation in voluntary best practice or certification schemes, such as GamCare's Safer Gambling Standard to assist in their promotion of the objective of Protecting children and other vulnerable persons from being harmed or exploited by gambling."</i></p>

	<ul style="list-style-type: none"> • Consider that proposals for new gambling premises which are near hostels or other accommodation or centres catering for vulnerable people, including those with learning difficulties, and those with gambling / alcohol / drug abuse problems, as likely to adversely affect the licensing objectives set out by the Gambling Commission. This is also relevant regarding the proximity to schools, colleges and universities. • A detailed local risk assessment at each gambling venue – pertinent to the environment immediately surrounding the premises as well as the wider local area – is a good way to gauge whether the operator and staff teams are fully aware of the challenges present in the local area and can help reassure the Local Licensing Authority that appropriate mitigations are in place. • Does the operator have a specific training programme for staff to ensure that they are able to identify children and other vulnerable people, and take appropriate action to ensure they are not able to access the premises or are supported appropriately? • Does the operator ensure that there is an adequate number of staff and managers are on the premises at key points throughout the day? This may be particularly relevant for premises situated nearby schools / colleges / universities, and/or pubs, bars and clubs. • Consider whether the layout, lighting and fitting out of the premises have been designed so as not to attract children and other vulnerable persons who might be harmed or exploited by gambling. 	
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	<ul style="list-style-type: none"> Consider whether any promotional material associated with the premises could encourage the use of the premises by children or young people if they are not legally allowed to do so. <p>They also suggested that the Local Licensing Authority primarily consider applications from GamCare Certified operators. GamCare Certification (now being replaced by our Safer Gambling Standard) is a voluntary process comprising an independent audit assessment of an operator's player protection measures and social responsibility standards, policy and practice. Standards are measured in accordance with the GamCare Player Protection Code of Practice.</p>	
William Hill	<p>Two responses first updating their contact details and the second was a comment on the policy, as below.</p> <p>They commented on the below paragraph being too onerous for them to comply with and would create an unacceptable amount of work. Furthermore as Operators provide information on the areas detailed in the paragraph on a regular basis to the Gambling Commission, which is the agreed process for sharing this information they suggested that if the Gambling Commission have any concerns when assessing the data provided, they would discuss this with the Operator to seek improvement. Hence individual contact with each Authority is therefore unnecessary.</p> <p><i>"To assist the targeting of the Council's enforcement activity the Council will request that operators / premises share:-</i></p> <ul style="list-style-type: none"> <i>Test purchasing results (subject to the terms of primary authority agreements);</i> <i>incidents in premises, which managers are likely to be required to report to head office;</i> <i>information about numbers of self-</i> 	<p>This paragraph was amended to the below, and William will responded that they were satisfied with this change.</p> <p><i>"During visits/inspections the Council may request that operators / premises share:-</i></p> <ul style="list-style-type: none"> <i>Local area risk assessments</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the Council may also request premises share:</i></p> <ul style="list-style-type: none"> <i>test purchasing results (subject to the terms of primary authority agreements) ;</i> <i>incidents in premises, which managers are likely to be required to report to head office;</i> <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its</i>

	<p><i>excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i></p> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted.”</i></p> <p>They requested that this section be amended to remove this requirement, in line with the approach all other Local Authorities take, and sighted would avoid a situation which would be over-burdensome and against better regulation principles that we make mention of in the policy.</p> <p>They commented that if we were to have any particular concerns about individual premises, they would not have any issues with receiving requests for information on an individual shop basis, as required.</p>	<p>area.</p> <p><i>Such request to share such data will be in consultation with the Operators, and the Gambling Commission.”</i></p>
<p>Paddy Power (Represented by Poppleston Allen Solicitors)</p>	<p>They had similar concerns to William Hill as per the above.</p> <p>In essence they said that a statement of Gambling Policy cannot place an obligation upon a licence holder. Such an obligation can only be enforced by way of a premises licence condition, which must be a reasonable and proportionate response to evidence based concerns that are not addressed by a licensee’s operation and existing policies and procedures.</p> <p>They were concerned that they would be a potential for disparity between those operators who do not report the listed information and those that do and that any inconsistency in the data received would provide for an incorrect assessment of the industry’s age verification procedures and any potential local risks or concerns identified within the Authority’s jurisdiction.</p>	<p>This paragraph was amended to the below, and Paddy Power via Poppleston Allen was advised of the amendment.</p> <p><i>“During visits/inspections the Council may request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Local area risk assessments</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the Council may also request premises share:</i></p> <ul style="list-style-type: none"> • <i>test purchasing results (subject to the terms of primary authority agreements) ;</i> • <i>incidents in premises, which managers are likely to be</i>

	<p>They also sighted the Licence Conditions and Codes of Practice (LCCP) and the mandatory obligations upon licence holders in respect of the implementation of test purchasing practices and associated reporting requirements.</p> <p>They advised that the Regulators' Code provides that when the law allows, regulators should agree secure mechanisms to share information with each other about bodies they regulate to minimise duplication. Further that Operators are obliged to share information with the Gambling Commission through the submission of Regulatory Returns and that information is disseminated through its own annual reports.</p> <p>In short they suggest that the below paragraph be amended to reflect the legal requirements and obligations provided by the Gambling Act 2005 and subordinate legislation.</p> <p><i>"To assist the targeting of the Council's enforcement activity the Council will request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Test purchasing results (subject to the terms of primary authority agreements);</i> • <i>incidents in premises, which managers are likely to be required to report to head office;</i> • <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted."</i></p>	<p><i>required to report to head office;</i></p> <ul style="list-style-type: none"> • <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>Such request to share such data will be in consultation with the Operators, and the Gambling Commission."</i></p>
Canal and River Trust	Response to update their contact email address to the below:	No response required

	enquiries.londonsoutheast@canalrivertrust.org.uk	
St Johns Community Association Social Club, 37-43 Glengall Grove London E14 3NE	They advised they no longer have Fruit Machine (Gaming Machines), and haven't had them for 15 year as they have lots of children who use the Club.	No response required
Resident (online Response)	<p>Comment:</p> <p><i>Not to have too many gambling shops especially when high percentage of residents are on low income, those who are on long income often rely on betting shop to invest/generate more money and often end up losing all money and then fall into a cycle of crimes.</i></p> <p><i>Please work on closing some of them and stop illegal gambling totally.</i></p> <p>This resident also stated that they do think there is a problem with illegal gambling in the borough and that they also had concerns about illegal.</p>	<p>We will work within the confines of the Gambling Act 2005, Gambling Commission Guidance and this Policy in regards to reducing Gambling Related harms. Furthermore this revision of this policy defines children and vulnerable persons, and contains more in relation to guidance and our approach to tackle Gambling Related Harms in line with National Guidance and the 2005 Act.</p> <p>The 2005 Act grants the power to Review Premises Licence or object to new Licences where there is evidence that Gambling Premises Licences are in breach of the 2005 Act, their Licence or are or likely to undermining one or more of the Gambling Objectives. Where this Licensing has such evidence we will take appropriate and proportionate action in response that may involve reviewing/objecting to Premises Licences issued by us.</p> <p>With regards to illegal Gambling we investigate all complaint/reports of illegal gambling and currently have a case with our Legal Department for consideration for prosecution in relation to illegal gambling.</p>
Resident (online Response)	<p>Comment:</p> <p><i>"I think there are too many licenses issued to high street gambling shops in tower hamlets. We should have a restriction to the number of betting shops in the high street."</i></p> <p>This resident did not know if there is a</p>	<p>The Gambling Act 2005 does not give the legal power to restrict Gambling Premises in a Licensing Authorities Area.</p> <p>This Licensing Authority will however ensure that premises that undermine the Gambling Objectives investigated and where appropriate their licences</p>

	problem with illegal gambling in the borough and did not have concerns about illegal.	refused/revoked. It is our opinion that this policy takes a better more proactive stance towards tackling Gambling Related and Protection of Children and Vulnerable from being harmed by Gambling
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Appendix Four

Gambling Act 2005

The London Borough of Tower Hamlets Gambling Policy 2019- 2022

Effective 5th December 2019

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Summary of Local Authority Gambling Policy

1. Licensing local authorities in England and Wales have all been required by the Gambling Act 2005 to adopt a gambling policy following consultation.
2. The following policy was adopted after consultation, including but not confined to the consultation required by the legislation.
3. The policy has to be reviewed every three years and consequently it is now being sent out for a new round of consultation. Again the consultation will include but not be confined to the statutory consultation.
4. The policy sets out in detail how the licensing authority will discharge its licensing functions under the Gambling Act 2005.
5. There are three licensing objectives set out in the Act, as follows:
 - Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime
 - Ensuring that gambling is conducted in a fair and open way
 - Protecting children and other vulnerable people from being harmed or exploited by gambling
6. The main area of involvement for the licensing authority is protecting the vulnerable, and the licensing policy is largely devoted to seeking to achieve this, across the range of premises licences and permits which the authority will administer.
7. The licensing authority approach to enforcement is defined.
8. The scheme of delegation that defines the responsibility for decision making, administration and enforcement is also included.

PART A – Introduction, Statements, and Licensing Objectives

1. Introduction

- 1.1 This Policy sets out the principals the London Borough of Tower Hamlets (Licensing Authority) will apply in exercising our function under the Gambling Act 2005 (the 2005 Act).
- 1.2 The term 'Licensing Authority' will be used in all future references to 'the London Borough of Tower Hamlets' in this Policy.
- 1.1 This Policy replaces the previous one published on 5th December 2016 and covers the period from 5th December 2019 to 4th December 2022.
- 1.2 The Licensing Authority will formally review this Policy every three years, as required by the 2005 Act. However during this period we will keep it under review which will allow us to make any revisions we consider appropriate.
- 1.3 This Policy is written with the view to promoting the three licensing objectives of the 2005 Act:
 - i. Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime;
 - ii. Ensuring that gambling is conducted in a fair and open way; and
 - iii. Protecting children and other vulnerable persons from being harmed or exploited by gambling.
- 1.4 As part of this licensing authority's approach to reduce gambling-related harm we support the Government proposals to reduce the maximum stakes for Fixed Odds Betting Terminals (FOBTs) to £2 and other measures regarding allocations of gaming machines and social responsibility measures to minimise the risk of gambling-related harm.

Tower Hamlets Geographical Area

- 1.5 This Policy applies to the whole of the London Borough of Tower Hamlets. The borough is a single tier authority on the East side of inner London covering an area of approximately 19 kilometers squared. It extends from Victoria park in the north down to the Isle of Dogs in the South, and extends from the A12 in the east to Brick Lane and the Tower of London in the west. A map of the geographical area of the borough can be found in **Annex 1** and this shows where Gambling premises licences have been issued within the borough.
- 1.6 The Licensing Authority has consulted widely upon its policy statement before finalising and publishing it. A list of the persons and organisations consulted is provided in **Annex 2** of the Policy adopted by the Licensing Authority. We have consulted businesses, elected representatives, community and third sector organisations and responsible authorities.

- 1.7 The 2005 Act requires that the following parties are consulted by Licensing Authorities:
- The Chief Officer of Police
 - One or more persons who appear to the authority represent the interests of persons carrying on gambling businesses in the authority's area
 - One or more persons who appear to the authority to represent the interests of persons who are likely to be affected by the exercise of the authority's functions under the Gambling Act 2005.
- 1.8 The consultation took place between 4th April 2019 and 27th June 2019. The results of the consultation are summarised in **Annex 3**
- 1.9 The policy has to be approved at a meeting of the Full Council published via our website as well as being available in the Town Hall and Idea Stores.
- 1.10 It should be noted that this policy statement will not override the right of any person to make an application, make representations about an application, or apply for a review of a licence. Each will be considered on its own merits and according to the statutory requirements of the 2005 Act.
- 1.11 We would like to encourage the highest standards within premises that hold a licence under the 2005 Act. To assist with this we have produced a 'Gambling Best Practice Guide' to assist both new applicants and existing operators.
- 1.12 The list of recommended measures listed in **Annex 4** is not exhaustive but gives an indication of some of the suitable measures and procedures that are expected in well managed premises.

2. Policy Statement

- 2.1 In producing this licensing policy the Licensing Authority has had regard to the 2005 Act and the guidance and codes of practice issued by the Gambling Commission and responses to the consultation on this policy.
- 2.2 In accordance with our legal duty under the 2005 Act when exercising our licensing functions we shall aim to permit the use of premises for gambling in so far as we consider it to be:
- a) in accordance with any relevant code of practice issued by the Gambling Commission,
 - b) in accordance with any relevant guidance issued by the Gambling Commission,
 - c) reasonably consistent with the licensing objectives
 - d) in accordance with this Policy and with reference to our Local Area Profile.
- 2.3 This means that where possible we will aim to moderate the impact of

gambling on the Borough for example by attaching conditions to licences, rather than aiming to prevent it all together. Nonetheless, we retain the discretion not to grant a premises licence where we consider that the application does is not in keeping with the matters listed in paragraph 2.2 above.

3 Licensing Authority Functions

3.1 This Licensing Authority functions under the 2005 Act is limited to the following:

- a) Be responsible for the licensing of premises where gambling activities are to take place by issuing Premises Licences;
- b) Issue Provisional Statements ("in principle" licences where premises are not yet developed);
- c) Regulate members' clubs and miners' welfare institutes who wish to undertake certain gaming activities via issuing Club Gaming Permits and/or Club Machine Permits;
- d) Issue Club Machine Permits to Commercial Clubs (Commercial Clubs are member clubs that operate on a "for profit" basis);
- e) Grant permits for the use of certain lower stake gaming machines at unlicensed Family Entertainment Centers (Premises where low level gambling is permitted for children);
- f) Receive notifications from alcohol licensed premises (under the Licensing Act 2003) for the use of two or fewer gaming machines;
- g) Grant Licensed Premises Gaming Machine Permits for premises licensed to sell/supply alcohol for consumption on the licensed premises, under the Licensing Act 2003, where more than two machines are required;
- h) Register small society lotteries below prescribed thresholds;
- i) Issue Prize Gaming Permits;
- j) Receive and Endorse Temporary Use Notices;
- k) Receive Occasional Use Notices;
- l) Provide information to the Gambling Commission regarding details of licences issued (see section above on 'information exchange');
- m) Maintain registers of the permits and licences that are issued under these functions;

This list may be added to on the advice of the Gambling Commission.

3.2 This licensing authority does not have powers to deal with any of the following as these fall within the remit of the Gambling Commission:

- a) remote gambling (Remote gambling is via the internet or interactive

- television),
- b) the National Lottery,
- c) operating licences,
- d) personal functional licences ,
- e) personal management licences,
- f) gambling software,
- g) football pools,
- h) gaming machine manufacturers,
- i) gaming machine suppliers,
- j) gambling advertisements on television or other media,
- k) the number of gaming machines that can be used on premises,
- l) the value of the stakes or prizes allowed on gaming machines.

