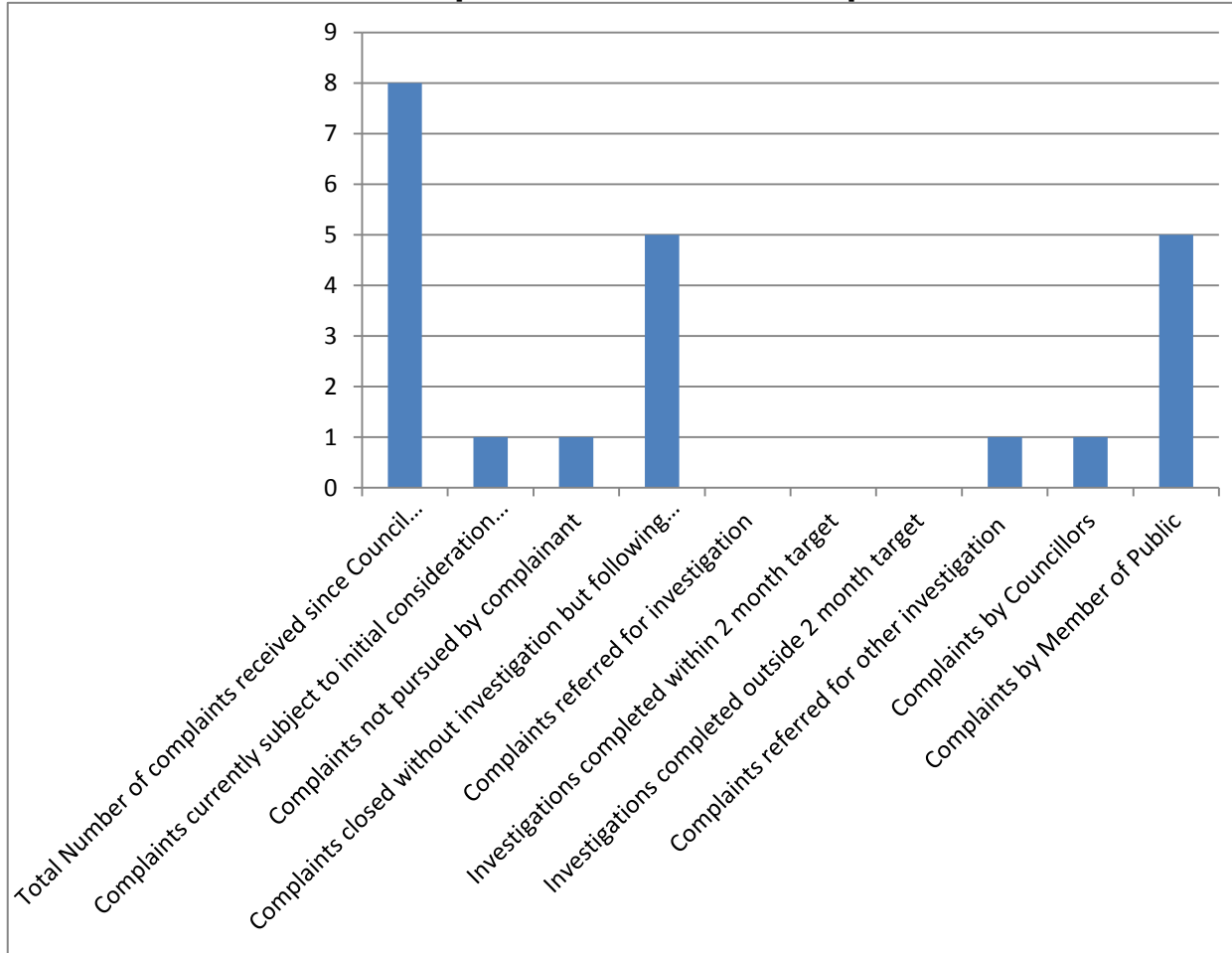


APPENDIX1

Code of Conduct for Members - complaints and investigation monitoring information