Tower Hamlets Plan

- 3.3 This policy has also takes into consideration the Tower Hamlets Plan 2018 - 23, which sets out the Tower Hamlets Partnership's five year vision for the borough, articulating local aspirations, needs and priorities. It informs all other strategies and delivery plans of the partnership, including the council's Strategic Plan.
- 3.4 The key objective for Tower Hamlets Plan are:
- tackling inequality by building a strong,
 - inclusive and fair borough.
- 3.5 From this it has created four themes that it will focus on:
- a better deal for children and young people: aspiration, education and skills
 - good jobs and employment
 - strong, resilient and safe communities
 - better health and wellbeing.

To view the Tower Hamlets Plan please see the link below:

https://www.towerhamlets.gov.uk/lgnl/community_and_living/community_plan/tower_hamlets_plan.aspx

4 Competent body for the protection of children from harm

- 4.1 The Licensing Authority is required to designate, in writing, a body which is competent to advise the Licensing Authority about the protection of children from harm. When making this decision we have also had regard to Part 6 of the Gambling Commission Guidance issued under section 25 of the 2005 Act.
- 4.2 In making our decision we have decided the body must be:
- responsible for child protection matters for an area covering the whole of the licensing authority's area (the whole of the Tower Hamlets);
 - the need for the body to be answerable to democratically elected persons,

rather than any particular vested interest group.

- 4.3 The Licensing Authority is satisfied that the Local Safeguarding Children Board fulfils these requirements and have therefore designated Board as the competent body to advise on matters concerning the protection of children from harm or exploitation.
- 4.4 The contact details of all the responsible authorities are found on the Council's website at www.towerhamlets.gov.uk/licensing.

5 Interested parties

- 5.1 This essential means those parties who can make representations relating to licence applications, or apply for a review of an existing licence.
- 5.2 The 2005 Act defines Interested Parties as persons who, in the opinion of the licensing authority:
- a) lives sufficiently close to the premises to be likely to be affected by the authorised activities,
 - b) has business interests that might be affected by the authorised activities,
 - c) represents persons who satisfy paragraph (a) or (b)
- 5.3 Licensing authorities are required to state in their policies the principles to be applied to determine whether a person is an interested party in relation to 5.1 above.
- 5.4 This Licensing Authority when determining whether a person is an interested party will consider each case upon its merits. In doing so we may consider taking relevant factors into account such as:
- a) the size of the premises, and nature of the activities taking place (as a larger premises may be considered to affect people over a broader geographical area compared with smaller premises offering similar facilities).
 - b) the distance of the premises from the usual residence or workplace of the person making the representation.
 - c) the potential impact of the premises (numbers of customers, routes likely to be taken by those visiting the premises).
 - d) the circumstances of the person and nature of their interests, which may be relevant to the distance from the premises.

- 5.5 The Licensing Authority will not apply a rigid rule to its decision making in

regards to determining what constitutes an interested party, and will consider the examples of considerations provided in the Gambling Commission's Guidance to local authorities. For instance when deciding whether a person or organisation "has business interests" we will adopt a broad interpretation to include for example partnerships, charities, faith groups, and medical practices.

5.6 We will follow the Gambling Commission's Guidance and regard such bodies as trade associations and trade unions, and residents' and tenants' associations as interested parties. However these bodies must be able demonstrate that they have a member who can be classed as an interested party under the 2005 Act i.e. paragraph 5.2 (a) above.

5.7 We will consider democratically elected persons such as Councillors and MP's as Interested parties on the condition that they provide written evidence that such elected persons 'represents' someone who falls under the description in paragraph 5.2 above. A letter from one of these persons, requesting the representation will be sufficient.

5.8 Individuals may wish to approach Councillors to ask them to represent their views. If Councillors take on a representative role they will not be able to be part of the decision making process. If they are a member of the Committee they must withdraw from any hearing relating to the application.

6 Exchange of Information

6.1 Licensing Authorities are required to set out their approach to the exchange of information with the Gambling Commission and other persons. As such this section details how this Licensing Authority's will exchange information that has been collected in carrying out its duties and responsibilities under the 2005 Act.

6.2 The Gambling Commission can require licensing authorities to provide specific information where it forms part of a register maintained under the 2005 Act, or is in the possession of the Licensing Authority in connection with the provision of the 2005 Act. As part of this exchange of information this licensing authority like all others are required to submit a return to the Gambling Commission at the end of each financial year. The information we submit as part of this return is as follows:

- permits issued,
- temporary use notices issued,
- occasional use notices issued,
- premises inspections conducted,
- reasons for and outcomes of reviews.

This data is subsequently included in the Department for Communities and Local Government Single Data List.

6.3 This policy covers the years 2019 to 2022, and we appreciate that the

Gambling Commission within this period could change its requirements. Therefore this licensing authority will provide any other information requested to the Gambling Commission, provided it falls within the parameters detailed in paragraph 6.1 above.

- 6.4 The principle that this licensing authority applies when exchanging information with the Gambling Commission or other persons in respect of our functions under the 2005 Act is that we will act in accordance with the provisions under this legislation and the provisions of the Data Protection Act 1998 and any other associated legislation.
- 6.5 The licensing authority will have regard to any guidance issued by the Gambling Commission and the Information Commissioner to Local Authorities on this matter, as well as any relevant regulations issued by the Secretary of State under the powers provided in the Gambling Act 2005.
- 6.6 The Licensing Authority may exchange information with other persons listed below for the use of exercising our functions under the 2005 Act:
 - a) constable or police force
 - b) an enforcement officer
 - c) a licensing authority
 - d) HMRC
 - e) the First Tier Tribunal
 - f) the Secretary of State

We do not currently have any protocols with these persons however if we do adopted an information exchange protocol with any persons it will be made available if requested.

7 Inspection, compliance and enforcement

- 7.1 The main enforcement and compliance role for this licensing authority will be to ensure compliance with the Premises Licences and other permissions which we authorise, e.g. Granting Gaming Machine Permits in alcohol Licensed Premises. The Gambling Commission will be the enforcement body for the Operator and Personal Licences. Concerns about manufacture, supply or repair of gaming machines will not be dealt with by this licensing authority but will be notified to the Gambling Commission.
- 7.2 As per the 2005 Act and Gambling Commission Guidance this section details this licensing authority's principles that will apply in exercising our functions concerning inspection, compliance and enforcement functions, and instigating criminal proceedings.

- 7.3 This Licensing Authority's general principles of enforcement are set out in our

Enforcement Policy, which follows the principals of the Enforcement Concordat. In addition we will be guided by the Gambling Commission's Guidance for local authorities and will have regard for the Regulators Code. Therefore we will endeavour to be:

- **Proportionate:** regulators should only intervene when necessary: Remedies should be appropriate to the risk posed, and costs identified and minimised;
- **Accountable:** regulators must be able to justify decisions, and be subject to public scrutiny;
- **Consistent:** rules and standards must be joined up and implemented fairly;
- **Transparent:** regulators should be open, and keep regulations simple and user friendly; and
- **Targeted:** regulation should be focused on the problem, and minimise side effects
- **Avoid duplication** with other regulatory regimes so far as possible.

7.4 The licensing authority expects premises licence holders to be fully aware of, and keep to, the terms of their licence. Where Licence holders fail to adhere to the terms of their licence we will take appropriate enforcement action to ensure compliance. We will pay particular attention in this respect where there are concerns that the licensing objective relating to children is not being met in full.

7.5 This licensing authority also intends to monitor non-licensed gambling, and is especially concerned to stop non-destination gambling by children and young adults. Non destination gambling is where the destination is not primarily a gambling premises and is mainly visited for a different purpose. This typically (but not exclusively) involves gaming machines in premises open to the public such as public houses

7.6 This Licensing Authority will continue to keep informed of developments with the work from Central Government and sister organisations on the principles of Better Regulation Executive in its consideration of the regulatory functions. Bearing in mind the principle of transparency, this licensing authority's enforcement/compliance protocols/written agreements will be available upon request to the licensing service and on the Council's web site. Our risk methodology will also be available upon request. (A charge may be made for hard copies).

- 7.7 We recognise that bookmakers and other operators may have a number of premises within Tower Hamlets. In order to ensure that compliance issues are recognised and dealt with at the earliest possible stage, operators are requested to give This licensing authority a single named contact., who should be a senior individual, and whom we will contact first should any compliance queries or issues arise. We will however, reserves the right to institute proceedings, or take other action as necessary and consistent with our general policies.
- 7.8 We will base our inspections and enforcement activity on the principles of risk assessment, a graduated response and the targeting of problem premises. We will not routinely carry out full premises inspections and the frequency of inspections will be determined on risk-based criteria with high- risk operations receiving more attention than premises deemed to be of low risks.
- 7.9 We use the templates inspection forms produced by the Leicester, Rutland and Leicestershire Licensing Forum and Leicestershire Local Economic Partnership.
- 7.10 During visits/inspections the Council may request that operators / premises share:-
- Local area risk assessments

This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the Council may also request premises share:

- test purchasing results (subject to the terms of primary authority agreements) ;
- incidents in premises, which managers are likely to be required to report to head office;
- information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.

Such request to share such data will be in consultation with the Operators, and the Gambling Commission.

- 7.11 As per Gambling Commission Guidance and Codes of Practice, Operators are required to share their risk assessments with licensing authority when they are applying for a new premises licence or applying to vary an existing premises licence, and at the request of this licensing authority, such as when we are inspecting a premises.
- 7.12 Additionally, responsible authorities and interested parties under the 2005 Act may seek a review of a premises licence if they feel the premises are not properly upholding the licensing objectives.

8 The Licensing Objectives

- 8.1 The 2005 Act sets out three licensing objectives, and in exercising its functions under the 2005 Act this licensing authority must have regard to these licensing objectives, which are:
- 1) Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime;
 - 2) Ensuring that gambling is conducted in a fair and open way;
 - 3) Protecting children and other vulnerable people from being harmed or exploited by gambling.
- 8.2 This licensing authority expects operators to implement measures to mitigate risks to the licensing objectives and to consider and address measures contained in this section when preparing their risk assessments. Operators should also have regard to our local area profile, which will identify possible existing or emerging risks within Tower Hamlets.
- 8.3 The Gambling Commission's guidance emphasises that moral objections to gambling, or a view that it is generally undesirable are not licensing objectives and cannot inform any decisions by the licensing authority. Moreover neither public safety nor public nuisance are licensing objectives and so cannot be considered when determining applications. These issues will largely be dealt with by the Council's Environmental Health Service via other primary legislation.
- 8.4 This licensing authority recognises that in making decisions about premises licences and temporary use notices it should aim to permit the use of premises for gambling in so far as it thinks it is:-
- in accordance with any relevant code of practice issued by the Gambling Commission,
 - in accordance with any relevant guidance issued by the Gambling Commission,
 - reasonably consistent with the licensing objectives in accordance with the authorities statement of licensing policy.
- 8.5 We will not consider whether the applicant is suitable to apply for a premises licence because the Gambling Commission would have already addressed this issue when granting the operator's licence. However, if any issues arise during the application process or after we have granted a licence that causes us to question the suitability of the applicant or licence holder, we will bring this to the attention of the Commission.
- 8.6 The Gambling Commission's Licence Conditions and Code of Practice (LCCP) (Social Responsibility Code) require gambling premises to undertake a local risk assessment taking into consideration their local information. Specific information about localities is provided in this policy at Annex 6.

- 8.7 The risk assessment is required to be shared with the Council where there is a new application and or a variation to an existing premises licence.

Objective 1: *Preventing gambling from being a source of crime or disorder, being associated with crime or disorder or being used to support crime*

- 8.8 When considering applications we will always take the location of the premises into account with regard to the crime and disorder objective. We will have particular regard to premises situated in areas of Tower Hamlets that represent a higher risk of potential vulnerability to gambling-related harm as where indicated in our local area profile.
- 8.9 When preparing their risk assessments we expect applicants to consider the following measures:
- a) measures or actions to address crime and disorder issues around the design, layout or the look and feel of the premises. This may include steps to 'design out crime' such as changing layout, positioning of registers, CCTV, lighting and so on,
 - b) measures to address the reoccurrence of any historical crime and disorder issues,
 - c) changes to opening hours, where it is felt that amending them would address or reduce the specific concern around crime and disorder,
 - d) whether the licensee should participate in any relevant council strategy that relates (or have aspects relating) to crime and disorder,
 - e) whether training should be given to staff around crime prevention measures appropriate to the premises,
 - f) where premises are subject to age restrictions, whether additional procedures or measures could be put in place to conduct age verification checks, better supervision of entrances/age restricted machines, or to ensure there is better segregation between age restricted areas of the premises,
 - g) whether the premises has door supervisors or security,
 - h) if the licence or variation is granted, the likelihood that the grant will result in violence, public disorder or problems in respect of policing.

This list is not exhaustive and simply indicates the types of measures that operators should consider in relation to crime and disorder.

Objective 2: *Ensuring that gambling is conducted in a fair and open way*

- 8.10 This licensing authority has noted that ensuring that gambling is conducted in a fair and open way is a matter for the Gambling Commission, as the way gambling products are provided are subject to the conditions of the operator licence or personal licences. This will not be the case if the licensing authority becomes involved in licensing betting track operators, or if we suspect that gambling is not being conducted in a fair and open way. In both cases we will bring this to the attention of the Gambling Commission.

Objective 3: *Protecting children and other vulnerable persons from being harmed or exploited by gambling*

- 8.11 In consultation with Public Health within this Council gambling related harms could be defined as “the adverse impacts from gambling on the health and wellbeing of individuals, families, communities and societies”, as suggested by the Responsible Strategy Gambling Board. These harms affect resources, relationships and health. The impact from them may be short-lived but can be durable, having enduring consequences and exacerbating existing inequalities.
- 8.12 This Licensing Authority is will always take the location of the premises into account with regard to the children and vulnerable persons licensing objective when considering applications. We will have particular regard to premises situated in areas of Tower Hamlets that represent a higher risk of potential vulnerability to gambling-related harm where this is indicated in our local area profile.

In order to explain this licensing objective clearly, we have separated it into Children and vulnerable people.

Children

- 8.13 Protecting children from being harmed or exploited by gambling means:
- a) preventing children from taking part in gambling and,
 - b) restricting activities such as advertising, so that gambling products are not aimed at or appear attractive to children.
- 8.14 To ensure the above we will pay particular attention to licence applications for premises situated near schools or areas where there may be a high concentration of children or families. We will expect applicants to have carefully considered their operation and any potential for exposing children and young people to gambling. Where the Gambling Premises requires a restriction to not admit persons under 18 to the gambling premises or area operators should demonstrate policies and procedures that will prevent children and young people from entering such premises/area or partaking in gambling activities.
- 8.15 When considering the operators risk assessment, we will assess the measures the operator has in place to mitigate the risks to this licensing objective. Following which we will decide whether further specific measures are required at particular premises such as
- a) supervision of entrances to the premises
 - b) supervision of gaming machines and
 - c) the separation of certain areas within the premises

8.16 Where category C, B or A machines are on offer in premises to which children are admitted we will ensure:

- a) all such machines are located in an area of the premises which is separated from the remainder of the premises by a physical barrier which is effective in preventing access other than through a designated entrance,
- b) the premises has appropriate signage indicating that access to that area where such machines are located is restricted to persons under the age of 18
- c) only adults are admitted to the area where the machines are located
- d) access to the area where the machines are located is supervised
- e) the area where the machines are located is arranged so that the staff or the licence holder can observe it.

Separate requirements apply to alcohol-licensed premises that hold a gaming machine permit. These are detailed later on in this Policy.

Safeguarding against Child Sexual exploitation (CSE)

8.17 The Council acknowledges that CSE awareness does not just apply to children on licensed premises, particularly as children are not permitted to access most gambling premises. However applicants should be equally aware of children in the proximity of the premises that may be waiting for, or seeking, older persons.

8.18 Applicants are encouraged to ensure that suitable management controls are in place to safeguard children against the risk of CSE as part of promoting this objective (Objective 3) but also Objective 1 above. . Measures may include, but are not limited to:

- awareness training for staff;
- regular patrols of the premises, including external areas and the immediate proximity, to identify any vulnerable children;
- close monitoring of patrons as they leave the premises;
- recording and reporting concerns to the police.

8.19 The Council expects applicants to be aware of 'risk indicators' of CSE which include, but are not limited to:

- developing relationships between a child and an older person;
- children in the company of a group of older persons;
- children regularly attending premises and meeting with a number of different older persons, particularly where older persons may be facilitating gambling for children;
- children outside of licensed premises developing relationships with an older person, particularly an older person facilitating gambling for children;
- children leaving the locality of the premises with older persons, particularly with a group of older persons;
- children looking uncomfortable in the company of, or leaving with, older persons, particularly groups of older persons.

- 8.20 Whilst the Council does not wish to create the impression that all contact between children and older persons is inappropriate, it believes that licence holders should be aware of the risks of CSE and should proactively manage their premises to minimise the risks.

Vulnerable People

- 8.21 This Licensing Authority does not seek to stop particular groups of adults from gambling or gaming in the same way that we seek to stop children. However, we are concerned about the potential for vulnerable people to be harmed or exploited by gambling.
- 8.22 The Gambling Commission Guidance does not seek to define 'vulnerable persons' however, for regulatory purposes, it does assume that vulnerable persons includes the following:
- a) people who gamble more than they want to,
 - b) people who gamble beyond their means,
 - c) people who may not be able to make informed or balanced decisions about gambling due to, for example, mental health, a learning disability or substance misuse relating to alcohol or drugs.
- 8.23 This Licensing Authority recognises that there are many serious issues that can be a consequence of problem gambling, and therefore presents a greater risk of harm to vulnerable persons. These can include but are not limited to:
- a) job loss and absenteeism,
 - b) poor work/study performance,
 - c) stress depression and anxiety,
 - d) suicide,
 - e) poor health,
 - f) financial hardship, debts and bankruptcy,
 - g) resorting to crime/theft and imprisonment,
 - h) neglect of family,
 - i) impacts on others,
 - j) relationship breakdown,
 - k) domestic violence.
- 8.24 Problem gambling, particularly with the young, can sometimes be an indication of other issues, such as anti-social behaviour problems. When we become aware of issues associated with problem gambling and vulnerable people, we will seek to work closely with the gambling premises operator, the Gambling Commission and other relevant services within the Council.

- 8.25 This Licensing Authority will have regard to our local area profile and pay particular attention to applications for premises near venues where, for example, Gamblers Anonymous groups (or similar) meet, residential homes, hospitals or other premises where vulnerable as per paragraph 8.22 above reside or visit, when dealing with gambling premises applications. In considering the above, we will base our decision on whether the proximity of the premises to the vulnerable group is likely to present a risk to this licensing objective. Where we have relevant evidence, we may consider the likelihood of vulnerable people using the premises, whether they have other reason to be in the proximity or not. This could be, as an example, through complaints made to us or through representations made about an application.
- 8.26 When determining an application and this issue is raised, we will also take into account the operator's risk assessment and assess the controls that are in place (or will be in place) to protect vulnerable people and promote the licensing objectives at the premises. Depending on the circumstances, we may have particular regard to:
- a) the size of the premises,
 - b) staffing levels at the premises,
 - c) procedures in place to identify a vulnerable person and to stop a vulnerable person from gambling,
 - d) the location and type of gaming machines on the premises,
 - e) arrangements in place to supervise the gaming machines.
- 8.27 This Licensing Authority encourages operators to consider participation in voluntary best practice or certification schemes, such as GamCare's Safer Gambling Standard to assist in their promotion of the objective of Protecting children and other vulnerable persons from being harmed or exploited by gambling.

PART B - Premises Licences and other matters

1 General Principles

- 1.1 Premises licences will be subject to the requirements set out in the Gambling Act 2005 and regulations, as well as specific mandatory and default conditions which will be detailed in regulations issued by the Secretary of State. Licensing authorities are able to exclude default conditions and also attach others, where it is believed to be appropriate.

2 Duplication with other regulatory regimes and licensing objectives

- 2.1 This licensing authority will seek to avoid any duplication with other statutory / regulatory systems where possible, including planning. We will not consider whether a licence application is likely to be awarded planning or building consent, in its consideration of it. The 2005 Act makes it clear that a licensing authority must not have regard to whether or not a proposal by the applicant is likely to be permitted in accordance with planning or building law when considering applications. However we will listen to, and consider carefully, any concerns about conditions which are not able to be met by licensees due to planning restrictions, should such a situation arise.

3 Premises

- 3.1 Premises are defined in the 2005 Act as “any place”. Different premises licences cannot apply in respect of a single premises at different times. However it is possible for a single building to be subject to more than one premises licence provided they are for different parts of the building. Different parts of the building can reasonably regarded as being separate premises will always be a question of fact in the circumstances. However areas of a building that is artificially or temporarily separate can be properly regarded as different premises.
- 3.2 A premises licences can authorise the provision of facilities for the following types of premises :
- a) casino
 - b) bingo
 - c) betting, including tracks and premises used by betting intermediaries
 - d) adult gaming centre (AGC)
 - e) licensed family entertainment centre (FEC)
- 3.3 The processing of applications for premises licences is our main function in terms of local gambling regulation and a key means by which we can ensure that risks to the licensing objectives are mitigated effectively.

3.4 This licensing authority will take particular note of the Gambling Commission's Guidance to local authorities that: -

- "Licensing authorities should take particular care in considering applications for multiple licences for a building and those relating to a discrete part of a building used for other (non-gambling) purposes. In particular they should be aware that entrances and exits from parts of a building covered by one or more licences should be separate and identifiable so that the separation of different premises is not compromised and that people do not "drift" into a gambling area"
- "Licensing authorities should pay particular attention to applications where access to the licensed premises is through other premises (which themselves may be licensed or unlicensed), especially if this raises issues in relation to children. There will be specific issues that authorities should consider where children can gain access; compatibility of the two establishments; and ability to comply with the requirements of the Act. But, in addition an overriding consideration should be whether, taken as a whole, the co-location of the licensed premises with other facilities has the effect of creating an arrangement that otherwise would, or should, be prohibited under the Act."

4 Adult Gaming Centers (AGC)

4.1 This licensing authority will specifically have regard to the need to protect children and vulnerable persons from harm or being exploited by gambling and will expect the applicant to satisfy the authority that there will be sufficient measures to ensure that under 18 year olds do not have access to the premises.

Appropriate licence conditions may cover issues such as:

- Proof of age schemes
- CCTV
- Door supervisors
- Supervision of entrances / machine areas
- Physical separation of areas
- Location of entry
- Notices / signage
- Specific opening hours
- Self-barring schemes
- Provision of information leaflets/ helpful numbers for organisations such as GamCare

4.2 This list is neither mandatory nor exhaustive, and is merely indicative.

4.3 An AGC premises is entitled to the following allocation of gaming machines:

If the licence was granted on or after 13 July 2011:

- a) a maximum of 20% of the total number of gaming machines which are available for use on the premises may be category B3 or B4 (but not B3A) machines
- b) any number of Category C or D machines

If the licence was granted before 13 July 2011:

- a) four category B3 or B4 (but not B3A) machines, or 20% of the total number of gaming machines which are available for use on the premises, whichever is the greater
- b) any number of Category C or D machines

5 Licensed Family Entertainment Centers FEC

5.1 This licensing authority will specifically have regard to the need to protect children and vulnerable persons from harm or being exploited by gambling and will expect the applicant to satisfy the authority that there will be sufficient measures to ensure that under 18 year olds do not have access to the adult only gaming machine areas. Appropriate licence conditions may cover issues such as:

- Proof of age schemes
- CCTV
- Door supervisors
- Supervision of entrances / machine areas
- Physical separation of areas
- Location of entry
- Notices / signage
- Specific opening hours
- Self-barring schemes
- Provision of information leaflets/ helpful numbers for organisations such as GamCare
- Measures/training for staff on how to deal with suspected truant school children on the premises

This list is not mandatory, nor exhaustive, is it merely indicative.

5.2 An FEC are allowed any number of Category C or D gaming machines. However where children and young persons are permitted to enter an FEC and may use category D machines. Persons under the age of 18 years are not permitted to use category C machines and it is a requirement that there must be clear segregation between the two types of machine, so that under-18s do not have access to them.

- 5.3 This licensing authority will, in accordance with the Gambling Commission's guidance, refer to the Commission's website to see any conditions that apply to operator licences covering the way in which the area containing the category C. Category C machines give a higher payout than children are permitted to use should be delineated. This licensing authority will also make itself aware of any mandatory or default conditions on these premises licences, when they have been published.

6 Casinos

- 6.1 Section 166 of the 2005 Act, allows licensing authorities to resolve not to issue casino premises licences. This licensing authority has consulted with residents and businesses to seek their views before deciding whether to make such a resolution. As a result of the consultation the council has resolved not to issue casino premises licences. This was decided by Full Council on 18th September 2013.

7 Bingo premises

- 7.1 Gambling Commission Guidance identifies Bingo as "equal chance gaming", and has published its view on what Bingo is and how it differs from other forms of gambling. To aid applicants we have attached this advice note to this policy, see Annex 4. However it must be stressed that this advice note from the Gambling Commission does not alter the meaning of Bingo as described in the 2005 Act. It merely seeks to offer the advice to help bingo operators avoid creating and offering products that the Gambling Commission considers to be casino games, lotteries or fixed odds betting.

- 7.2 A bingo premises licence allows the primary activity of bingo and the following allocation of gaming machines

If the licence was granted on or after 13 July 2011:

- a) a maximum of 20% of the total number of gaming machines which are available for use on the premises may be category B3 or B4 (but not B3A) machines
- b) any number of Category C or D machines

If the licence was granted before 13 July 2011:

- a) eight category B3 or B4 (but not B3A) machines, or 20% of the total number of gaming machines which are available for use on the premises, whichever is the greater
- b) any number of Category C or D machines

- 7.3 Bingo premises and children/young persons (persons under 18 years). Children and Young persons are allowed into Bingo Premises. However where a premises allows children/young persons into the premises they are not permitted to participate in Bingo. Moreover where the premises has Category B or C gaming machines available for use these must be separated from where children/young persons are permitted.
- 7.4 As per Gambling Permission Guidance this licensing authority will follow the Social Responsibility Code, which states that all licensees must ensure that they their policies and procedures take account of the structure and layout of their premises in order to prevent underage gambling. This may include effective measure to ensure:
- all category B or C gaming machines are located in an area of the premises separate from the remainder of the premises by a physical barrier which is effective to prevent access other than through a designated entrance;
 - only adults are admitted to the area where these machines are located;
 - access to the area where these machines are located are supervised;
 - the area where these machines are located is arranged so that it can be observed by staff of the operator or the licence holder; and
 - at the entrance to, and inside any such area there are prominently displayed notices indicating that access to the area is prohibited to persons under 18.";
 - Effective monitoring procedures to ensure that children do not participate in bingo.
- 7.5 This licensing authority is aware that the Gambling Commission is going to issue further guidance about the particular issues that licensing authorities should take into account in relation to the suitability and layout of bingo premises. This guidance will be considered by this licensing authority once it is made available.

8 Betting Premises

- 8.1 Betting Machines - This licensing authority will have regard to the Gambling Commission's Guidance, take into account the size of the premises, the number of counter positions available for person-to-person transactions, and the ability of staff to monitor the use of the machines by children and young persons (it is an offence for those under 18 years to bet) or by vulnerable people, when considering the number/nature/circumstances of betting machines an operator wants to offer.

- 8.2 We expect such premises to have clear policies and procedures in place to ensure that staff have clear line of sight to ensure staff can monitor the use of Betting Machines to ensure that vulnerable such as problem gamblers can be identified and dealt with as per operators Social Responsibilities Policies and Procedures. This will mean that staff within such premises should be appropriately trained to identifies such persons and know their employers policies and procedures to enable them to correctly assist vulnerable persons to reduce the risk of gambling related harm.
- 8.3 A betting premises licence allows the primary activity of betting and the following allocation of gaming machines
- a) Maximum of four gaming machines of categories B2, B3, B4, C or D (excluding category B3A).
- 8.4 Holders of a Betting Premises licence are permitted (at our discretion) to have betting machines, known as Self-service Betting Terminals (SSBTs). A SSBTs is different from a gaming machine as it is designed or adapted to allow betting on “real events”. For example, some premises may have betting machines that accept bets on live events, such as horse racing as a substitute for placing a bet in person over the counter.
- 8.5 Licensing Authorities have powers to restrict the number of SSBTs in certain premises Betting Premises and Casinos). This restriction is done via adding conditions to the premises licence. When considering whether to impose a condition to restrict the number of SSBTs in particular premises, this licensing authority, amongst other things, will take into account the ability of employees to monitor the use of the machines by children and young persons or by vulnerable people. We will also consider the nature of SSBT and the circumstances by which they are made available. This may include:
- a) the size of the premises
 - b) the number of counter positions available for transactions; and/or
 - c) the number of staff to monitor the use of the machines by children and young persons or vulnerable people.
 - d) whether the machines have been, or are likely to be used in breach of the licensing objectives.

9 Tracks – (This section refers to where racing takes place, such as horse or greyhound racing) and other matters

- 9.1 This licensing authority is aware that tracks may be subject to one or more than one premises licence, provided each licence relates to a specified area of the track. In accordance with the Gambling Commission’s Guidance, this licensing authority will especially consider the impact upon the third licensing objective (i.e. the protection of children and vulnerable persons from being harmed or exploited by gambling) and the need to ensure that entrances to each type of premises are distinct and that children are excluded from gambling areas where they are not permitted to enter.