Total complaints since Council AGM on 23 May 2018:	08
Complaints currently subject to initial consideration by MO and IP:	01
Complaints not pursued by complainant:	01
Complaints closed without investigation but following consultation with IP:	05
Complaints referred for investigation as potential breach of the Code:	00
Investigations completed within 2 month target:	00
Investigations completed outside 2 month target:	00
Complaints referred for other investigation (police, audit etc.)	01
<u>Complainants</u>	
Councillors:	01
Member of Public:	05
Local business, organisation, or other body:	02

Code Complaints 2018/19 Municipal Year



Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
005/2018	05 July 2018	Member of Public	Elected Member	<p>Alleged inappropriate conduct relating to planning and parking resulting in potential contraventions of the Code:</p> <p>2.2 Not acting solely in the public interest. Seeking financial or other benefit for themselves.</p>	<p>24.10.18 agreed NFA in consultation with IP.</p> <p>Target date: 19.07.18</p>				Closed
006/2018	11 September 2018	Member of Public	Elected Members x8	<p>Alleged inappropriate conduct in handling service complaint resulting in potential contraventions the Code:</p> <p>2.1 Lack of integrity. 2.2 Not acting solely in the public interest. 2.4 Not making decisions on merit. 2.5 Not co-operating</p>	<p>13.11.18 agreed NFA in consultation with IP.</p> <p>Target date: 25.09.18</p>				Closed

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
				<p>fully with appropriate scrutiny.</p> <p>2.6 Failure to be open about decisions and give reasons.</p> <p>2.7 Improper use of council resources.</p> <p>2.8 Failure to reach own conclusions.</p> <p>2.9 Not treating another with respect and bullying.</p> <p>2.10 Failure to promote high standards by leadership and example.</p>					
007/2018	11 October	Elected	Elected	Alleged inappropriate	08.11.18				Closed

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
	2018	Member	Member	<p>use of social media resulting in potential contraventions of the Code:</p> <p>2.1 Lack of integrity. 2.2 Not acting solely in the public interest. 2.9 Failure to promote equality; not treating another with respect. 2.10 Failure to promote high standards by leadership and example.</p>	<p>agreed NFA in consultation with IP councillor agreed to attend additional training.</p> <p>Target date: 25.10.18</p>				

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
008/2018	15 October 2018	Member of Public	Elected Member	<p>Alleged inappropriate conduct at Committee meetings resulting in potential contraventions of the Code:</p> <p>2.9 Not treating another with respect and bullying. 2.10 Failure to promote high standards by leadership and example.</p>	<p>10.12.18. agreed NFA in consultation with IP – Monitoring Officer to meet with councillor and/or Group Whip to discuss future management of committee meetings.</p> <p>Target date: 29.10.18</p>				Closed

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
009/2018	10 December 2018	Member of Public	Elected Member	<p>Alleged inappropriate conduct during a television interview resulting in potential contraventions of the Code:</p> <p>2.9 Not treating another with respect. 2.10 Failure to promote high standards by leadership and example.</p>	<p>12.02.19. agreed NFA in consultation with IP – Monitoring Officer to meet with councillor to reinforce requirements of the Code.</p> <p>Target date: 24.12.18</p>				Closed
010/2018	17 December 2018	Member of Public	Elected Member	Allegations of potential fraud referred to the Head of Audit & Risk for investigation.	N/A				<p>Closed</p> <p>Report to S(A)C when audit investigation complete</p>

Reference number	Date received by Monitoring Officer	Complainant	Elected/Co-opted Member(s)	Nature of Complaint and potential breach(es) of the Code of Conduct	Date and outcome of consultation with IP	Date investigation commenced and investigation status	Hearing and outcome	Current status	Follow up
001/2019	03 January 2019	Local organisation	Elected Members x2	Complaint concerning one councillor already subject to consideration under reference 009/2018. Complainant informed and did not submit conduct complaint form to pursue separate complaint concerning a second councillor.	N/A				Closed
002/2019	27 February 2019	Local organisation	Elected Member	Alleged failure to properly register a disclosable pecuniary interest - paragraph 3.4 of the Code. Alleged inappropriate conduct relating to planning – not acting solely in the public interest/seeking benefit for close associates – paragraph 2.2 of the Code	Target date: 13.03.19				