- 9.2 This licensing authority will therefore expect the premises licence applicant to demonstrate suitable measures to ensure that children do not have access to adult only gaming facilities. It is noted that children and young persons will be permitted to enter track areas where facilities for betting are provided on days when dog racing and/or horse racing takes place, but that they are still prevented from entering areas where gaming machines (other than category D machines) are provided
- 9.3 This licensing authority will expect applicants to offer their own measures to meet the licensing objectives however appropriate measures / licence conditions may cover issues such as:
- Proof of age schemes
 - CCTV
 - Supervision of entrances / machine areas
 - Physical separation of areas
 - Location of entry
 - Notices / signage
 - Specific opening hours
 - Self-barring schemes
 - Provision of information leaflets / helpline numbers for organisations such as GamCare
- 9.4 This list is not mandatory, nor exhaustive, and is merely indicative of example measures.
- 9.5 Track Premises are permitted to have the following gaming machines in the following circumstances:
- a) Where the owners hold both a Track Premises Licence and a Pool Betting Operating Licence (issued by the Gambling Commission) they may site up to four category B2 to D machines on the track;
 - b) Tracks that hold an alcohol licence are automatically entitled under s.282 of the 2005 Act to have two gaming machines of category C or D (please note to activate this entitlement the premises must notify the licensing authority, see Part C below for more information);
 - c) Track premises that fall into both a and b above, i.e. have an alcohol licence and hold both a Track Premises and Pool Betting Operating Licences are may have six gaming machines (two via the alcohol licence and four via the premises/operating licences).

- 9.6 Track Premises that hold a Pool Betting Licence will have conditions that the operator must have and put into effect policies and procedures designed to prevent underage gambling, and monitor the effectiveness of these. As a result this licensing authority will take into account the size of the premises and the ability of staff to monitor the use of the machines by children and young persons (it is an offence for those under 18s to bet) or by vulnerable people, when considering the number /nature / circumstances of betting machines an operator wants to offer. It will also take note of the Gambling Commission's suggestion that licensing authorities will want to consider restricting the number and location of such machines in respect of applications for track betting premises licences.
- 9.7 Condition on rules being displayed - The Gambling Commission has advised in its Guidance for local authorities that "licensing authorities should attach a condition to track premises licences requiring the track operator to ensure that the rules are prominently displayed in or near the betting areas, or that other measures are taken to ensure that they are made available to the public. For example, the rules could be printed in the race-card or made available in leaflet form from the track office."
- 9.8 Applications and plans - This licensing authority awaits regulations setting- out any specific requirements for applications for premises licences but is in accordance with the Gambling Commission's suggestion "To ensure that licensing authorities gain a proper understanding of what they are being asked to license they should, in their licensing policies, set out the information that they will require, which should include detailed plans for the racetrack itself and the area that will be used for temporary "on- course" betting facilities (often known as the "betting ring") and in the case of dog tracks and horse racecourses fixed and mobile pool betting facilities operated by the Tote or track operator, as well as any other proposed gambling facilities." And that "Plans should make clear what is being sought for authorisation under the track betting premises licence and what, if any, other areas are to be subject to a separate application for a different type of premises licence."
- 9.9 This licensing authority also notes that in the Gambling Commission's view that it would be preferable for all self-contained premises operated by off-course betting operators on track to be the subject of separate premises licences, to ensure that there is clarity between the respective responsibilities of the track operator and the off-course betting operator running a self-contained unit on the premises.

10 Travelling Fairs

- 10.1 It will fall to this licensing authority to decide whether, and where category D machines and / or equal chance prize gaming without a permit is to be made available for use at travelling fairs. This will be decided on the condition that the statutory requirement that the facilities for gambling must be ancillary amusement at the fair and not its main purpose.

- 10.2 The licensing authority will expect applicants to show how they will meet the licensing objectives, in particular in relation to children and young persons.
- 10.3 The licensing authority will also consider whether the applicant falls within the statutory definition of a travelling fair in that it must not be on a site that has been used for fairs on more than 27 days per calendar year.
- 10.4 The 27-day statutory maximum for the land being used as a fair is per calendar year, and that it applies to the piece of land on which the fairs are held. This is regardless of whether it is the same or different travelling fairs occupying the land. This licensing authority will work with its neighbouring authorities to ensure that land which crosses our boundaries is monitored so that the statutory limits are not exceeded.
- 11 Provisional Statements** Developers may wish to apply for a provisional statement before entering into a contract to buy or lease property or land. This may allow the developer to judge whether a development is worth taking forward in light of the need to obtain a premises Licence. There is no need for the applicant to hold an operating licence in order to apply for a provisional statement.
- 11.2 A person can to make an application for a provisional statement in respect of premises that they:
- expect to construct,
 - expect to alter or,
 - expect to acquire a right to occupy.
- 11.3 Whilst applicants for premises licences must hold or have applied for an operating licence from the Commission (except in the case of a track), and they must have the right to occupy the premises in respect of which their premises licence application is made, these restrictions do not apply in relation to an application for a provisional statement.
- 11.4 In circumstances where an applicant has also applied to the Gambling Commission for an operating licence, the Gambling Commission has stated that licensing authorities should not speculate on or otherwise take into account the likelihood of an operating licence being granted in its consideration of the application for a provisional statement.
- 11.5 The process for considering an application for a provisional statement is the same as that for a premises licence application and thus must be accompanied by plans and the prescribed fee. The applicant is obliged to give notice of the application in the same way as applying for a premises licence. Responsible authorities and interested parties may make representations and there are rights of appeal.
- 11.6 Following the construction, alteration or acquirement of the premises for which

the provisional statement relates to, the licence holder may subsequently apply for a premises licence. The Licensing Authority will be constrained in the matters we can consider when determining the premises licence application, and in terms of representations about premises licence applications that follow the grant of a provisional statement, no further representations from relevant authorities or interested parties can be taken into account unless:

- a) they concern matters which could not have been addressed at the provisional statement stage, or
- b) they reflect a change in the applicant's circumstances.

11.7 In addition, the Licensing Authority may refuse the premises licence (or grant it on terms different to those attached to the provisional statement) only by reference to matters:

- a) which could not have been raised by objectors at the provisional statement application stage;
- b) which in our opinion reflect a change in the operator's circumstances; or
- c) where the premises has not been constructed in accordance with the plan submitted with the application. This must be a substantial change to the plan. We can discuss any concerns we may have with the applicant before making a decision.

12 Location and Local Risk Assessments This licensing authority is aware that demand issues (for example whether or not there is sufficient customer demand to make a site commercially viable) cannot be considered with regard to the location of premises but that considerations in terms of the licensing objectives can. In line with the Gambling Commission's Guidance for local authorities, this authority will pay particular attention to the protection of children and vulnerable persons from being harmed or exploited by gambling, as well as issues of crime and disorder.

12.2 It is the licensing authority's view that premises close to schools, playgrounds, or other educational establishments such as museums should not normally be licensed. However any such policy does not preclude any application being made and each application will be decided on its merits, with the onus upon the applicant showing how the concerns can be overcome.

12.3 The licensing authority will need to be satisfied that there is sufficient evidence that the particular location of the premises would not be harmful to the licensing objectives.

12.4 From 6th April 2016, the Gambling Commission's Licence Conditions and Codes of Practice (LCCP) made it a requirement under the Social Responsibility (SR) code, for licensees to assess the local risks to the

licensing objectives posed by the provision of gambling facilities at their premises, and have policies, procedures and control measures to mitigate those risks. In making local risk assessments, licensees must take into account relevant matters identified in this policy and local area profile.

12.5 The LCCP states that licensees must undertake a local risk assessment when applying for a new premises licence and this must be reviewed and update as necessary:

- a) to take account of significant changes in local circumstance, including those identified in this policy;
- b) when there are significant changes at a licensee's premises that may affect their mitigation of local risks;
- c) when applying for a variation of a premises licence; and;
- d) in any case, undertake a local assessment when applying for a new premises licence.

12.6 Licence holders are also required to provide this licensing authority with a copy of their local risk assessment when applying for a premises licence or applying for a variation to an existing premises licence. We can also request a copy of the local risk assessment at any other time, for example, when we are inspecting premises.

12.7 Where concerns exist or new risks emerge we may ask a licence holder to provide a copy of their local risk assessment, setting out the measures they have in place to address specific concerns. Licence holders may wish to consider the benefit of making their local risk assessment available to responsible authorities and interested parties.

12.8 The licensing authority expects the local risk assessment to consider as a minimum issues presented by the local landscape, such as;

- Exposure to vulnerable groups;
- Identification of local specific risks;
- Type of footfall – children, visitors, families, residents;
- Educational facilities;
- Community Centers;
- Homelessness /rough sleeper hostels, provision of support services.

12.9 In any case the local risk assessment should show how vulnerable people, including people with gambling dependencies, are protected.

12.10 Other matters that the assessment may include:

- The training of staff in brief intervention when customers show signs of excessive gambling, the ability of staff to offer brief intervention and how

the manning of premises affects this.

- Details as to the location and coverage of working CCTV cameras, and how the system will be monitored.
- The layout of the premises so that staff have an unobstructed view of persons using the premises;
- The number of staff that will be available on the premises at any one time. If at any time that number is one, confirm the supervisory and monitoring arrangements when that person is absent from the licensed area or distracted from supervising the premises and observing those persons using the premises.
- Arrangements for monitoring and dealing with under age persons and vulnerable persons, which may include dedicated and trained personnel, leaflets, posters, self-exclusion schemes, window displays and advertisements not to entice passers-by etc.
- The provision of signage and documents relating to games rules, gambling care providers and other relevant information is provided in both English and the other prominent first language for that locality.
- Where the application is for a betting premises licence, other than in respect of a track, the location and extent of any part of the premises which will be used to provide facilities for gambling in reliance on the licence.

12.11 To assist operators, Annex 6 sets out the Council's Gambling Local Area Profiles criteria. In connection with this the Council recognises the Gambling Commissions National Strategy to Reduce Gambling Harms, and supports the two strategy aims:

- **Prevention and Education** – making significant progress towards a clear public health prevention plan which includes the right mix of interventions.
- **Treatment and Support** – delivering truly national treatment and support options that meet the needs of users.

The full Strategy can be viewed here:

<http://www.reducinggamblingharms.org/>

Licence holders and Operators should have regard to this Strategy when undertaking their local risk assessment.

13 The application and decision making process When this Licensing we receives an application for a premises licence, we must consult interested parties and responsible authorities as set out in Part A above. These

interested persons/responsible authorities can make comments about applications for premises licences, which are known formally as 'representations'.

13.2 Generally, where we receive a valid representation, i.e. it relates to either the licensing objectives, matters in this Policy or the Gambling Commissions Codes or Practice or Guidance, we will normally refer the application to the Licensing Committee or Sub-Committee for determination at a hearing. In determining applications, the Committee shall aim to permit the use of premises for gambling in so far as we consider it to be:

- a) in accordance with any relevant Code of Practice or guidance issued by the Gambling Commission,
- b) reasonably consistent with the licensing objectives,
- c) in accordance with this Policy.

13.3 The Committee cannot consider any of the following when determining an application:

- a) moral objections (Licensing Authorities cannot base their decision on a dislike of gambling, or a general notion that it is undesirable to allow gambling premises in within their area),
- b) planning (as detailed earlier in this policy Licensing Authorities cannot have regard to planning or building control permissions or any planning restrictions when deterring applications under the 2005 Act),
- c) demand (Licensing Authorities cannot take into account issues around the demand for gambling premises).

14 Representations and Hearings Where this licensing authority receives a representation from an interested party or responsible authority, we must first confirm that it is a valid representation.

14.2 For a representation to be valid it must be:

- a) Made by an Interested Party or a Responsible Authority,
- b) Not be considered to be vexatious or frivolous,
- c) Be relevant to application,
- d) Detail how the application will negatively affect one or more of the licensing objectives.

14.3 Where an interested party or responsible authority makes a valid representation as mentioned above section 7 above we will refer the application to the Licensing Committee or Sub-Committee for determination at a hearing. Those who have made a valid representation should attend the hearing as failure to do so could reduce the weight that the Committee places on representation. Where an interested person makes a representation and

wishes to be represented by another person or organisation at the hearing they must give this licensing authority a written notice requesting to be represented by that person or organisation.

- 14.4 Interested parties and responsible authorities can make representations about licence applications, or apply for a to review an existing licence (see below).
- 14.5 As detailed in Section 5 of Part A above Trade associations, trade unions and residents and tenants' associations can be interested parties, if they can demonstrate they are representing a member who lives sufficiently close to the premises, or is likely to be affected by the activities being applied for. Similarly Elected Councillors and MPs may also be interested parties provided they are acting on behalf of their constituents see Section 5 of Part A above for more information.
- 15 Licence fees** This Licensing Authority will calculate and collect fees from gambling operators to meet the costs of carrying out our licensing functions under the 2005 Act. The intention of the government is that fees will cover our costs for administration (including hearings and appeals), inspection and enforcement of the licensing regime. Current fees are available on our website.
- 16 Conditions** Any conditions attached to licences will be proportionate and will be:
- relevant to the need to make the proposed building suitable as a gambling facility
 - directly related to the premises and the type of licence applied for;
 - fairly and reasonably related to the scale and type of premises: and
 - reasonable in all other respects.
- 16.2 Decisions upon individual conditions will be made on a case by case basis, although there will be a number of control measures, this licensing authority will consider utilising should there be a perceived need, such as the use of door supervisors, supervision of adult gaming machines, appropriate signage for adult only areas.
- 16.3 We will not duplicate any conditions or requirements attached to a premises licence by the Gambling Act, Gambling Commission Codes of Practice or Secretary of State (unless they are default conditions that we may substitute accordingly) or conditions attached to an operator's licence or personal licence.
- 16.4 The following are some but not all matters that operators are likely to be required to comply with by virtue of the 2005 Act, Regulations, mandatory conditions, default conditions or Codes of Practice:
- a) Proof of Age schemes,
 - b) CCTV,
 - c) supervision of entrances and machine areas,
 - d) physical separation of areas,

- e) whether the premises may hold a licence to provide alcohol,
- f) location of entry to the premises,
- g) notices and signage about persons under 18 years of age not entering the premises,
- h) opening hours,
- i) self-barring schemes and,
- j) provision of information leaflets and helpline number of gambling self- help organisations.

16.5 There are specific comments made in this regard under each of the licence types below. This licensing authority will also expect the licence applicant to offer his/her own suggestions as to way in which the licensing objectives can be met effectively. The licensing authority will consider the following specific measures in relation to all licensed premises, to the extent that they are relevant to a specific application:

- Leaflets aimed at giving assistance to problem gamblers clearly displayed in prominent areas and also more discreet areas such as toilets;
- Self-exclusion forms available;
- The odds clearly displayed on all fixed odds machines;
- All ATM or other cash terminals to be separate from gaming machines, so that clients have to leave the machines for more funds as required. They should also display stickers with GamCare (or replacement organisation) Helpline information prominently displayed;
- There must be clear visible signs of any age restrictions in any gaming or betting establishments. Entrances to gambling and betting areas must be well supervised and age verification vetting operated;
- Posters with details of GamCare's (or replacement organisation) telephone number and website.

The above list is not exhaustive.

16.6 This licensing authority will also consider specific measures which may be required for buildings which are subject to multiple premises licences. Such measures may include the supervision of entrances; segregation of Gambling from non-gambling areas frequented by children; and the supervision of gaming machines in non-adult gambling specific premises in order to pursue the licensing objectives. These matters are in accordance with the Gambling Commission's Guidance.

16.7 This licensing authority will also ensure that where category C or above machines are on offer in premises to which children are admitted:

- all such machines are located in an area of the premises which is separated from the remainder of the premises by a physical barrier which is effective to prevent access other than through a designated entrance;
- only adults are admitted to the area where these machines are located;

- access to the area where the machines are located is supervised the area where these machines are located is arranged so that it can be observed by the staff or the licence holder; and
- at the entrance to and inside any such areas there are prominently displayed notices indicating that access to the area is prohibited to persons under 18.

11.8 These considerations will apply to premises including buildings where multiple premises licences are applicable.

11.9 This licensing authority is aware that betting tracks may be subject to one or more than one premises licence, provided each licence relates to a specified area of the track. As per the Gambling Commission's Guidance, this licensing authority will consider the impact upon the third licensing objective and the need to ensure that entrances to each type of premises are distinct and that children are excluded from gambling areas where they are not permitted to enter.

Conditions we cannot attach to licences

11.10 It is recognised that there are conditions which the licensing authority cannot attach to premises licences which are:

- a) any condition on the premises licence which makes it impossible to comply with an operating licence condition,
- b) conditions that relate to gaming machine categories, numbers, or method of operation;
- c) conditions which provide that membership of a club or body be required (the 2005 Act) specifically removes the membership requirement for casino and bingo clubs and this provision prevents it being reinstated) and
- d) conditions in relation to stakes, fees, winning or prizes

Applicants will however need to demonstrate social responsibility and adhere to best practice in the protection of the vulnerable.

17 Door Supervisors

17.1 The Gambling Commission advises in its Guidance for local authorities that licensing authorities may consider whether there is a need for door supervisors in terms of the licensing objectives of protection of children and vulnerable persons from being harmed or exploited by gambling, and also in terms of preventing premises becoming a source of crime.

- 17.2 The Private Security Industry Act 2001 regulates the private security industry in England, Wales and Scotland, and is responsible for licensing individuals working within the various industry sectors. The majority of persons employed to work as door supervisors at premises licensed for gambling, and carrying out the functions listed under Schedule 2 Part 1 of the above act, will need to be licensed by the SIA.
- 17.3 The above requirement however is relaxed when applied to door supervisors for casinos and bingo halls. Where contracted staff are employed as door supervisors at casinos or bingo halls, such staff will need to be licensed by the SIA. However, in-house employees working as door supervisors these premises are exempt from these requirements. Irrespective of this provision this licensing authority will require door supervisors used at these premises to be licensed.
- 17.4 For other premises, where supervision of entrances/machines is appropriate any requirements for door supervisors or others will be on a case by case basis. In general betting offices will not require door supervisors for the protection of the public. A door supervisor will only be required if there is clear evidence that the premises cannot be adequately supervised from the counter and that door supervision is both necessary and proportionate.

18 Reviews:

- 18.1 This Licensing Authority may review a premises licence; or an interested party or responsible authority may apply to review a premises licence. When determining whether to initiate a review, we shall have regard to our This Policy, Gambling Commission Guidance and the Council's Enforcement Policy.
- 18.2 This licensing authority will decide whether the review is to be carried-out. This will be on the basis of whether the request for the review is relevant to the matters listed below:
- in accordance with any relevant code of practice issued by the Gambling Commission;
 - in accordance with any relevant guidance issued by the Gambling Commission;
 - reasonably consistent with the licensing objectives; and
 - in accordance with this policy.
 - the licensing authority can also initiate a review of a licence on the basis of any reason which it thinks is appropriate.
- 18.3 We will also consider whether the request is frivolous, vexatious, will 'certainly not' cause this licensing authority to alter/revoke/suspend the licence, or whether it is substantially the same as previous representations or requests for review (i.e. it is repetitive).
- 18.4 The licensing authority may initiate a review of a particular premises licence, or particular class of premises licence where it is appropriate, for instances if:

- a) it has reason to suspect that premises licence conditions are not being observed,
 - b) the premises is operating outside of the principles set out in the licensing authority's statement of policy,
 - c) there is evidence to suggest that compliance with the licensing objectives is at risk,
 - d) for any other reason which gives them cause to believe that a review may be appropriate, such as a complaint from a third party.
- 18.5 Applications for a review of a premises licence must be submitted to the Licensing Authority on a prescribed form. The application must also state the reasons what the review is being requested, together with any supporting information and documents. The applicant must also, within 7 days of making their application.
- 18.6 Once this licensing authority receives a valid application for a review, responsible authorities and interested parties can make representations during a 28-day period. This period begins seven days after we receive the application. We will publish notice of the application within seven days of receipt, in line with the Gambling Act 2005 (Premises Licences)(Review) Regulations 2007. Within this seven day period the applicant must provide a written notice of their application to the licence holder and all responsible authorities. Failure to do this will halt the application process until this notice is received by these parties.
- 18.7 We will carry out the review as soon as possible after the 28-day period for making representations has passed. The review will be to determine whether we should take any action in relation to the licence. If action is justified, the options open to us are:
- a) add, remove or amend a licence condition imposed by us
 - b) exclude a default condition imposed by the Secretary of State or remove or amend such an exclusion
 - c) suspend the premises licence for a period not exceeding three months and
 - d) revoke the premises licence.
- 18.8 In determining what action, if any, we should take following a review, we will have regard to any relevant representations and the principles set out in paragraph 2.2 of the Part A, *policy statement* above.
- 18.9 This licensing authority may also initiate a review of a premises licence because a premises licence holder has not provided facilities for gambling at the premises. This is to prevent people from applying for licences in a speculative manner without intending to use them.
- 18.10 Once we have completed the review will notify the following as soon as possible:
- a) the licence holder,

- b) the applicant for review (if any),
- c) the Gambling Commission,
- d) any person who made representations,
- e) the chief officer of police, and
- f) Her Majesty's Commissioners for Revenue and Customs.

PART C - Permits / Temporary & Occasional Use Notice

1 Permits and Notices

- 1.1 A permit or notice is required when premises provide a gambling facility, but either the stakes and prizes are very low, or gambling is not the main function of the premises.
- 1.2 This licensing authority is responsible for issuing and receiving the following types of permits and notices:
- a) gaming machine permit
 - b) prize gaming permit
 - c) club gaming and club machine permit
 - d) unlicensed family entertainment centre permit
 - e) travelling fairs
 - f) temporary use notice
 - g) occasional use notice
- 1.3 We have chosen not included specific details of the stakes and prizes for the various permits and have only provided minimal information regarding the numbers of permitted machines, because the government may change this information during the life of this Policy. We advise readers to refer our website or the Gambling Commission's website for up to date information.
- 1.4 Permits and Notices often related to the Gaming Machines available for use in unlicensed premises under the 2005 act. These Gaming Machines are commonly referred to as 'fruit machines' or 'one arm bandits' and fall into categories depending on the stake required to play them and the value of the maximum prize available. Generally,
- a) Category A machines have no limits on prizes or stakes, but would only be permitted at a regional casino.
 - b) Category B machines can be provided in casinos, betting premises, bingo premises, adult gaming centres and private members clubs. These machines can give a much higher prize and there is a restriction on the number of machines allowed in those premises.
 - c) Category C machines are the type most commonly found in pubs and have a maximum prize value that is significantly lower than category B.
 - d) Category D machines are the type commonly found in amusement arcades and have lower value prizes and stakes. These may also include "Crane Grab" machines. Some of these are incorrectly labelled as "Skill Machine". Machines fitted with a compensator, which allows it to be converted from a skill machine to a gaming machine, are classified as a gaming machine and need to be clearly marked as such.
- 1.5 Further more detailed guidance on Permits and Notices is available on The Gambling Commissions website www.gamblingcommission.gov.uk.

1.6 It should be noted that a licensing authority can only grant or refuse a permit. It cannot attach conditions to permits.

2. Unlicensed Family Entertainment Center (UFEC) Gaming Machine Permits These are premises, which do not hold a premises licence but wishes to provide gaming machines. To provide gaming machines it must apply to this licensing authority for this permit. A UFEC is likely to cater to families, including unaccompanied children and young persons and are likely to be arcade style premises. The applicant must show that the premises will be wholly or mainly used for making gaming machines available for use.

2.2 The Gambling Commission's Guidance for local authorities also states: "In their three year licensing policy statement, licensing authorities may include a statement of principles that they propose to apply when exercising their functions in considering applications for permit. Licensing authorities will want to give weight to child protection issues." In connection with this where premises are likely to appeal to children and young persons, this Licensing Authority in considering matters relating to protection of children from being harmed or exploited by gambling will where necessary consult Local Safeguarding Children Board.

2.3 The licensing authority can only grant an application for a permit if the licensing authority is satisfied that the premises will be used as an unlicensed Family Entertainment Centers (UFEC), and if the chief officer of police has been consulted on the application.

2.4 As per this Policy this licensing authority will expect the applicant to show that there are policies and procedures in place to protect children from harm. Harm in this context is not limited to harm from gambling but includes wider child protection considerations. The efficiency of such policies and procedures will each be considered on their merits, however, they may include appropriate measures / training for staff as regards suspected truant school children on the premises, measures / training covering how staff would deal with unsupervised very young children being on the premises, or children causing perceived problems on / around the premises. Location will also be expected to be dealt with, and it is this licensing authority's view that premises close to schools, playgrounds, or other educational establishments such as museums and places of worship should not normally be licensed. As a result we will take location into account when considering and application for a permit for a UFEC premises.

2.5 In line with Gambling Commission Guidance this licensing authority will take location into account when considering and application for a permit for a UFEC premises, and when determining such an application will have regard to our local area profile and consider whether:

- a) the applicant clearly understands the maximum stakes and prizes of the gambling that is permissible in unlicensed Family Entertainment Centers;

- b) the premises where gaming is proposed to be offered is not situated in the vicinity of areas that may overly attract young people, such as schools, after school care, parks or playgrounds,
- c) the applicant has any relevant convictions,
- d) that staff are trained to have a full understanding of the maximum stakes and prizes.
- e) the offering of gaming is in accordance with the licensing objectives. This may include whether offering gaming on the premises is likely to attract or perpetuate issues around crime and disorder in the area or issues around children and young people or the vulnerable,
- f) the police have raised any objections relevant to the licensing objectives.

The above list is not exhaustive, but an indication of the types of issues that we may consider when we receive an application these permits.

- 2.6 This Licensing Authority, as encouraged by the Gambling Commissions Guidance, requires that a plan for the unlicensed Family Entertainment Centers (UFEC) be submitted with the application for a permit.

2 (Alcohol) Licensed premises gaming machine

Notifications

- 3.1 There is provision in the 2005 Act for premises licensed to sell alcohol for consumption on the premises under the Licensing Act 2003, to automatically entitlement to have 2 gaming machines of categories C or D. Full definitions of the Gaming Machine Categories can be found on the Gambling Commission's website.

Premises wishing to take advantage of this automatic entitlement need to give written notice to the licensing authority of their intention to make gaming machines available for use, and must pay the prescribed fee. This notice must be from the person/organisation that holds the premises licence, and if the person/organisation ceases to be the holder of the relevant alcohol licence for the premises, the automatic entitlement to the two gaming machines also ceases. Restaurants or similar premises are excluded from having gaming machines where they have a condition requiring alcohol to be sold as ancillary to food.

- 3.2 The licensing authority can remove the automatic authorisation in respect of any particular premises if:
- a) provision of the machines is not reasonably consistent with the pursuit of the licensing objectives;
 - b) gaming has taken place on the premises that breaches a condition of section 282 of the 2005 Act (e.g. for example the gaming machines have been made available in a way that does not comply with requirements on the

- location and operation of gaming;
- c) the premises are mainly used for gaming; or
- d) an offence under the 2005 Act has been committed on the premises.

In this situation, we will give the licence holder at least 21 days' notice of our intention to make remove this entitlement and consider any representations they may wish to make. Where requested by the licence holder we will hold a hearing before we make a final determination.

Permits

- 3.3 If a premises wishes to exceed the automatic entitlement of two machines, they must apply to us for a permit for more than two machines. This permit replaces the automatic entitlement to two machines and is not an addition to it.
- 3.4 This licensing authority will consider such applications based upon the licensing objectives, the Gambling Commissions Guidance, and any other matters that we think relevant.
- 3.5 We will decide each application on its own merits but generally:
 - a) We may consider the size of premises and whether the numbers of machines applied for is appropriate in light of the licensing objectives and whether the premises is being "mainly used" for gambling.
 - b) We shall have regard to the need to protect children and vulnerable persons from being harmed or exploited by gambling. We will expect the applicant to provide sufficient measures to ensure that persons under 18 years of age do not have access to adult only gaming machines and that the permit holder can comply the Gambling Commission's Codes of Practice.
 - c) Whether there are any issues in the premises history relating to the gambling licensing objectives that the Licensing Authority should properly consider when deciding whether to grant a permit.
- 3.6 This licensing authority considers that "such matters" will be decided on a case by case basis but generally there will be an emphasis on the need to protect children and vulnerable persons from harmed or being exploited by gambling as detailed in paragraph 3.5 (b) above. Measures which will satisfy the authority in respect of this are that there will be no access to under 18s and may include the adult machines being in sight of the bar, or in the sight of staff that will monitor that the machines to ensure they are not being used by those under 18. Notices and signage may also be help.
- 3.7 As regards the protection of vulnerable persons applicants may wish to consider the provision of information leaflets / helpline numbers for organisations such as GamCare.

- 3.8 It is recognised that some alcohol licensed premises may apply for a premises licence for their non-alcohol licensed areas. Any such application would most likely need to be applied for, and dealt with as an Adult Gaming Centre premises licence.
- 3.9 The licensing authority can decide to grant the application with a smaller number of machines and/or a different category of machines than that applied for. However we cannot attach any other conditions.
- 3.10 The holder of a permit must comply with any Code of Practice issued by the Gambling Commission about the location and operation of the machine.

4 Prize Gaming Permits

- 4.1 Prize gaming takes place when the number of people playing does not determine the nature and size of the prize, or the amount paid for or raised by the gaming. The operator determines the prize before play commences.
- 4.2 The licensing authority may “prepare a statement of principles that they propose to apply in exercising their functions under this Schedule” which “may, in particular, specify matters that the licensing authority proposes to consider in determining the suitability of the applicant for a permit”.
- 4.3 In making its decision on an application for this permit the licensing authority does not need to have regard to the licensing objectives but must have regard to any Gambling Commission Guidance.
- 4.4 In line with Gambling Commission Guidance this licensing authority will take location into account when considering an application for a permit for Prize Gaming, and when determining such an application will have regard to our local area profile and consider whether:
- the applicant clearly understands the limits to stakes and prizes that they propose to offer and the gaming is within the law,
 - the premises where gaming is proposed to be offered is not situated in the vicinity of areas that may overly attract young people, such as schools, after school care, parks or playgrounds,
 - the applicant has any relevant convictions,
 - that staff are trained to have a full understanding of the maximum stakes and prizes.
 - the offering of gaming is in accordance with the licensing objectives. This may include whether offering gaming on the premises is likely to attract or perpetuate issues around crime and disorder in the area or issues around children and young people or the vulnerable,
 - and if the chief officer of police has been consulted on the application.

The above list is not exhaustive, but an indication of the types of issues that we may consider when we receive an application for a prize gaming permit.

- 4.5 This Licensing Authority, as encouraged by the Gambling Commissions Guidance, require that a plan for the unlicensed Family Entertainment Centers (UFEC) be submitted with the amplification for a permit.
- 4.6 Where premises are likely to appeal to children and young persons, this Licensing Authority in considering matters relating to protection of children from being harmed or exploited by gambling will where necessary consult Local Safeguarding Children Board.
- 4.7 It should be noted that although the licensing authority cannot attach conditions, there are conditions in the 2005 which the permit holder must comply. These conditions are:
 - the limits on participation fees, as set out in regulations, must be complied with;
 - all chances to participate in the gaming must be allocated on the premises on which the gaming is taking place and on one day; the game must be played and completed on the day the chances are allocated; and the result of the game must be made public in the premises on the day that it is played;
 - the prize for which the game is played must not exceed the amount set out in regulations (if a money prize), or the prescribed value (if non-monetary prize); and
 - participation in the gaming must not entitle the player to take part in any other gambling.

5 Club Gaming and Club Machines Permits

- 5.1 As per the Gambling Commission Guidance, a Licensing Authority may grant or refuse the permit, but it cannot attach conditions to them. Licensing Authorities must also inform the applicant, the Gambling Commission and the police of the outcome of the application for a permit and any objections made.
- 5.2 Licensing Authorities may only refuse an application for a permit on the following grounds:
 - i. the applicant does not fulfil the requirements for a members' or commercial club or miners' welfare institute and therefore is not entitled to receive the type of permit for which it has applied;
 - ii. the applicant's premises are used wholly or mainly by children and/or young persons;
 - iii. an offence under the 2005 Act or a breach of a permit has been committed by the applicant while providing gaming facilities;

- iv. a permit held by the applicant has been cancelled in the previous ten years; or
- v. an objection has been lodged by the Commission or the police.

5.3 There is also a 'fast-track' procedure available under the 2005 Act for premises which hold a Club Premises Certificate under the Licensing Act 2003 (Schedule 12 paragraph 10). As the Gambling Commission's Guidance for licensing authorities states: "Under the fast-track procedure there is no opportunity for objections to be made by the Gambling Commission or the police, and the ground upon which an authority can refuse a permit are reduced." And "The grounds on which an application under the process may be refused are:

- i. that the club is established primarily for gaming, other than gaming prescribed by regulations under section 266 of the 2005 Act;
- ii. in addition to the prescribed gaming, the applicant provides facilities for other gaming;
- iii. a club gaming permit or club machine permit issued to the applicant in the last ten years has been cancelled."

5.4 There are statutory conditions on club gaming permits that no child uses a category B or C machine on the premises and that the holder complies with any relevant provision of a code of practice about the location and operation of gaming machines.

5.5 For the most up to date information in regards to Club Gaming and Club Machine Permits please see the Gambling Commissions Website: <http://www.gamblingcommission.gov.uk/home.aspx>

Club Gaming Permits

5.6 Members Clubs and Miners' welfare institutes (but not Commercial Clubs) may apply for a Club Gaming Permit

5.7 Miners' welfare clubs are associations established for recreational or social purposes. They are managed by representatives of miners or use premises regulated by a charitable trust, which has received fund from one or a number of mining organisations.

5.8 A members clubs must:

- a) have at least 25 members,
- b) be established for, and conducted wholly or mainly for, purposes other than gaming (unless gaming is permitted by separate regulations),
- c) be permanent in nature,
- d) not established to make a profit; and
- e) controlled by its members equally.

- 5.9 Examples include working men’s clubs, branches of Royal British Legion and clubs with political affiliations.”
- 5.10 The Club Gaming Permit will enable the premises to provide a total maximum of three (3) gaming machines. These may be from categories B3A, B4, C or D but only one B3A machine can be sited as part of this entitlement of The permit also allows equal chance gaming and games of chance, such as Pontoon. For detailed and up-to-date list of permissions, stakes and prizes please see the Gambling Commission’s website.
- 5.11 A club gaming permit lasts for ten years unless it ceases to have effect because it is surrendered, cancelled or forfeited.

Club Machine Permit

- 5.12 Members clubs and miners’ welfare institutes may choose to apply for the club machine permit if they do not want to have the full gaming provided by a club gaming permit. In addition, commercial clubs may also apply for a club machine permit.
- 5.13 Commercial clubs are members clubs established for profit, such as snooker clubs.
- 5.14 A club machine permit allows a total maximum number of three (3) gaming machines. These may be from categories B3A, B4, C or D but only one B3A machine can be sited as part of this entitlement.
- 5.15 Before granting the permit the licensing authority will need to satisfy itself that the premises meet the requirements of a members’ club and may grant the permit if the majority of members are over 18 years of age
- 5.16 A club machine permit lasts for ten years unless it ceases to have effect because it is surrendered, cancelled or forfeited.

6 Temporary Use Notices (TUN)

- 6.1 Temporary Use Notices (TUNs) allow the use of premises to be use for gambling where there is no premises licence but where a gambling operator wishes to use the premises temporarily for providing facilities for gambling. Premises that might be suitable for a TUN could include hotels, conference centres and sporting venues. Licensing Authorities can only grant a TUN to a person or company holding a relevant operating licence issued by the Gambling Commission.
- 6.2 There are certain restrictions to the type of Gambling that a TUN can cover, these restrictions are:
- it can only be used to offer gambling of a form authorised by the operator’s operating licence, this licensing authority will therefore give consideration as to whether the form of gambling being offered on the premises will be

remote, non-remote, or both, and whether this is in compliance with the operating licence,

- gambling under a TUN may only be made available on a maximum of 21 days in any 12 month period for any or all of a named set of premises,
- it can only be used to permit the provision of facilities for equal chance gaming, and where the gaming in each tournament is intended to produce a single overall winner,
- gaming machines may not be made available under a TUN.

6.3 In considering whether a place falls within the definition of "a set of premises", we will have regard to the Guidance and consider the individual facts on their merits but, amongst other things, we will have particular regard to the ownership, occupation and control of the premises.

6.4 This licensing authority will object to a Temporary Use Notice (TUN) application if it appears that regular gambling is taking place in locations the could be described as one set of premises, as recommended by the Gambling Commission Guidance.

6.5 Please note that cash games, which are games where each hand provides a winner, are not permitted under a TUN. Furthermore a TUN cannot be granted for 21 days in respect of each of its exhibition halls.

6.6 In respect of a vessel, TUN's may be granted for a vessel but only if it is a passenger vessel or one that is situated in a fixed place. The latter would include would include a structure on water that is not intended to be able to move (such as an oil rig, or an artificially constructed island in the middle of a lake.

7 Occasional Use Notices

7.1 An Occasional Use Notice permits betting on a sporting event or race at a track on eight days or fewer in a calendar year without the need for a full premises licence. It therefore allows temporary and infrequent events such as point-to-point racing to take place and for bets to be taken on the outcome.

7.2 The licensing authority has very little discretion as regards these notices aside from ensuring that the statutory limit of 8 days in a calendar year is not exceeded. This licensing authority will though consider the definition of a 'track' and whether the applicant is permitted to avail him/herself of the notice, i.e. whether applicant is licensed as a betting operator and has appropriate permission form the Gambling Commission to use tracks for conducting betting.

7.3 We will the also decide what constitutes a track, sporting event or race on a case-by-case basis. In doing so we will follow the Gambling Commission's guidance in relation to tracks.

PART D - Administration, Exercise and Delegation of Functions

1 Administration and Exercise

- 1.1 The Council will be involved in a wide range of licensing decisions and functions and has established a Licensing Committee to administer them.
- 1.2 Appreciating the need to provide a speedy, efficient and cost-effective service to all parties involved in the licensing process, the Committee has delegated certain decisions and functions and has established a number of Sub-Committees to deal with them.
- 1.3 Further, with many of the decisions and functions being purely administrative in nature, the grant of non-contentious applications where no representations have been made has been delegated to Council Officers. All such matters dealt with by Officers will be reported for information and comment only to the next Committee meeting. The decisions cannot be reversed.
- 1.4 The following Table sets out the agreed delegation of decisions and functions to Licensing Committee, Sub-Committees and Officers.
- 1.5 This form of delegations is without prejudice to Officers referring an application to a Sub-Committee, or a Sub-Committee to Full Committee, if considered appropriate in the circumstances of any particular case.

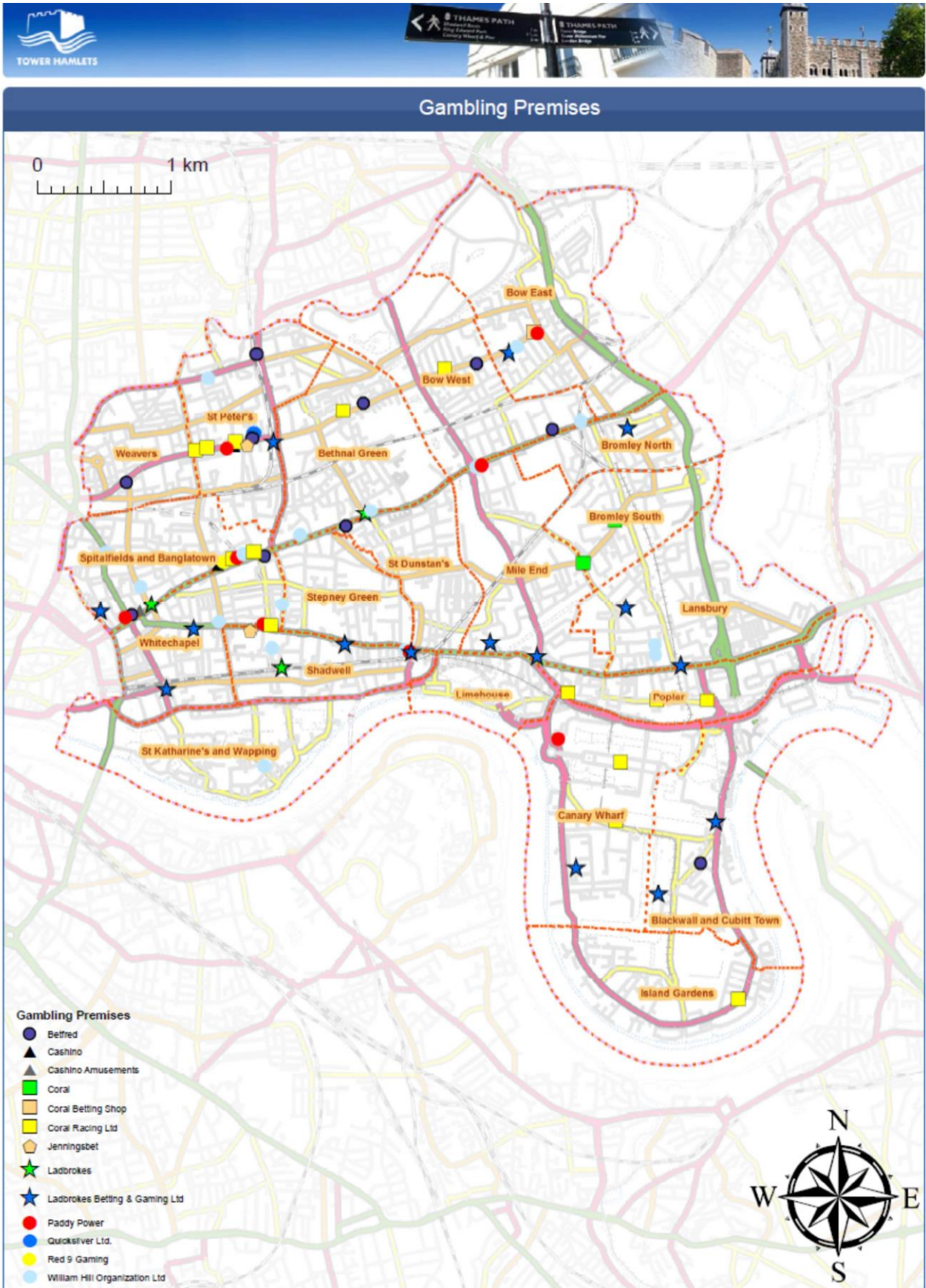
2 TABLE OF DELEGATIONS OF LICENSING FUNCTIONS

MATTER TO BE DEALT WITH	BY WHOM
<p>Three year licensing policy (responsibility shared with Cabinet)</p> <p>Policy to permit or not to permit casinos</p>	<p>THE FULL COUNCIL</p>
<p>Fee Setting- (but when appropriate Corporate Director)</p> <p>Application - for a premises licence, variation of a premises licence, transfer of a premises licence, application for a provisional statement in connection with a premises, in all cases where representations have been received and not withdrawn. Review- of a premises licence.</p> <p>Application for, or cancellation of club gaming /club machine permits where representations have been received and not withdrawn</p> <p>Decision to give a counter notice to a temporary use notice</p>	<p>CORPORATE DIRECTOR PLACE LICENSING COMMITTEE/ SUB-COMMITTEE</p>
<p>For a premises licence, variation of a premises licence, transfer of a premises, application for a provisional statement in connection with a premises, in all cases where no representations have been received/ or representations have been withdrawn.</p> <p>Application for a club gaming machine/ club machine permit where no representations received/ representations have been withdrawn.</p> <p>Applications for other permits</p> <p>Cancellation of licensed premises gaming machine permits</p> <p>Consideration of temporary use notice</p>	<p>OFFICERS</p>

Annexes

- Annex 1** Map of London Borough of Tower Hamlets showing where Gambling Premises Licences have been issued
- Annex 2** List of consultees.
- Annex 3** Results of Consultation (Including Responses where needed)
- Annex 4** Gambling Best Practice Guide
- Annex 5** Sample conditions
- Annex 6** Local area profiles

Annex 1



Annex 2

List of consultees:

Authorities/Bodies

- The Gambling Commission
- Metropolitan Police Service
- HMRC
- The London Fire Brigade
- Mayor's office for Policing and Crime (MOPAC)
- The Institute of Licensing (IoL)
- Adult Care Service, London Borough of Tower Hamlets
- Council of Mosques
- NSPCC
- Tower Hamlets Clinical Commissioning Group (THCCG), NHS
- The Young Mayor, London Borough of Tower Hamlets
- Planning and Building Control Service, London Borough of Tower Hamlets
- Maritime and Coastguard Agency (MCGA)
- The Environment Agency
- The Canal and River Trust
- Health and Safety, Environmental Health and Trading Standards Service
- Trading Standards, Environmental Health and Trading Standards Service
- Public Health Service, London Borough of Tower Hamlets
- Child Protection, London Borough of Tower Hamlets
- Environmental Health, Environmental Health and Trading Standards Service
- Community Safety, London Borough of Tower Hamlets

Gambling Operators/Businesses:

- Carousel Amusements
- Merkur Cashino
- Gala Coral Group
- Joe Jennings
- William Hill
- Paddy Power

- Roar Betting
- Tote Betting
- Two Way Media

Gambling Support Services

- GamCare
- Responsible Gambling Trust

Businesses

- All Gambling Premises Licence Holders (Gambling Act 2005) in the Borough
- All Premises Licence Holders (Licensing Act 2005) that are permitted to sell/supply alcohol for consumption on the premises.
- Greenwich Leisure Limited (GLL)

Licensing Committee Members

- Councillor Zenith Rahman
- Councillor Dan Tomlinson
- Councillor Ehtasham Haque
- Councillor Eve McQuillan
- Councillor Faroque Mahfuz Ahmed
- Councillor Leema Omar Qureshi
- Councillor Puru Miah
- Councillor Sabina Akhtar
- Councillor Shad Uddin Chowdhury
- Councillor Shah Suhel Ameen
- Councillor Tarik Ahmed Khan
- Councillor Victoria Ngozi Obaze
- Councillor Mohammed Ahbab Hossain
- Councillor Rajib Ahmed
- Councillor Peter Golds

Councillors

- Members Bulletin

Annex 3

Responses to the Gambling Policy Consultation 2019

Body or Organisation	Summary of issues	Response (where relevant)
Gambling Commission	<ul style="list-style-type: none"> Advice to ensure that measure relating Protecting children from being harmed or exploited by gambling detailed in Unlicensed Family Entertainment Centre (UFEC) Gaming Machine Permits and Prize Gaming Permits mirror each other. 	The Policy was updated following the Consultation to take account of these comments.
Met Police	<ul style="list-style-type: none"> Having liaised without licensing unit and based on the previous consultation there are no issues that are of concern from MPS on Central East BCU. 	No response required
London Fire Brigade (LFB)	<ul style="list-style-type: none"> Asked to amend their name from London Fire and Emergency Planning Authority (LFEPA) to London Fire Brigade (LFB) in the Policy and the list of Responsible Authorities. 	Policy or associated documents updated as per this information where needed.
HMRC	<ul style="list-style-type: none"> Asked to update their contact information in the Police and List of Responsible Authorities to: Postal Address: HM Revenue and Customs Excise Processing Teams BX9 1GL United Kingdom Contact telephone number is now 0300 322 7072 Option 7. Email address remain the same, NRUBetting&Gaming@hmrc.gsi.gov.uk 	Policy or associated documents updated as per this information where needed.
Public Health	<p>Public Health support for the draft policy</p> <p>Public health is fully supportive of the draft policy for the following reasons:</p> <ul style="list-style-type: none"> This policy addresses an issue of local public health importance and inequalities in Tower Hamlets. 	<p>In response to recommendation 1) the following has been added to Location and Local Risk Assessments Part B, section 12 of the policy as para 12.11</p> <p><i>“To assist operators, Annex 6 sets out the Council’s Gambling Local Area Profiles criteria. In connection with this the Council recognises the</i></p>

	<ul style="list-style-type: none"> • This policy is in line with the strategic priorities for us as a council (Tower Hamlets Community Plan), our partners (Tower Hamlets Together - Health and Wellbeing Strategy) and based on evidence of poor health and social outcomes within our Joint Strategic Needs Assessment. • This policy draws on national and international evidence and best practice. • Public health supports Section 15.8-15.21 of this policy outlining the considerations the Authority will go through in determining gambling premises licenses and Section 15.19 including the promotion of industry good practice when supporting vulnerable persons. • Public health supports section 14.10 in which the council will request that operators / premises share information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area. • Public health supports section 6.16; inclusion of staff training around brief interventions for identifying vulnerable persons and problem gamblers operators risk assessments. As well as section provision of signage and documents games rules, gambling care providers and other relevant information in both English and the other prominent first language for that locality. <p>Public Health recommendations</p> <p>Further to the provisions in this policy it is suggested that LBTH works with local operators to follow best practice. Examples would include:</p>	<p><i>Gambling Commissions National Strategy to Reduce Gambling Harms, and supports the two strategy aims:</i></p> <ul style="list-style-type: none"> • <i>Prevention and Education – making significant progress towards a clear public health prevention plan which includes the right mix of interventions.</i> • <i>Treatment and Support – delivering truly national treatment and support options that meet the needs of users.</i> <p><i>The full Strategy can be viewed here: http://www.reducinggamblingharms.org/</i></p> <p><i>Licence holders and Operators should have regard to this Strategy when undertaking their local risk assessment.”</i></p> <p>Recommendation 2) is a statutory requirement under the Health and Safety at Work Etc. Act 1974 and Regulations made under it namely the Management of Health and Safety at Work Regulations 1999.</p> <p>Recommendation 3): These are already contained in various sections of the Policy and/or the Gambling Best Practice Guidance attached to the Policy.</p> <p>Recommendation 4): These are contained in various areas within the Policy and the Best Practice Guide attached to the policy. Though these all these items can and often have an impact on or exacerbate gambling related harm, it would be difficult to justify adding conditions or requirements in the policy to require leaflets that are directly linked Gambling. As such we cannot add requirements for premises to provide healthy lifestyle information other than Gambling Support Leaflets.</p>
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	<p>1) Adoption of the definition of gambling related harms as suggested by the Responsible Strategy Gambling Board: “The adverse impacts from gambling on the health and wellbeing of individuals, families, communities and societies”. These harms affect resources, relationships and health. The impact from them may be short-lived but can be durable, having enduring consequences and exacerbating existing inequalities.</p> <p>2) Protection of staff and lone working are addressed within the operators risk assessment.</p> <p>3) All operators are encouraged and supported with materials where applicable to provide suggestions outlined in section 10.1; such as:</p> <ul style="list-style-type: none"> ○ Leaflets aimed at giving assistance to problem gamblers clearly displayed in prominent areas and also more discreet areas such as toilets ○ Self-exclusion forms available ○ The odds clearly displayed on all fixed odds machines ○ All ATM or other cash terminals to be separate from gaming machines, so that clients have to leave the machines for more funds as required. They should also display stickers with GamCare (or replacement organisation) ○ Helpline information prominently displayed ○ There must be clear visible signs of any age restrictions in any gaming or betting establishments. Entrances to gambling and betting areas must be well supervised and age verification vetting operated ○ Posters with details of GamCare’s (or replacement organisation) telephone number and website 	<p>Recommendation 5): The Gambling Commission’s National Strategy to Reduce Gambling Harms looks into this and we have said that Operators should consider this when completing their Local Risk Assessments. To creation of a Vulnerability Local Index is not within the scope of this Policy and would be something that Public Health may want to look into as additional guidance that could compliment this policy but not be part of it.</p> <p>Recommendation 6): Advertisements are required to be restricted in regards to children and we will follow the Gambling Commissions guidance on adverts within premises.</p> <p>Recommendation 7): This would be difficult to do as the Operators have their own self exclusion policy that crosses borough boundaries etc. and therefore would be more effective than a borough as these, though you have to opt in to each Operators are national. It would be better for PHE to speak to the Gambling Commission about Operators sharing their policies or in them creating a national one as this would be more effective.</p> <p>Recommendation 8): This is not within the scope of this policy and should be a conversation between Asset Management and Public has as they have indicated.</p> <p>Recommendation 9): We actively encourage this and have liaised with Public Health in connection with this and previous policies.</p>
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	<p>4) Operators should provide healthy lifestyle information in their premises e.g. leaflets regarding alcohol consumption and local smoking cessation services and local support for mental health problems and debt advice.</p> <p>5) Development of Gambling Related Harm: Vulnerable Locality Index.</p> <p>6) Operators should reduce advertising at least in line with the Senet Group's set of Commitments</p> <p>7) A Borough wide self-exclusion policy across all premises licensed for gambling.</p> <p>8) Public Health are seeking clarification that gambling is not promoted on LBTH owned assets and estates.</p> <p>9) Finally, since gambling is increasingly recognised as involving public health concerns, the Authority should continue to work with Public Health to foster close working relationships over the life course of this policy to ensure that the health of Tower Hamlets residents is promoted within the context of licensed gambling establishments.</p>	
GamCare	<p>GamCare explained that they are not able to review all Policy Reviews sent to them by Local Authorities but gave a generic response that they send to all Local Authorities when consulted on revisions to Statements of Gambling Policies.</p> <p>Response Below:</p> <ul style="list-style-type: none"> • A helpful first step is to develop a risk map of your local area so that you are aware of both potential and actual risks around gambling venues. A useful explanation of 	<p>In reviewing the policy post consultation all of these items have been covered and there were no need for a further revisions save for the addition of the following paragraph at the end of the section on Vulnerable People, section 15 Part A:</p> <p><i>“This Licensing Authority encourages operators to consider participation in voluntary best practice or certification schemes, such as GamCare’s Safer Gambling Standard to assist in their promotion of the objective of Protecting children and other vulnerable persons from being</i></p>

	<p>area-based risk-mapping has been developed with Westminster and Manchester City Councils, which gives some guidance on those who may be most vulnerable or at-risk of gambling-related harm. For more information please see www.geofutures.com/research-2/gambling-related-harm-how-local-space-shapes-our-understanding-of-risk/</p> <ul style="list-style-type: none"> • Consider that proposals for new gambling premises which are near hostels or other accommodation or centres catering for vulnerable people, including those with learning difficulties, and those with gambling / alcohol / drug abuse problems, as likely to adversely affect the licensing objectives set out by the Gambling Commission. This is also relevant regarding the proximity to schools, colleges and universities. • A detailed local risk assessment at each gambling venue – pertinent to the environment immediately surrounding the premises as well as the wider local area – is a good way to gauge whether the operator and staff teams are fully aware of the challenges present in the local area and can help reassure the Local Licensing Authority that appropriate mitigations are in place. • Does the operator have a specific training programme for staff to ensure that they are able to identify children and other vulnerable people, and take appropriate action to ensure they are not able to access the premises or are supported appropriately? • Does the operator ensure that there is an adequate number of staff and managers are on the premises at key points throughout 	<p><i>harmed or exploited by gambling.”</i></p>
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	<p>the day? This may be particularly relevant for premises situated nearby schools / colleges / universities, and/or pubs, bars and clubs.</p> <ul style="list-style-type: none"> • Consider whether the layout, lighting and fitting out of the premises have been designed so as not to attract children and other vulnerable persons who might be harmed or exploited by gambling. • Consider whether any promotional material associated with the premises could encourage the use of the premises by children or young people if they are not legally allowed to do so. <p>They also suggested that the Local Licensing Authority primarily consider applications from GamCare Certified operators. GamCare Certification (now being replaced by our Safer Gambling Standard) is a voluntary process comprising an independent audit assessment of an operator's player protection measures and social responsibility standards, policy and practice. Standards are measured in accordance with the GamCare Player Protection Code of Practice.</p>	
William Hill	<p>Two responses first updating their contact details and the second was a comment on the policy, as below.</p> <p>They commented on the below paragraph being too onerous for them to comply with and would create an unacceptable amount of work. Furthermore as Operators provide information on the areas detailed in the paragraph on a regular basis to the Gambling Commission, which is the agreed process for sharing this information they suggested that if the Gambling Commission have any concerns when assessing the data provided, they would discuss this with the Operator to seek improvement. Hence individual contact with each</p>	<p>This paragraph was amended to the below, and William will responded that they were satisfied with this change.</p> <p><i>“During visits/inspections the Council may request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Local area risk assessments</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the</i></p>

	<p>Authority is therefore unnecessary.</p> <p><i>“To assist the targeting of the Council’s enforcement activity the Council will request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Test purchasing results (subject to the terms of primary authority agreements);</i> • <i>incidents in premises, which managers are likely to be required to report to head office;</i> • <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted.”</i></p> <p>They requested that this section be amended to remove this requirement, in line with the approach all other Local Authorities take, and sighted would avoid a situation which would be over-burdensome and against better regulation principles that we make mention of in the policy.</p> <p>They commented that if we were to have any particular concerns about individual premises, they would not have any issues with receiving requests for information on an individual shop basis, as required.</p>	<p><i>Council may also request premises share:</i></p> <ul style="list-style-type: none"> • <i>test purchasing results (subject to the terms of primary authority agreements) ;</i> • <i>incidents in premises, which managers are likely to be required to report to head office;</i> • <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>Such request to share such data will be in consultation with the Operators, and the Gambling Commission.”</i></p>
<p>Paddy Power (Represented by Poppleston Allen Solicitors)</p>	<p>They had similar concerns to William Hill as per the above.</p> <p>In essence they said that a statement of Gambling Policy cannot place an obligation upon a licence holder. Such an obligation can only be enforced by way of a premises licence condition, which must be a reasonable and proportionate response to evidence based concerns that are not addressed by a licensee’s</p>	<p>This paragraph was amended to the below, and Paddy Power via Poppleston Allen was advised of the amendment.</p> <p><i>“During visits/inspections the Council may request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Local area risk assessments</i> <p><i>This information will help the Council</i></p>

	<p>operation and existing policies and procedures.</p> <p>They were concerned that they would be a potential for disparity between those operators who do not report the listed information and those that do and that any inconsistency in the data received would provide for an incorrect assessment of the industry's age verification procedures and any potential local risks or concerns identified within the Authority's jurisdiction.</p> <p>They also sighted the Licence Conditions and Codes of Practice (LCCP) and the mandatory obligations upon licence holders in respect of the implementation of test purchasing practices and associated reporting requirements.</p> <p>They advised that the Regulators' Code provides that when the law allows, regulators should agree secure mechanisms to share information with each other about bodies they regulate to minimise duplication. Further that Operators are obliged to share information with the Gambling Commission through the submission of Regulatory Returns and that information is disseminated through its own annual reports.</p> <p>In short they suggest that the below paragraph be amended to reflect the legal requirements and obligations provided by the Gambling Act 2005 and subordinate legislation.</p> <p><i>"To assist the targeting of the Council's enforcement activity the Council will request that operators / premises share:-</i></p> <ul style="list-style-type: none"> • <i>Test purchasing results (subject to the terms of primary authority agreements);</i> • <i>incidents in premises, which managers are likely to be required to report to head office;</i> 	<p><i>to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted. In some circumstances and where proportionate to do so the Council may also request premises share:</i></p> <ul style="list-style-type: none"> • <i>test purchasing results (subject to the terms of primary authority agreements) ;</i> • <i>incidents in premises, which managers are likely to be required to report to head office;</i> • <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>Such request to share such data will be in consultation with the Operators, and the Gambling Commission."</i></p>
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	<ul style="list-style-type: none"> <i>information about numbers of self-excluded gamblers to help it develop its understanding about the risk of problem gambling in its area.</i> <p><i>This information will help the Council to get a clearer picture of which premises may be experiencing issues, meaning that the inspection and enforcement activity is appropriately structured, and targeted.”</i></p>	
Canal and River Trust	<p>Response to update their contact email address to the below:</p> <p>enquiries.londonsoutheast@canalrivertrust.org.uk</p>	No response required
St Johns Community Association Social Club, 37-43 Glengall Grove London E14 3NE	<p>They advised they no longer have Fruit Machine (Gaming Machines), and haven't had them for 15 year as they have lots of children who use the Club.</p>	No response required
Resident (online Response)	<p>Comment:</p> <p><i>Not to have too many gambling shops especially when high percentage of residents are on low income, those who are on long income often rely on betting shop to invest/generate more money and often end up losing all money and then fall into a cycle of crimes.</i></p> <p><i>Please work on closing some of them and stop illegal gambling totally.</i></p> <p>This resident also stated that they do think there is a problem with illegal gambling in the borough and that they also had concerns about illegal.</p>	<p>We will work within the confines of the Gambling Act 2005, Gambling Commission Guidance and this Policy in regards to reducing Gambling Related harms. Furthermore this revision of this policy defines children and vulnerable persons, and contains more in relation to guidance and our approach to tackle Gambling Related Harms in line with National Guidance and the 2005 Act.</p> <p>The 2005 Act grants the power to Review Premises Licence or object to new Licences where there is evidence that Gambling Premises Licences are in breach of the 2005 Act, their Licence or are or likely to undermining one or more of the Gambling Objectives. Where this Licensing has such evidence we will take appropriate and proportionate action in response that may involve reviewing/objecting to Premises</p>

		<p>Licences issued by us.</p> <p>With regards to illegal Gambling we investigate all complaint/reports of illegal gambling and currently have a case with our Legal Department for consideration for prosecution in relation to illegal gambling.</p>
Resident (online Response)	<p>Comment:</p> <p><i>"I think there are too many licenses issued to high street gambling shops in tower hamlets. We should have a restriction to the number of betting shops in the high street."</i></p> <p>This resident did not know if there is a problem with illegal gambling in the borough and did not have concerns about illegal.</p>	<p>The Gambling Act 2005 does not give the legal power to restrict Gambling Premises in a Licensing Authorities Area.</p> <p>This Licensing Authority will however ensure that premises that undermine the Gambling Objectives investigated and where appropriate their licences refused/revoked. It is our opinion that this policy takes a better more proactive stance towards tackling Gambling Related and Protection of Children and Vulnerable from being harmed by Gambling</p>

Annex 4: Gambling Best Practice Guide

We expect all Gambling premises in the Borough to carry out the measures listed in this Best Practice Guide along with the measures detailed in the main Policy.

This guide is about businesses that promote gambling have the responsibility in protecting the vulnerable that may be exposed by their activities.

- All premises to hold and maintain a log of incidences and the handling of problem gambling that occur in the premises. This information should be shared with Licensing Officers on request. Relevant data that should be held include the date and a short description of the intervention in relation to voluntary/mandatory exclusions and whether individuals have tried to gain entry, attempts of those that are underage to gain entry whether with an adult or not. Any incident requiring an intervention from staff
- Staff should be aware on how to tackle irresponsible gambling and have sufficient knowledge on how to promote responsible gambling. Be able to signpost customers to support services with respect to problem gambling, financial management and debt advice. Leaflets on how to identify problem gambling should available for customers in the premises.
- Staff should be aware of the importance of social responsibility, the causes and consequences of problem gambling, intervention with vulnerable persons, dealing with the exclusion of problem gamblers and escalating them for advice or treatment.
- Staff should be aware of refusing customers entry due to alcohol or drugs, age verification processes, identifying forged ID, the importance of time and spend limits
- Staff to be familiar with the offences under the Gambling Act, the categories of gaming machines, the stakes and odds associated with each machine.
- Staff should also be aware of not encouraging customers to increase the amount or time they gamble, re-gamble winnings and chase losses.
- Staff to be excluded from gambling at the premises where they are employed and the premises to have a 'no tipping' rule.
- Applicants may wish to seek support with their applications from the Crime Reduction Officer and GamCare with a view to obtaining a certificate of Social Responsibility.
- Where Fixed Odds Betting Terminals are installed within the premises they should be positioned in direct sight of a supervised counter.

Annex 5: Sample of premises licence conditions

This Annex, reproduced from the Gambling Commission's Guidance to Licensing Authorities, provides a sample of conditions that have been attached to premises licences by licensing authorities, with some amended for illustrative purposes. These are not blanket conditions but have been imposed in a number of circumstances to address evidence based concerns. Part 9 of the Gambling Commission's Guidance to Licensing Authorities provides further details on the principles licensing authorities should apply when exercising their discretion to impose premises licence conditions.

The conditions listed below have been grouped under specific headings for ease of reference. There will inevitably be some overlap between those conditions that address different concerns, for example those related to security and to anti-social behaviour.

1. Security

- 1.1 No pre-planned single staffing after 8pm and, when this is unavoidable, for a Maglock to be in constant use.
- 1.2 A minimum of two members of staff after 10pm.
- 1.3 A minimum of two members of staff will be on duty throughout the whole day.
- 1.4 The premises will have an intruder alarm and panic button.
- 1.5 Maglock systems are employed and access is controlled.
- 1.6 Requirements for full-height security screens to be installed.
- 1.7 A requirement for 50% of the shop frontage to be clear of advertising so that staff have a clear view and can monitor the exterior of the premises.
- 1.8 The premise shall maintain a 'safe haven' to the rear of the counter.
- 1.9 The premises shall install and maintain a comprehensive CCTV system as per the minimum requirements of a Metropolitan Police Crime Prevention Officer. All entry and exit points will be covered enabling frontal identification of every person entering in any light condition. The CCTV system shall continually record whilst the premises is open for licensable activities and during all times when customers remain on the premises. All recordings shall be stored for a minimum period of 31 days with date and time stamping. Recordings shall be made available immediately upon the request of Police or an authorised officer throughout the preceding 31-day period.
- 1.10 A member of staff from the premises who is conversant with the operation of the CCTV system shall be on the premises at all times when the premises are open to the public. This member of staff must be able to show a member of the police or authorised council officer recent data or footage with the absolute minimum of delay when requested.
- 1.11 A monitor shall be placed inside the premises above the front door showing CCTV images of customers entering the premises.

1.12 If at any time (whether before or after the opening of the premises), the police or licensing authority supply to the premises names and/or photographs of individuals which it wishes to be banned from the premises, the licensee shall use all reasonable endeavours to implement the ban through staff training.

2. Anti-social behaviour

2.1 The Licensee shall develop and agree a protocol with the police as to incident reporting, including the type and level of incident and mode of communication, so as to enable the police to monitor any issues arising at or in relation to the premises.

2.2 The Licensee shall take all reasonable steps to prevent street drinking of alcohol directly outside the premises and to ban from the premises those who do so.

2.3 The Licensee shall place a notice visible from the exterior of the premises stating that drinking alcohol outside the premises is forbidden and that those who do so will be banned from the premises.

2.4 Notices indicating that CCTV is in use at the premises shall be placed at or near the entrance to the premises and within the premises.

2.5 The Licensee shall place and maintain a sign at the entrance which states that 'only drinks purchased on the premises may be consumed on the premises'.

2.6 The Licensee shall implement a policy of banning any customers who engage in crime or disorder within or outside the premises.

2.7 The Licensee shall install and maintain an ultraviolet lighting system in the customer toilet.

2.8 The Licensee shall install and maintain a magnetic door locking system for the customer toilet operated by staff from behind the counter.

2.9 Prior to opening the Licensee shall meet with the Crime Prevention Officer in order to discuss any additional measures to reduce crime and disorder.

3. Underage controls

3.1 The Licensee shall maintain a bound and paginated 'Think 21 Refusals' register at the premises. The register shall be produced to the police or licensing authority forthwith on request.

3.2 Customers under 21 will have to provide ID.

3.3 The premises will operate a 'challenge 25' policy and prominent signage and notices will be displayed showing the operation of such policy

3.4 Compulsory third party test purchasing on a twice yearly external system and the results to be reported to the Local Authority and police. In the first twelve months (from the date of the Review) two additional internal test purchase operations to be carried out.

3.5 A physical barrier (ie a supermarket metal type or similar) acceptable to the licensing authority, and operated in conjunction with the existing monitored alert system, to be put in place within 3 months from the date of the review.

3.6 No machines in the Unlicensed Family Entertainment Centre to be sited within one meter of the Adult Gaming Centre entrance.

4. Player protection controls

4.1 Prominent GamCare documentation will be displayed at the premises.

4.2 There shall be no cash point or ATM facilities on the premises.

4.3 The Licensee shall train staff on specific issues related to the local area and shall conduct periodic refresher training. Participation in the training shall be formally recorded and the records produced to the police or licensing authority upon request.

4.4 New and seasonal staff must attend induction training. All existing staff must attend refresher training every six months.

4.5 All notices regarding gambling advice or support information within the vicinity of XXX must be translated into both simplified and local languages.

4.6 Infra Red Beam to be positioned across the entrance to the premises. To be utilised whenever:

- (a) The first member of staff is not positioned within the Cash Box or,
- (b) The second member of staff is not on patrol

Annex 6

Local Area Profiles

The aim of local area profiles is to build up a picture of the locality, and in particular the elements of it that could be impacted by gambling premises.

The Council publishes Borough and Area profiles – ward profiles on its website at https://www.towerhamlets.gov.uk/lgnl/community_and_living/borough_statistics/Borough_profile.aspx

Some publically available sources of information to assist in operators completing a Local Area Profile include:


- a) Crime Mapping websites
- b) Ward profiles
- c) Websites or publications by local responsible authorities
- d) Websites or publications by local voluntary schemes and initiatives
- e) On-line mapping tools

The Council will expect applicants for grant of new or variation to existing licences to include full details of their risk assessment in compliance with Social Responsibility (SR code) 10.11 and Ordinary code provisions 10.1.2 (both effective from 6th April 2016).

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Appendix Five

EQUALITY ANALYSIS QUALITY ASSURANCE CHECKLIST

Name of 'proposal' and how has it been implemented (proposal can be a policy, service, function, strategy, project, procedure, restructure/savings proposal)	Gambling Policy 2019 Review
Directorate / Service	Place/Public Realm
Lead Officer	David Tolley, Head of Environmental Health and Trading Standards
Signed Off By (inc date)	
Summary – to be completed at the end of completing the QA (using Appendix A) (Please provide a summary of the findings of the Quality Assurance checklist. What has happened as a result of the QA? For example, based on the QA a Full EA will be undertaken or, based on the QA a Full EA will not be undertaken as due regard to the nine protected groups is embedded in the proposal and the proposal has low relevance to equalities)	 Proceed with implementation As a result of performing the QA checklist, the policy does not appear to have any adverse effects on people who share <i>Protected Characteristics</i> and no further actions are recommended at this stage.

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Stage	Checklist Area / Question	Yes / No / Unsure	Comment (If the answer is no/unsure, please ask the question to the SPP Service Manager or nominated equality lead to clarify)
1	Overview of Proposal		
a	Are the outcomes of the proposals clear?	Yes	The decision making body is recommended to:


			<ul style="list-style-type: none"> • Agree the proposed Gambling Policy • Note that the 'no casino' resolution remain within the Gambling Policy. <p>All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.</p> <p>The Gambling Policy is prescribed by the central government and the Gambling Commission. The policy is compatible with this advice and guidance.</p> <p>Some of the major issues and concerns about gambling, including gambling addiction, are not addressed in the policy. Also, noise nuisance is not a licensing objective. Any issues relating to noise and nuisance will be dealt with by the Council's noise team.</p>
<p>b</p>	<p>Is it clear who will be or is likely to be affected by what is being proposed (inc service users and staff)? Is there information about the equality profile of those affected?</p>	<p>Yes</p>	<p>The Gambling Policy states how the Licensing Authority will exercise its authority. This policy covers the following:</p> <ul style="list-style-type: none"> • How the Licensing Authority will use its regulatory powers in relation to applications and reviews of the activities it regulates, to the extent it is allowed by statute. • The main licensing objective for the authority is protecting children, preventing crime and disorder and ensuring gambling is fair and open. • The Licensing Authority approach to regulation • The scheme of delegation <p>The licencing objectives remain including protecting children and the vulnerable, including 'people may not be able to</p>

			<p>make informed or balanced decisions about gambling due to a mental impairment, alcohol or drugs.’</p> <p>The business operators will be requested to undertake local risk assessments in relation to their premises. It is expected that the local risk assessment will consider various issues including exposure to vulnerable groups, type of footfall (e.g. children, families), education facilities and homelessness/ rough sleeper hostels. The local risk assessment is also expected to identify how these risks will be mitigated and monitored.</p>
2	Monitoring / Collecting Evidence / Data and Consultation		
a	Is there reliable qualitative and quantitative data to support claims made about impacts?	Yes	The Gambling Policy is prescribed by the central government and the Gambling Commission. The policy is compatible with this advice and guidance.
	Is there sufficient evidence of local/regional/national research that can inform the analysis?	Yes	<p>Gambling premises will undertake a risk assessment taking into consideration their local information.</p> <p>The gambling policy is compatible with this advice and guidance by the central government and the Gambling Commission.</p>
b	Has a reasonable attempt been made to ensure relevant knowledge and expertise (people, teams and partners) have been involved in the analysis?	Yes	As above. Also, a statutory consultation process commenced on 4 April 2019 and continued for three months, until 27 June 2019. The draft policy has also been consulted by the Licensing Committee on 12 th September 2019 and the Overview and Scrutiny Committee on 23 rd September 2019. The comments received have been analysed and incorporated into the policy where necessary.
c	Is there clear evidence of consultation with stakeholders and users from groups affected by the proposal?	Yes	A statutory consultation process commenced on 4 April 2019 and continued for three months, until 27 June 2019.
3	Assessing Impact and Analysis		
a	Are there clear links between the sources of evidence	Yes	The gambling policy is compatible with this advice and

	(information, data etc) and the interpretation of impact amongst the nine protected characteristics?		guidance by the central government and the Gambling Commission. The licencing objectives include protecting children and the vulnerable, including 'people may not be able to make informed or balanced decisions about gambling due to a mental impairment, alcohol or drugs.'
b	Is there a clear understanding of the way in which proposals applied in the same way can have unequal impact on different groups?	N/A	
4	Mitigation and Improvement Action Plan		
a	Is there an agreed action plan?	Yes	The policy will be agreed by the full Council. All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.
b	Have alternative options been explored	Yes	The Gambling Policy is prescribed by the central government and the Gambling Commission.
5	Quality Assurance and Monitoring		
a	Are there arrangements in place to review or audit the implementation of the proposal?	Yes	All local authorities have to review and adopt a gambling policy every three years which defines how they will administer and exercise their responsibilities under the Gambling Act 2005.
b	Is it clear how the progress will be monitored to track impact across the protected characteristics?	Yes	The policy includes a number of measures to prevent children from taking part in gambling and restriction of advertising so that gambling products are not aimed at or are attractive to children. The licensing authority will consider whether specific measures are required at particular premises, with regard to this licensing objective. Appropriate measures may include supervision of entrances/ machines, segregation of areas. The policy also states that local risk assessment may include

			arrangements for monitoring and dealing with under age persons and vulnerable persons.
6	Reporting Outcomes and Action Plan		
a	Does the executive summary contain sufficient information on the key findings arising from the assessment?	Yes	

Equality Assessment Criteria

Decision	Action	Risk
As a result of performing the QA checklist, it is evident that due regard is not evidenced in the proposal and / or a risk of discrimination exists (direct, indirect, unintentional or otherwise) to one or more of the nine groups of people who share <i>Protected Characteristics</i> . It is recommended that the proposal be suspended until further work or analysis is performed – via a the Full Equality Analysis template	Suspend – Further Work Required	Red 
As a result of performing the QA checklist, the policy, project or function does not appear to have any adverse effects on people who share <i>Protected Characteristics</i> and no further actions are recommended at this stage.	Proceed with implementation	Green: 